

SETI and RFI

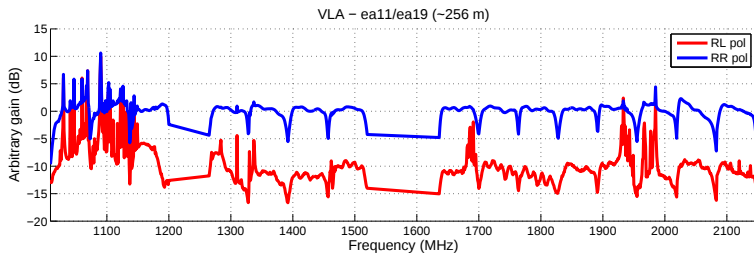
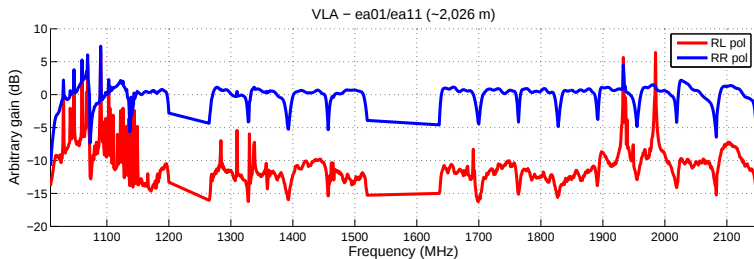
Separating Terrestrial and Extra-Terrestrial Transmissions

Greg Hellbourg

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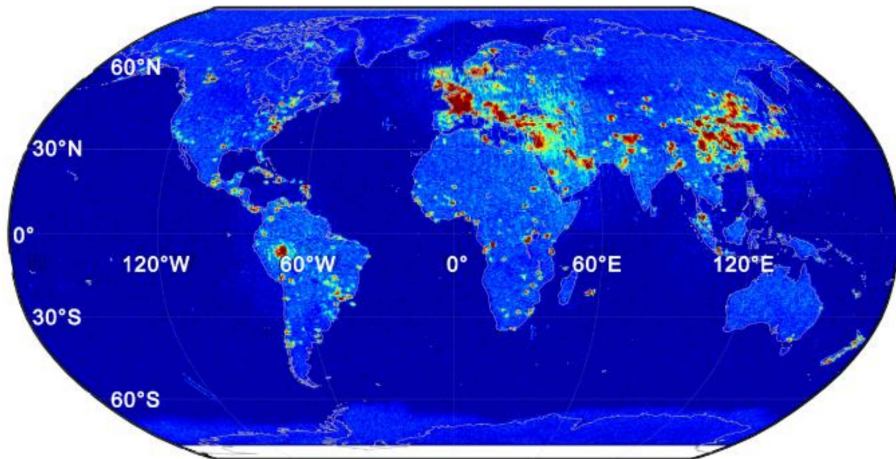
Department of Astronomy
UC Berkeley

RFI and radio astronomy



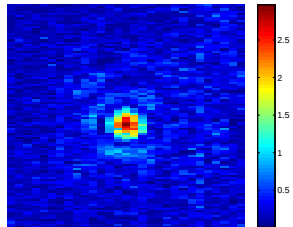
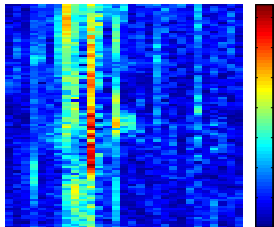
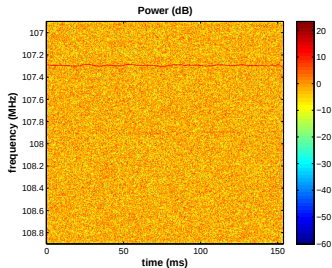
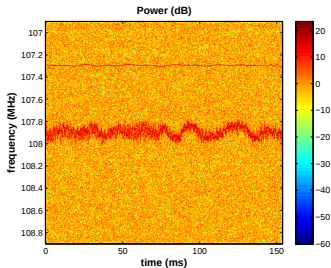
RFI and radio astronomy

SMOS satellite system (1400-1420 MHz)

