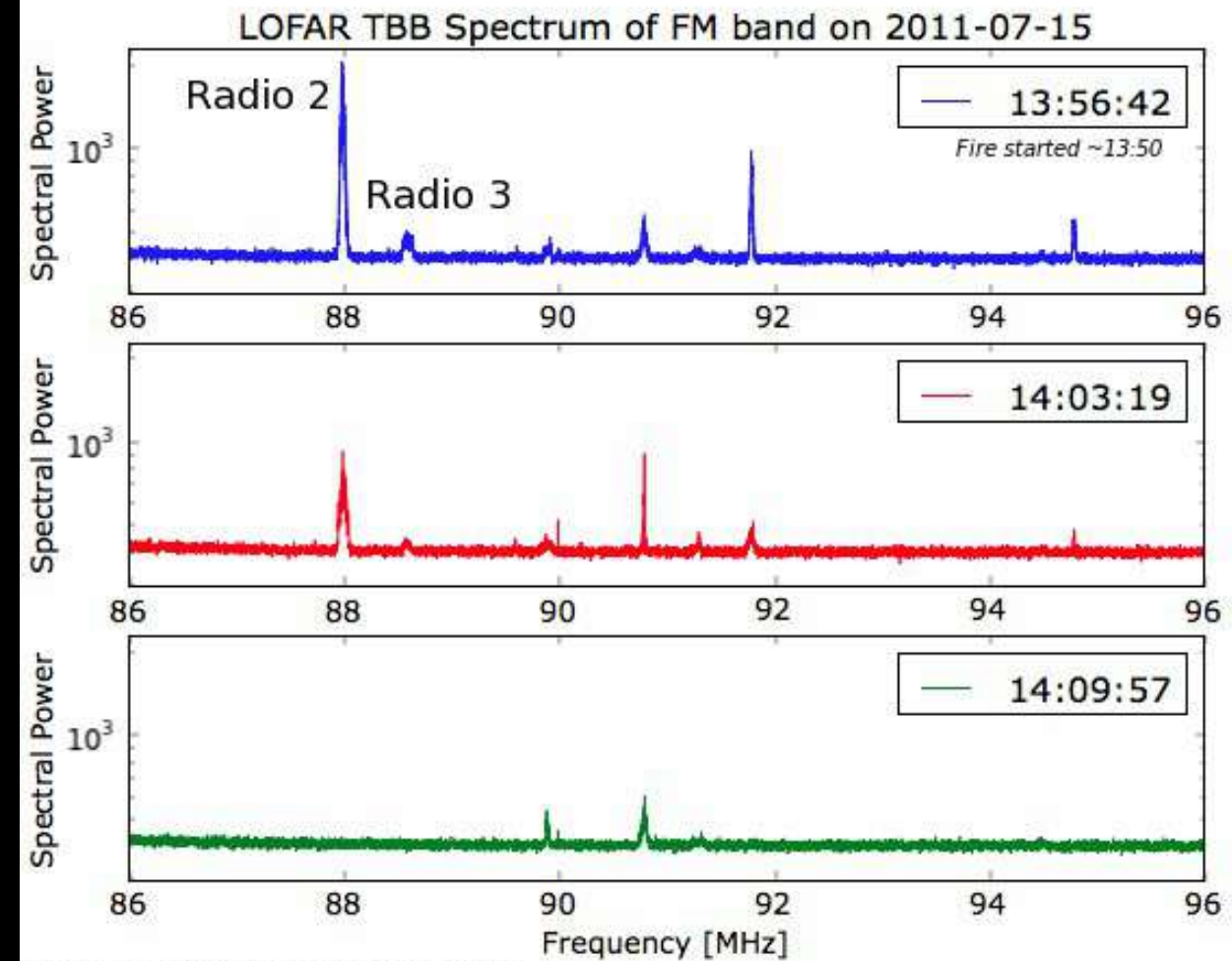


# Active RFI mitigation



# Resources

**RFI 2001 — Bonn, Germany**

[http://www.iucaf.org/MitigationWorkshop01/RFI\\_new.htm](http://www.iucaf.org/MitigationWorkshop01/RFI_new.htm)

**RFI 2004 — Penticton, Canada**

<https://www.faculty.ece.vt.edu/swe/RFI2004/>

**RFI 2010 — Groningen, The Netherlands**

<https://www.astron.nl/rfi/>

**RFI 2016 — Socorro, NM, USA**

[go.nrao.edu/rfi2016](http://go.nrao.edu/rfi2016)

**RFI 2019 — Toulouse, France**

<https://rfi2019.sciencesconf.org/>

**RFI 2021 — London, UK**

**TBA**



# **RFI in radio astronomy – impacts**

**RFI can have various impacts on astronomical data:**

- **Mask signal of interest (up to  $10^9$  stronger than astronomical sources)**
  - **Spectral lines / polarization**
  - **Non-repeatable transient experiments**
- **Mislead observation interpretation or false positives (transients, SETI...)**
- **Loss of data**
  - **Increases operational cost**
  - **Reduces instrument sensitivity / availability**
- **Calibration solution unsolvable**
  - **Mimics artificial additional sources**
  - **Time-critical calibration (pulsar timing)**
- **System deterioration**

# Goals of (active) RFI mitigation

- Reduce corruption of astronomical data
- Capture useful data outside astronomy bands
- Improve instrumental calibration
- Train next-gen astronomers to advanced signal processing methods
- Prepare for future threats:
  - Broadband “spread-spectrum” transmissions
  - Dynamic Spectrum Access
  - New technology (CubeSat, 5G...)
- Enable low-SNR science at any INR

**It is NOT**

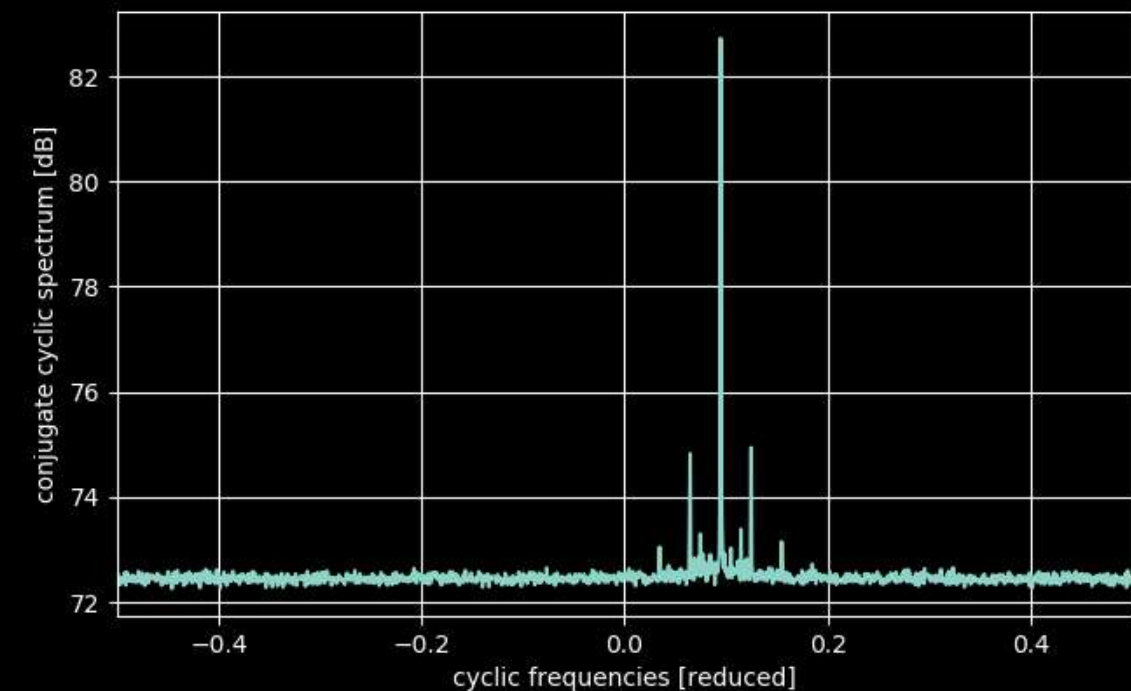
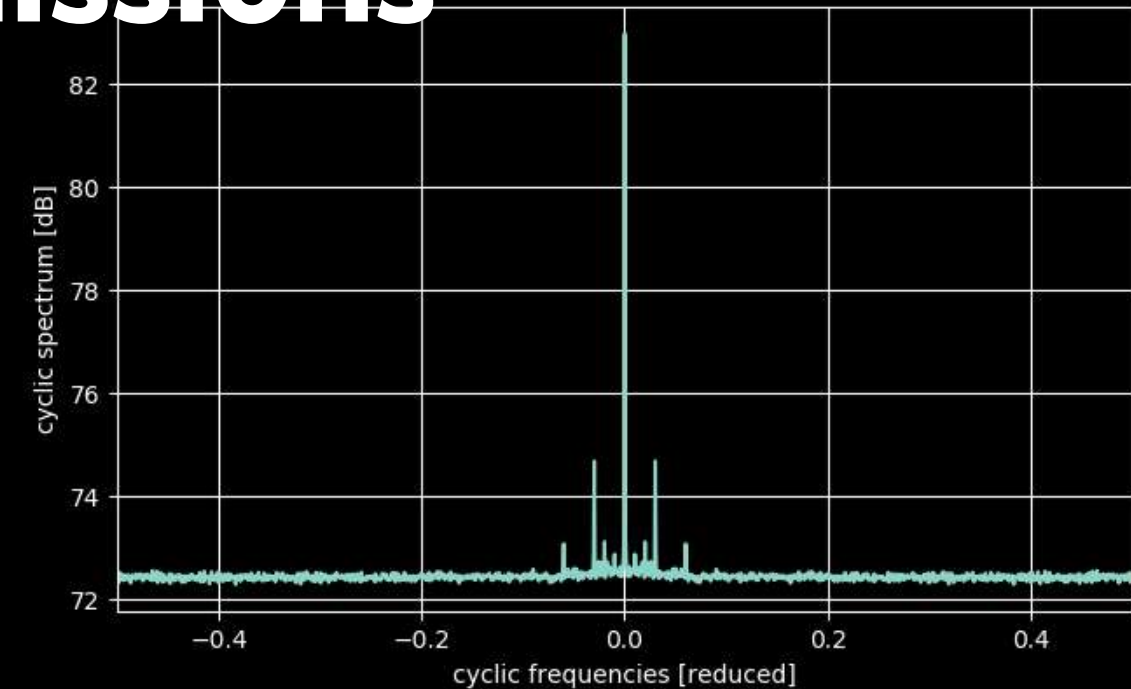
- Easy!!
- Satisfying
- Excuse to utilize astronomical resources

# RFI vs Natural emissions

**RFI is (luckily) distinguishable from natural astronomical emissions**

**Exploitable signal features include:**

- **Interference-to-Noise Ratio**
- **Higher-order statistics**
- **Polarization**
- **Non- / cyclo-stationarity**
- **Non-circularity**
- **Signal bandwidth**
- **Sparsity**
- **Dispersion**
- **Near- / Far-field**
- **Spatial location**
- ...



# What do we want?

## What do we want?

- **Ideally : trustworthy science–ready data products (incl. RFI mitigation and calibration) produced on–the–fly (RFI mitigation–enabled radio telescope)**
- **OR : Accurate offline blind cleaning (if storage allows)**
- **OR : Supervised offline blind cleaning (if storage allows and expert eye available)**
- ...
- **OR, worst case : everything that's possible to address science case**