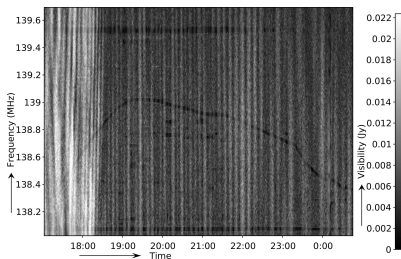
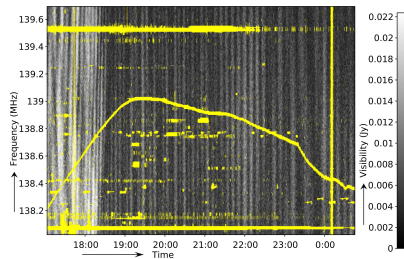
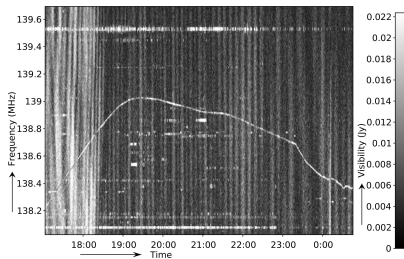


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RFI mitigation



“Automatic” approach



Generalities on RFI mitigation

Instrumentation for radio astronomy:

- Primary goal is radio astronomy
- Adapted to observe noise-like sources

RFI mitigation:

- Community reached an overall understanding of how RFI affects data
- “Classic” statistical methods (power-based) efficient but rough
- “Sophisticated” methods complicated and model dependent

In Communications:

- Signal detection and mitigation is common business (IEEE 802.22)
- Less sensitive equipment and narrower bandwidth
- Exploitation of a variety of signal feature

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Generalities on SETI and RFI

- Assume proper separation between astro sources and RFI / ET
- Focus on what is not astro
- Strategy:
 - Detect all possible “artificial” transmissions
 - Separate ET from RFI (space and space-time)
- Big data?? *big enough*??
- Sensitive enough to catch the contact?
- SETI at known corrupted frequencies?
- Is Fourier space adapted?

Generalities on SETI and RFI

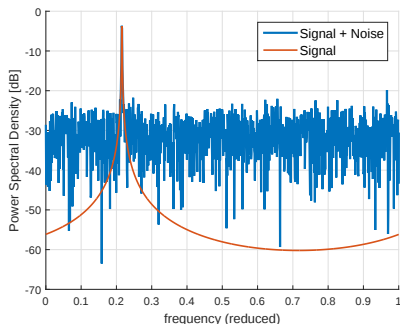
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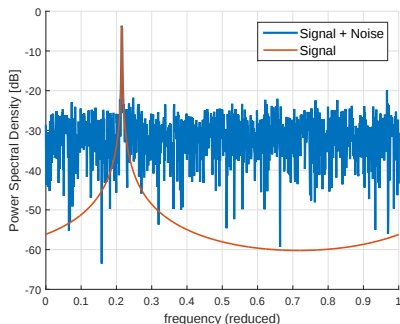
Classic instrumentation

- Produces energy distribution over frequencies
- Natural solution : Energy detector
 - Efficient implementation
 - Model-independent
- Intrinsically limited by SNR
- Additional limits from:
 - Estimation noise
 - Variable RFI environment
 - Noise uncertainties
- Trick : virtually getting rid of system noise



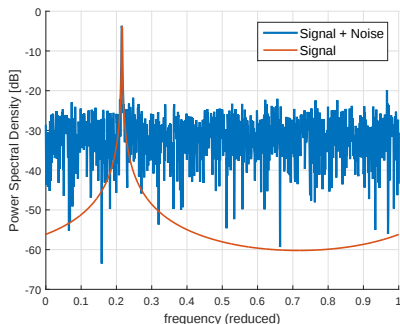
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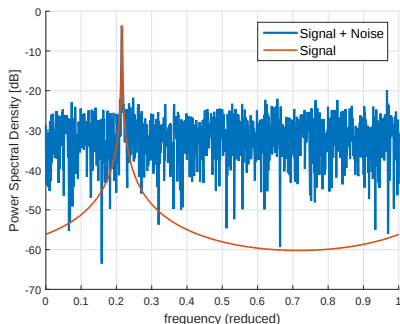
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Adaptive processing

$$\mathbf{x}_{\text{obs}}(t) = \mathbf{x}_{\text{astro}}(t) + \mathbf{x}_{\text{artificial}}(t) + \mathbf{x}_{\text{noise}}(t)$$