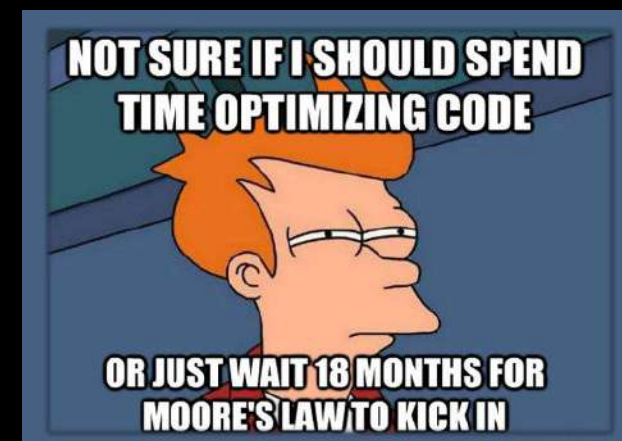
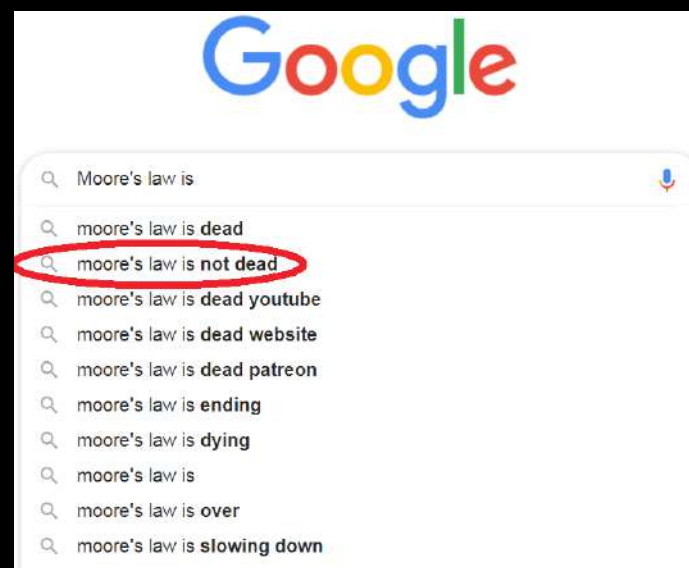
## RFI mitigation is instrument–dependent:

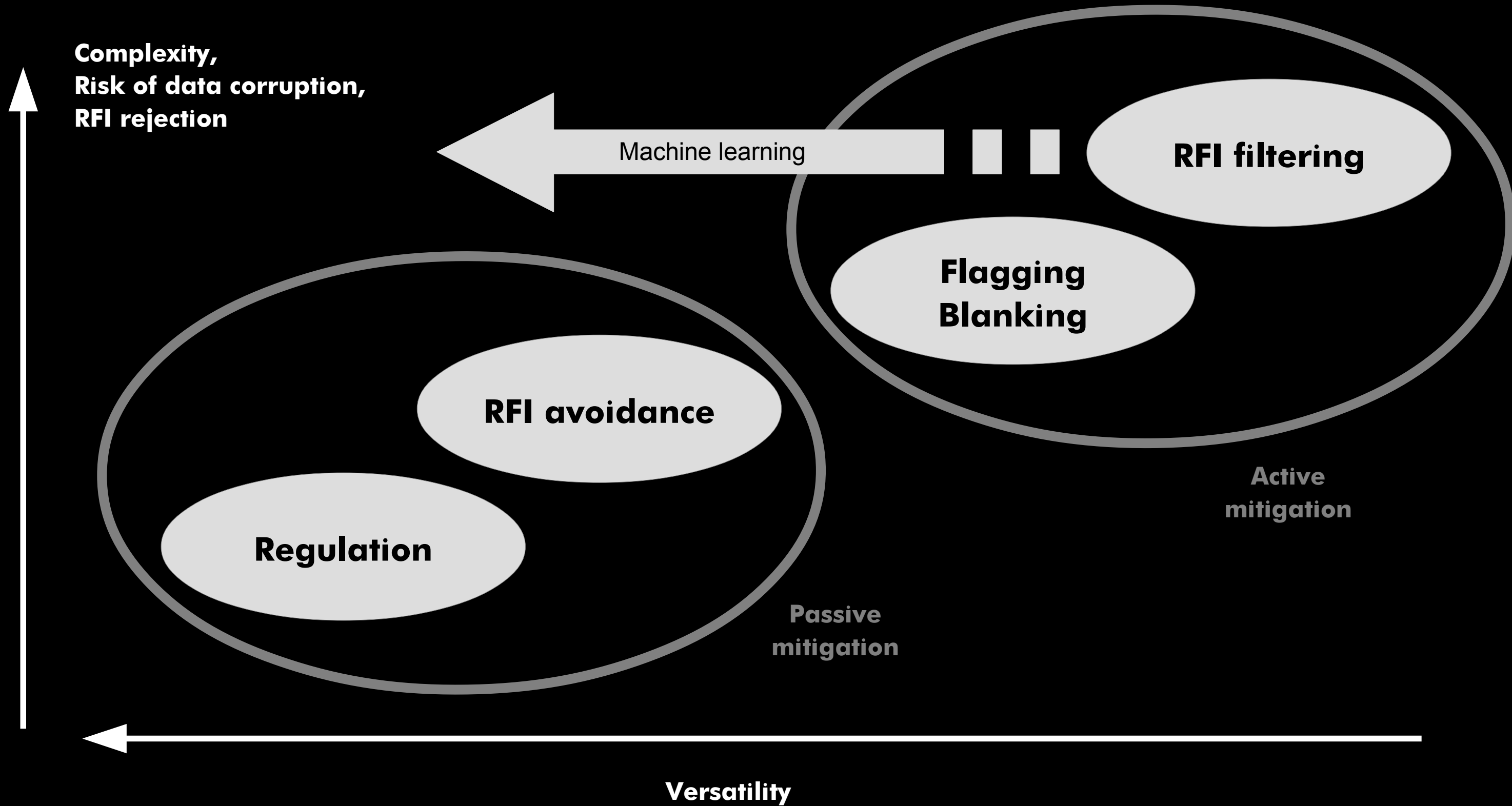
- **Infrastructure? (single dish / array, reference antenna / RFI monitor, data center / fast signal processing platforms...)**
- **What recurring RFI? Pulsing? Continuous? Narrow–/Wide–band?...**
- **What are the risks? (receiver saturation? Calibration issues? False positive on rare events?)**
- **Demographic prediction? Future threats?**
- **What are the science goals?**
- **RFI mitigation requirements is science case–dependent?**

# Research in RFI mitigation



- **Active field of research (radio astronomy, Earth remote sensing, telecommunication...)**
- **Many papers, conferences, proceedings....**
- **Computational complexity is limiting factor**

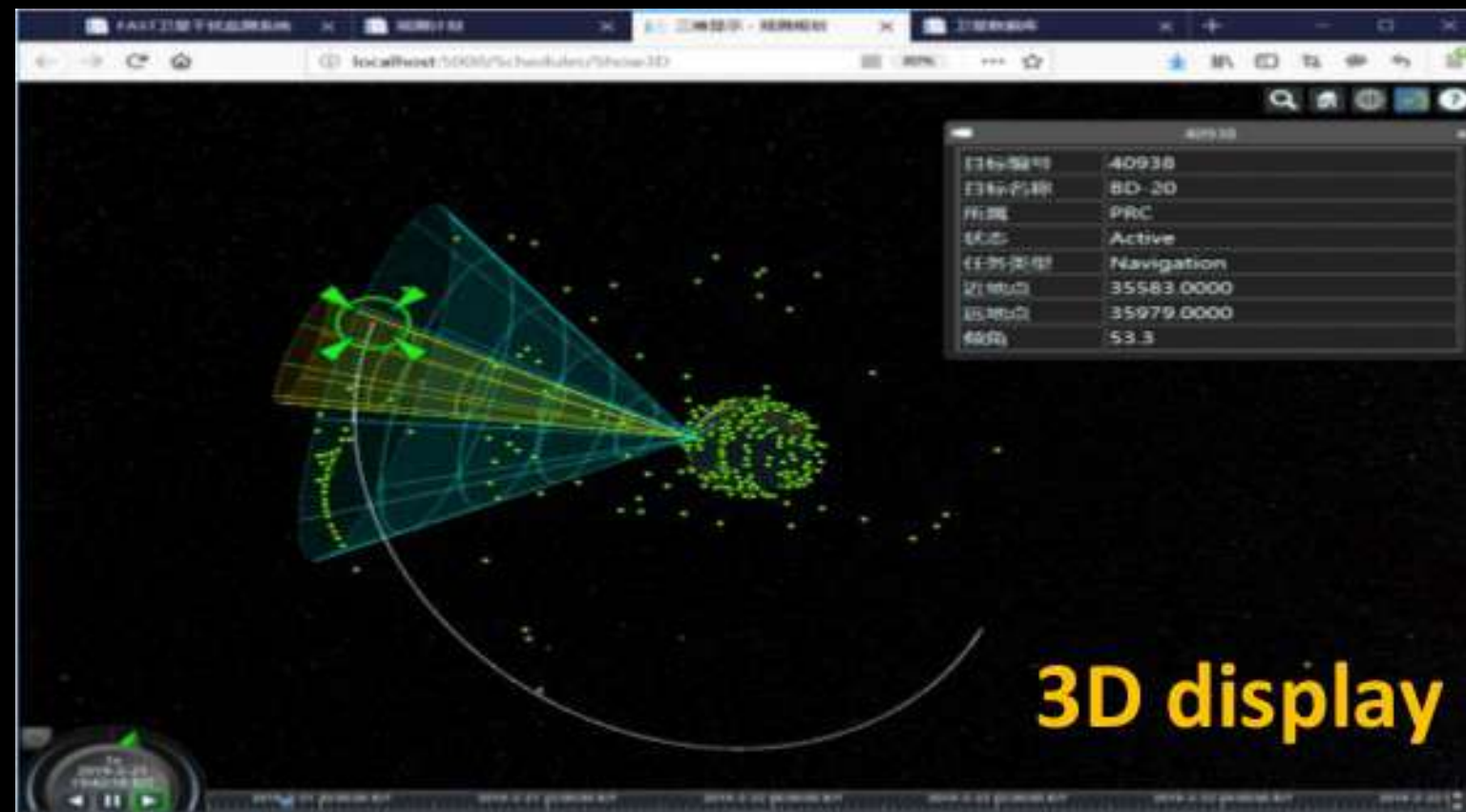






# RFI avoidance

Zhang et al. 2019 – unpublished  
FAST NGSO satellite prediction engine allows warnings emissions within observation scheduler



观测计划

序号	观测模式	接收设备	观测时间	源名称	源方位	源高度	观测时间	升空时间(秒)	观测时长	结束时间
493	静态扫描	多波束接收	2019-05-31 17:00:00	SDSS_N_2.5	+08:40:00.00	+26:39:10.50	2019-05-31 16:59:24	54000		