Find parametrized function f_{θ} such that:

$$f_{\theta}(\mathbf{x}_{\text{obs}}(t)) \approx f_{\theta}(\mathbf{x}_{\text{artificial}}(t))$$

Exploited for:

- Detection : monitor $f_{\theta}(\mathbf{x}_{\text{obs}}(t))$ for all θ
- Mitigation : $\mathbf{x}_{\text{corrected}}(t) = \mathbf{x}_{\text{obs}}(t) - \alpha f_{\hat{\theta}}(\mathbf{x}_{\text{obs}}(t))$ for $\theta = \hat{\theta}$

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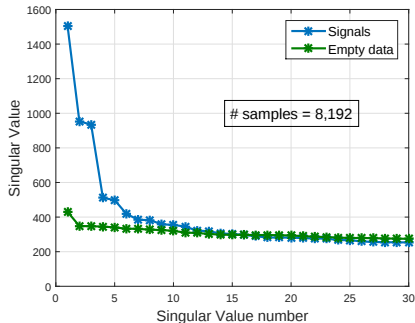
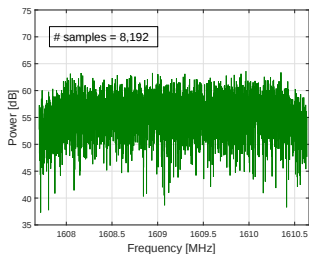
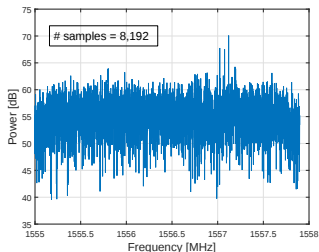
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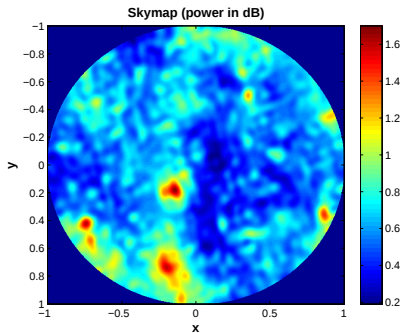
Time-covariance

Karhunen-Loeve Transform “explains” the auto correlation

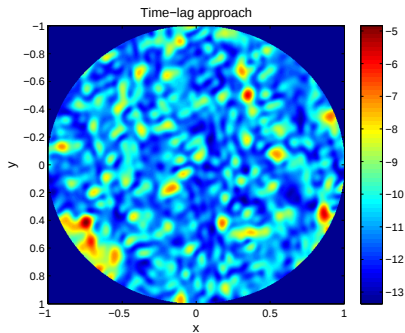


“Colored” process extraction

'Standard' data:



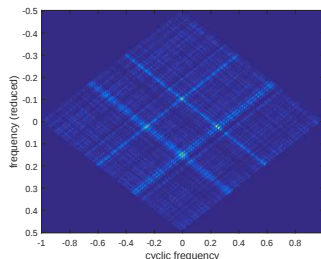
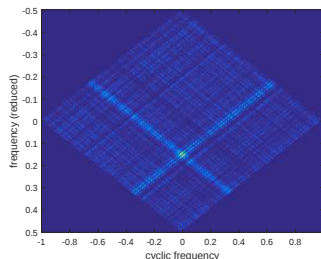
'Time-lagged' data:



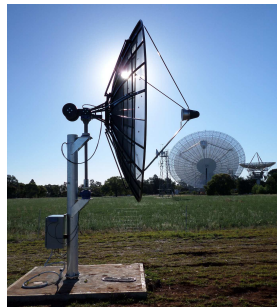
Feature extraction / exploitation

Exploitable features include:

- Interference-to-Noise Ratio
- Higher order statistics
- Polarization
- Non-/cyclo-stationarity
- Non-circularity
- Signal bandwidth
- Sparsity
- Dispersion
- Near / Far field
- Spatial location
- ...??

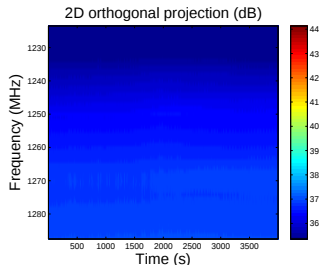
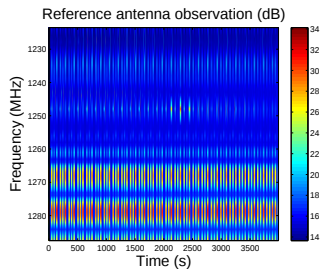
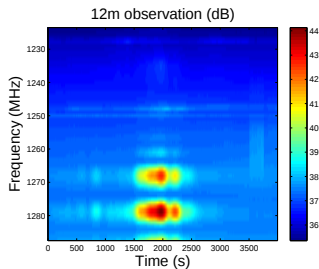


Exploiting the spatial location



$$\mathbb{E} \{ \mathbf{x}(t) x_{\text{ref}}^*(t) \} = \gamma_{\text{ref}} \mathbf{a}_r$$

Exploiting the spatial location



Time-domain covariance-based detection

Auto-Correlation Matrix:

$$\hat{\mathbf{R}}_{xx^*} = \frac{1}{M} \sum_{k=1}^M x(k+i)x^*(k+i-j)$$

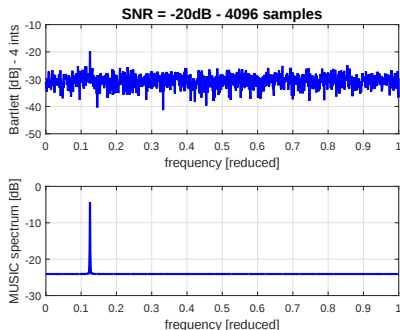
→ separate into “signal” and “noise” subspace

→ KLT : deduct signal model from eigen values and vectors

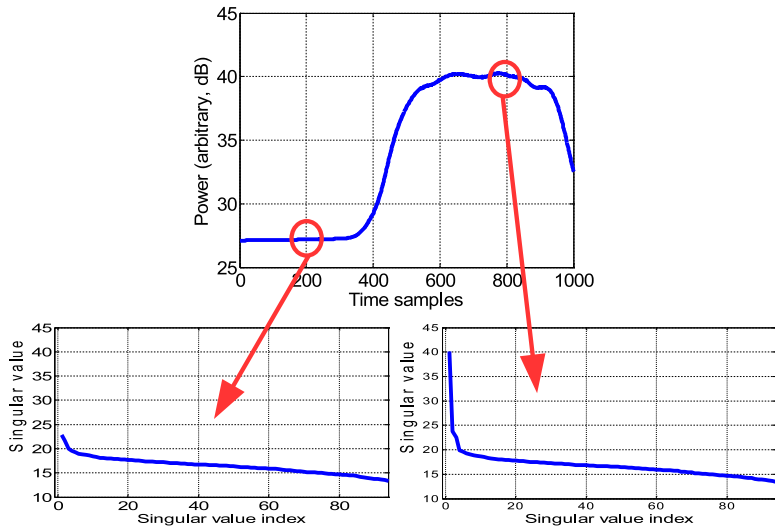
MUSIC:

- Multiple Signal Classification
- “High-resolution” technique
- Find poles in noise subspace

$$S_{\text{MUSIC}}(f) = \frac{1}{\mathbf{e}_f^H \mathbf{U}_{\text{noise}} \mathbf{U}_{\text{noise}}^H \mathbf{e}_f}$$



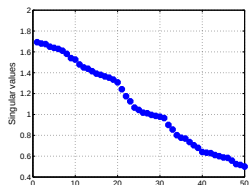
Spatial-domain covariance-based detection



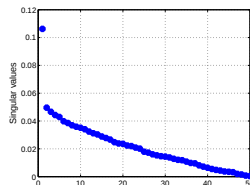
Spatial information

- Interferometers / phased array telescopes provide spatial information
- Calibrated arrays allow DoA estimation
- ET signals spatially stationary and impinge through primary lobe
- RFI likely non-stationary and impinge through side lobes
- “Non-astronomical” features exploited to isolate a source