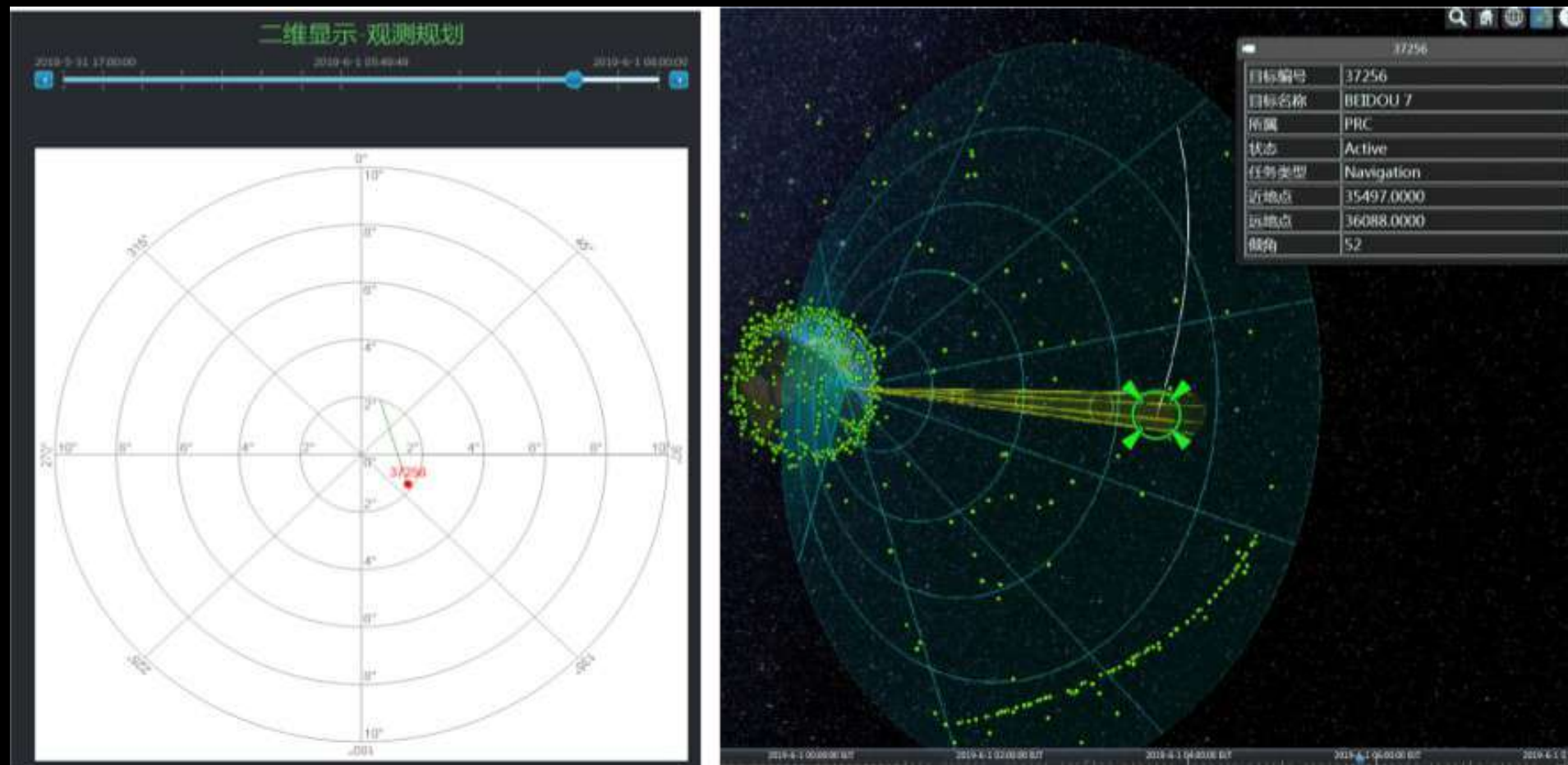
过境列表

序号	名称	卫星编号	卫星名称	进入时间	方位(度)	仰角(度)	距离(千米)	离开时间	方位(度)	仰角(度)	距离(千米)	时长(秒)	速度(km/h)
1	显示	40547	IRNSS-1D	2019-06-01 07:12:32	271.932	88.249	35830.560	2019-06-01 08:00:00	349.841	87.071	35818.3986	2847.46	
2	显示	36828	BEIDOU 5	2019-05-31 21:58:26	115.423	88.599	36103.208	2019-05-31 22:14:26	1.525	87.095	36117.7641	960.23	
3	显示	41434	BEIDOU IGSO-6	2019-05-31 23:13:34	357.560	87.075	35694.701	2019-05-31 23:28:38	246.504	88.513	35681.4052	904.21	
4	显示	37256	BEIDOU 7	2019-06-01 05:48:54	95.089	88.469	36076.669	2019-06-01 06:03:45	10.776	86.957	36079.6081	890.67	
5	显示	41174	GSAT0209	2019-06-01 06:23:27	328.666	87.108	23244.834	2019-06-01 06:30:52	239.717	88.759	23238.8987	445.65	
6	显示	41330	COSMOS 2514	2019-05-31 22:01:28	11.131	87.136	19122.040	2019-05-31 22:07:23	140.834	88.807	19115.6480	355.92	
7	显示	19822	AKEBONO	2019-05-31 20:15:16	104.305	88.445	2955.130	2019-05-31 20:15:33	50.802	87.589	2946.7514	17.10	
8	显示	35498	COSMOS 2451	2019-06-01 01:08:09	213.718	88.858	1500.669	2019-06-01 01:08:24	353.335	87.027	1502.1500	14.52	

# RFI avoidance

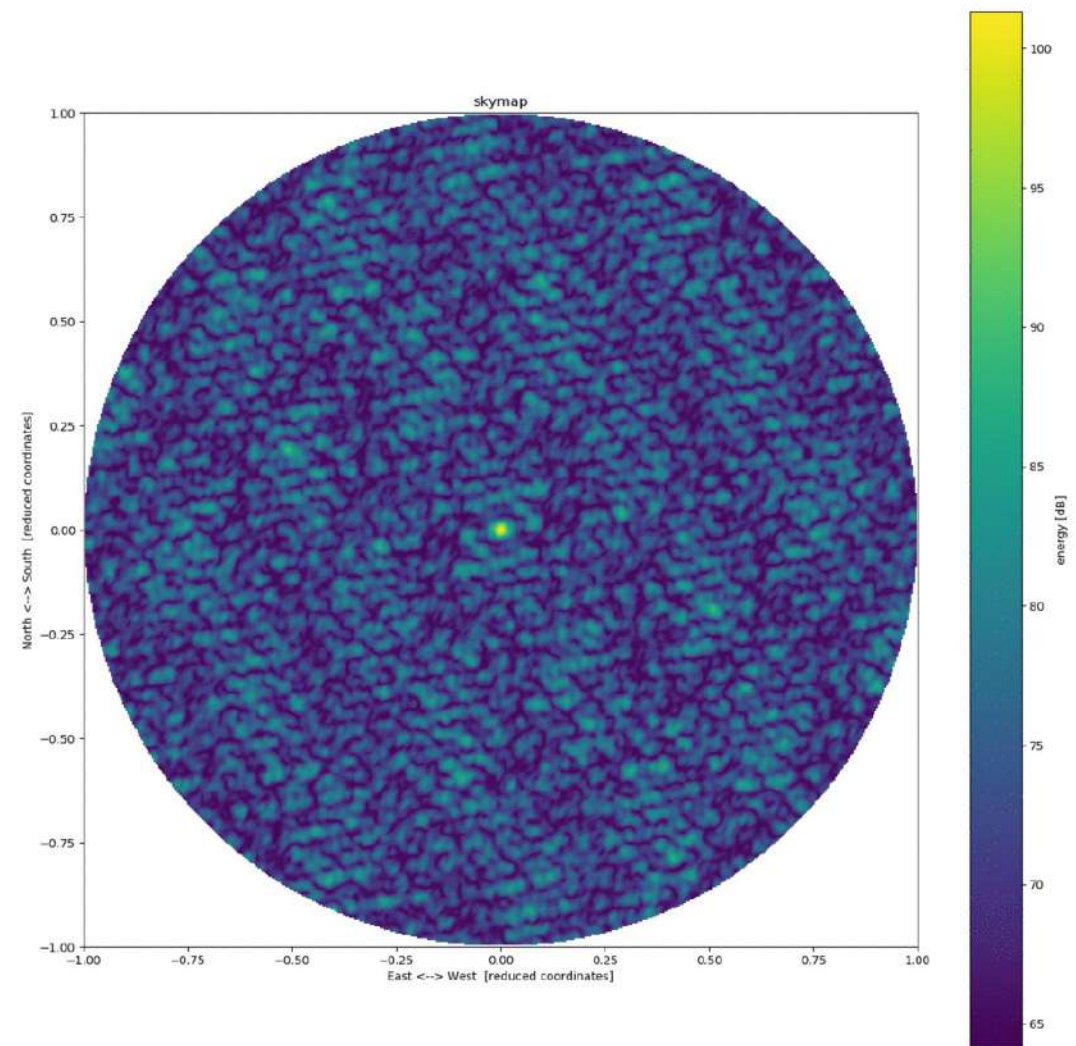
**Danger: angular distance <1 degree**

**Attention: angular distance <2 degree**



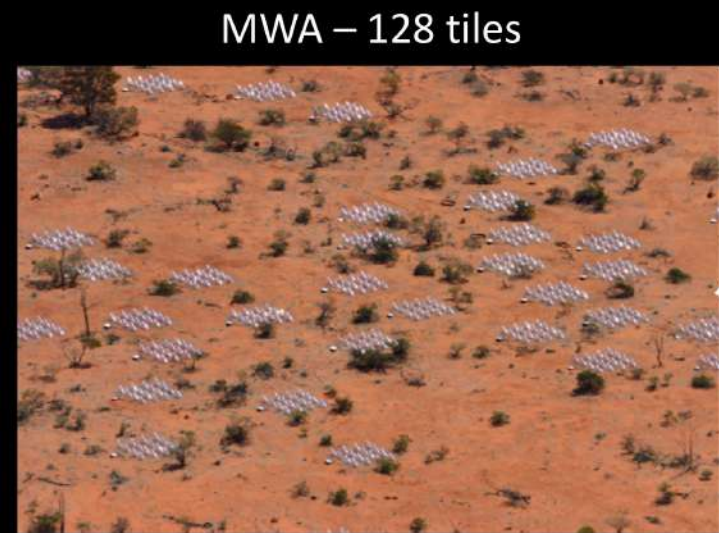
# RFI avoidance – Cyclic imager

- **Cyclostationarity** : temporal periodicity of statistical moments of a signal
- **Property exhibited by most telecommunication signals**
- **Astronomical sources are stationary or non-stationary**
- **Cyclic imaging removes the contribution of all natural transmissions**
- **Allows RFI environment forecasting**