Classic covariance matrix:



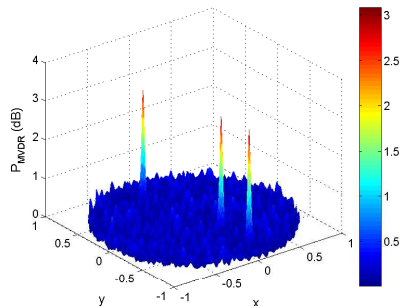
Cyclic covariance matrix:



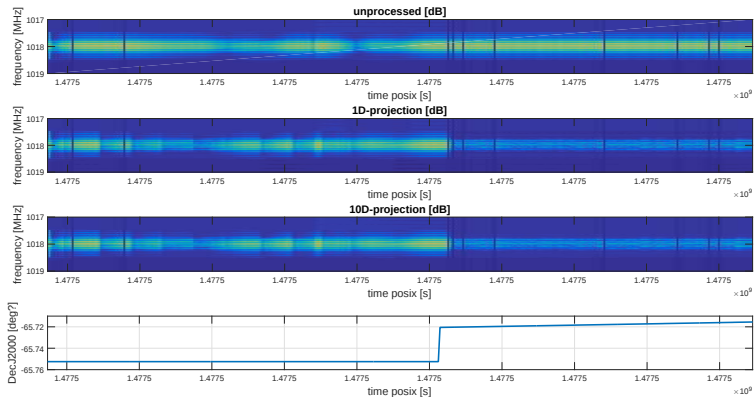
50 elements - INR = -5 dB - 10^5 samples - 60% gain uncalibration

DoA estimation

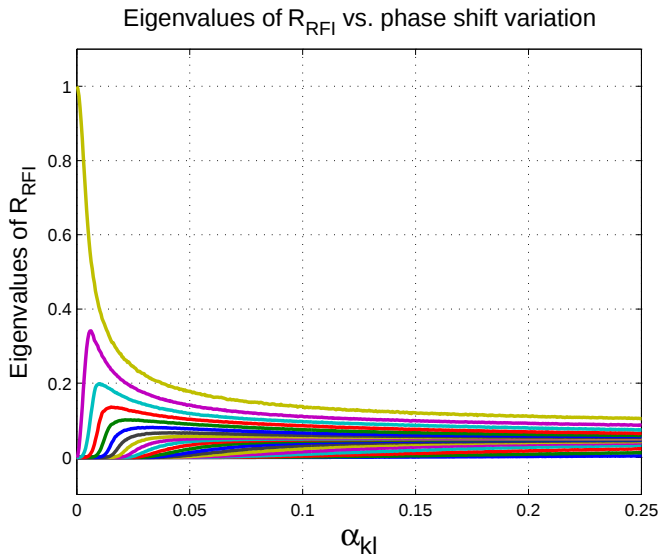
- Requires array calibration (primary beam)
- Enables primary / side lobes distinction
- “High-resolution” techniques based on optimization
- Resolution given by instrument
- Angular Resolution Limit $\neq$ Beam width



Spatial covariance as a motion sensor



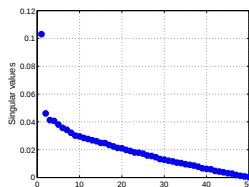
RFI subspace dimensionality



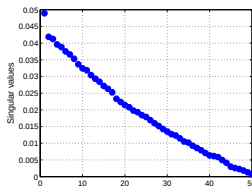
Subspace smearing

- Subspace smearing due to model misspecification (spatial non-stationarity)
- Limits deep-nulling
- Always observed with RFI
- Possible robust motion RFI detector
- Stationary signal likely to be ET

Stationary RFI:



Moving RFI:



Conclusions

- Sensitivity of astronomy instrumentation is necessary for SETI
explore SNR space
- Taking *big data* requires *big thoughts*
standard astronomical data products limit sensitivity to non-astronomical sources
- Lot to learn from telecom / spectrum sharing communities
encourage to people do differently
- Need for data sharing and standardization
build a strong community, foster collaborations and ideas
- **Monthly SETI Collaborative Meeting:**
June 6 - 8AM CEST (*get in touch with me*)