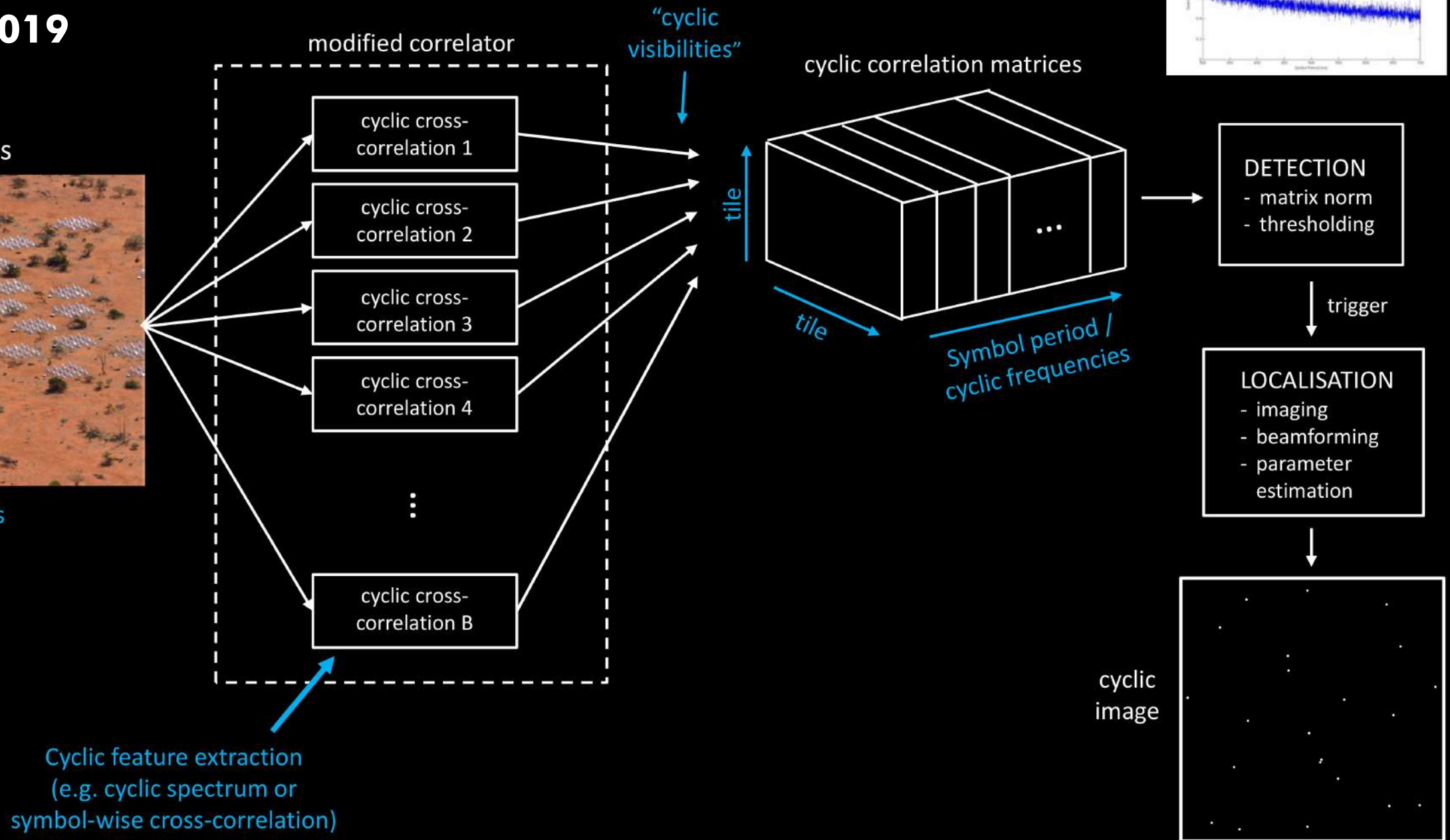


# RFI avoidance – Cyclic imager

Hellborg et al. 2019



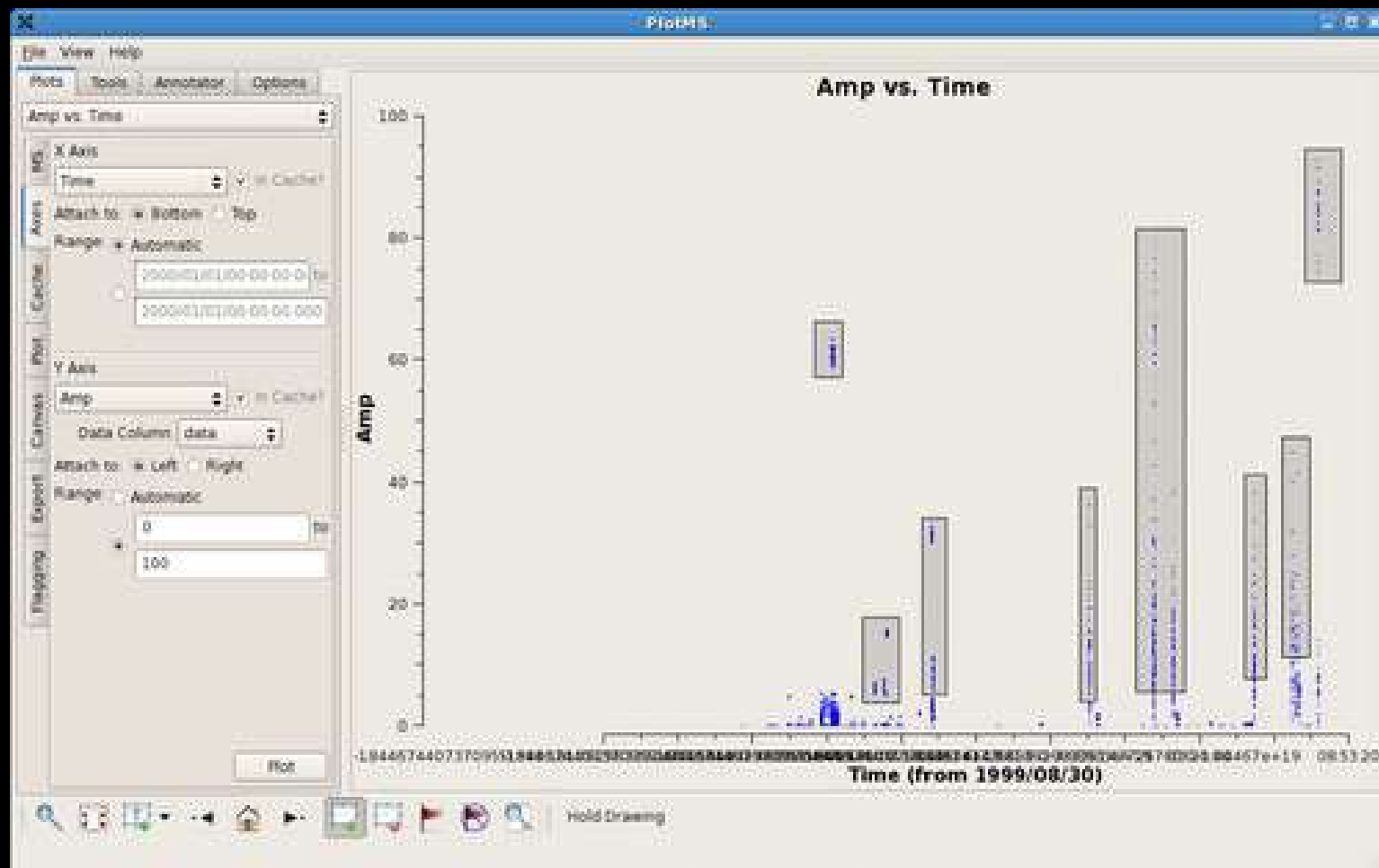
$B = 8128$  baselines



# **RFI avoidance**

- **Rely on detection / estimation / prediction**
- **Informs on probability of RFI corruption**
- **Possibly generates “preventive flags”**
- **Could be associated with fully reconfigurable instrument to produce dynamic radio astronomy**

# RFI flagging



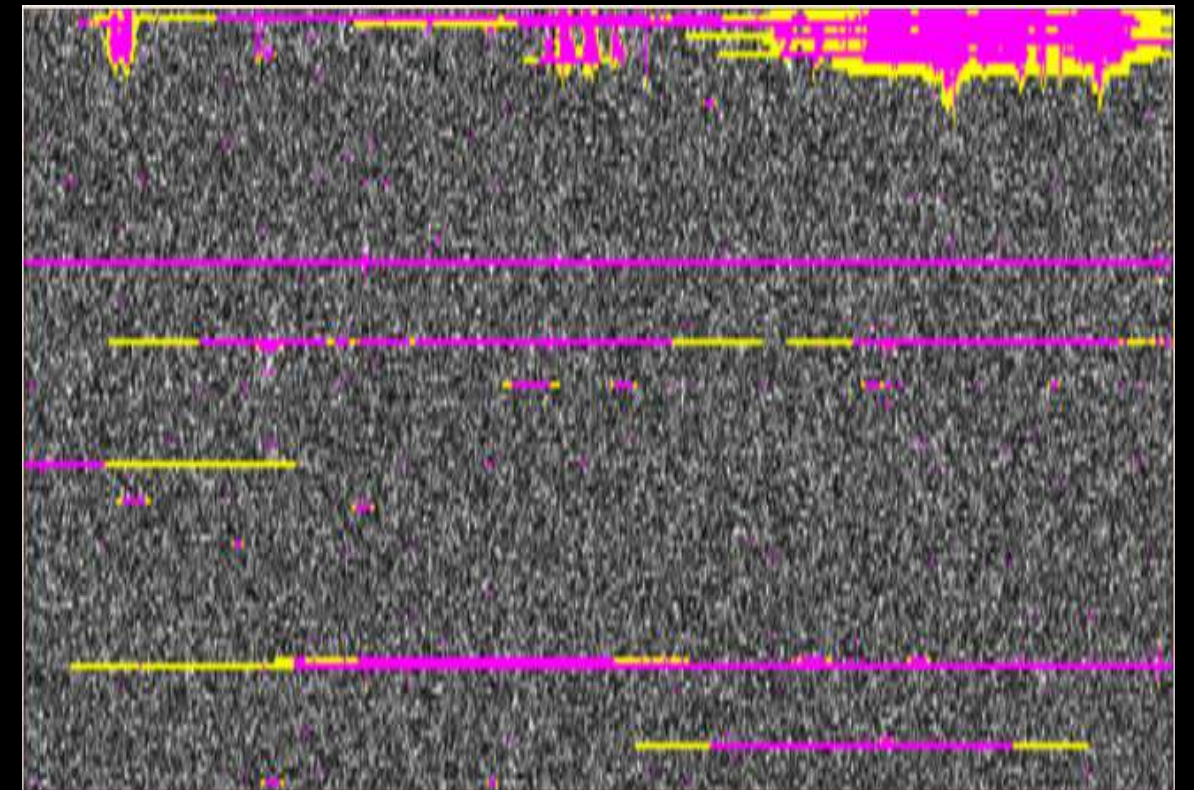
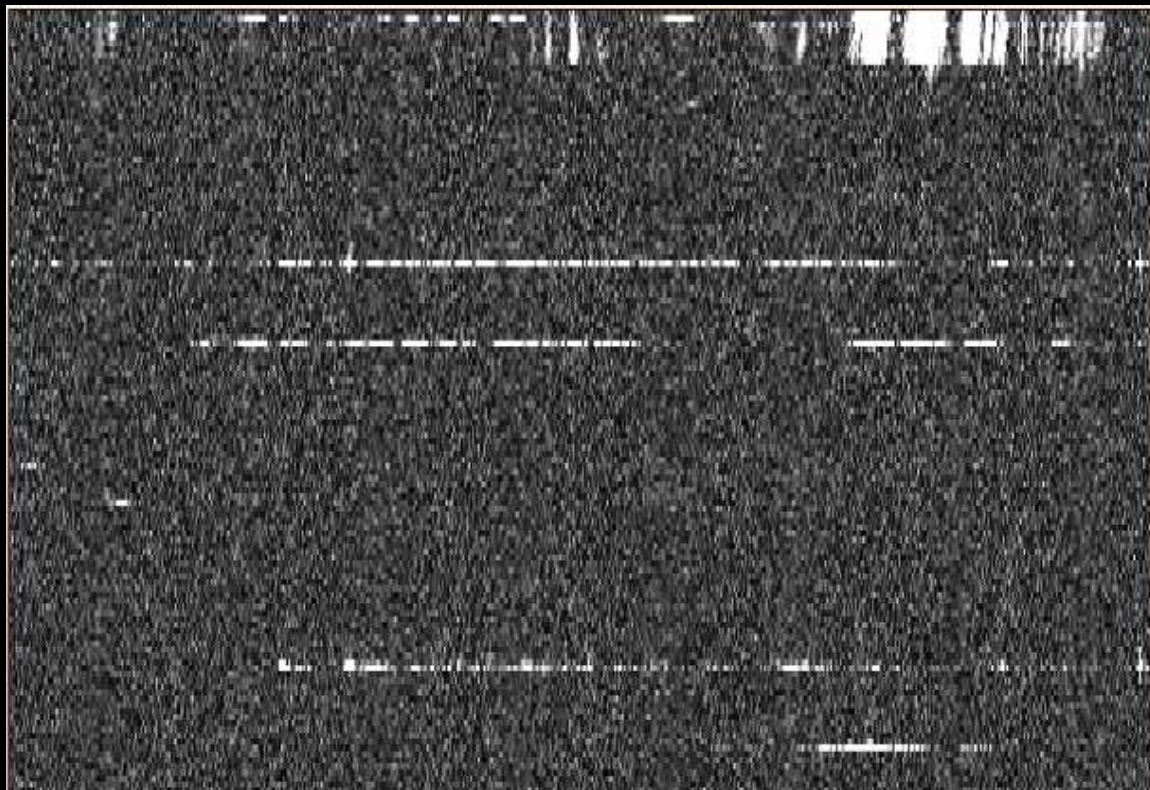
- **Astronomer expert eye is powerful**
- **Error recognition is standard syllabus for astronomers**
- **Most data reduction software packages include manual flagging**
- **Not suitable for next-generation telescopes (flagging all antennas, all cross-products etc...)**

# RFI blind detection

- **Concept : detect statistical parameters excesses or inconsistencies**
  - **Corrupted data distribution deviates from normal (e.g. J. Jonas presentation)**  
[narrow band RFI]
  - **Corrupted data shows variance ( $\sim$  power) excesses [wide band RFI]**
- **Variance analysis:**
  - **easily and accurately estimated (maximum likelihood estimator = cheap implementation)**
  - **hard to calibrate  $\Rightarrow$  SNR wall**
- **Distribution deviation analysis**
  - **Higher accuracy (especially at low INR)**
  - **Higher computational cost (clustering or regression analysis)**

# RFI flagging

- **Interactive (casaplotms), semi-automatic, automatic (AOFlagger, TFCrop, RFlag)**
- **Applied at the antenna level, raw correlations, or UV domain**
- **Discard corrupted time-frequency data**
- **Flagging method performance = RFI detection accuracy and false detection rate**
- **Flagging methods based on local or global data statistics**

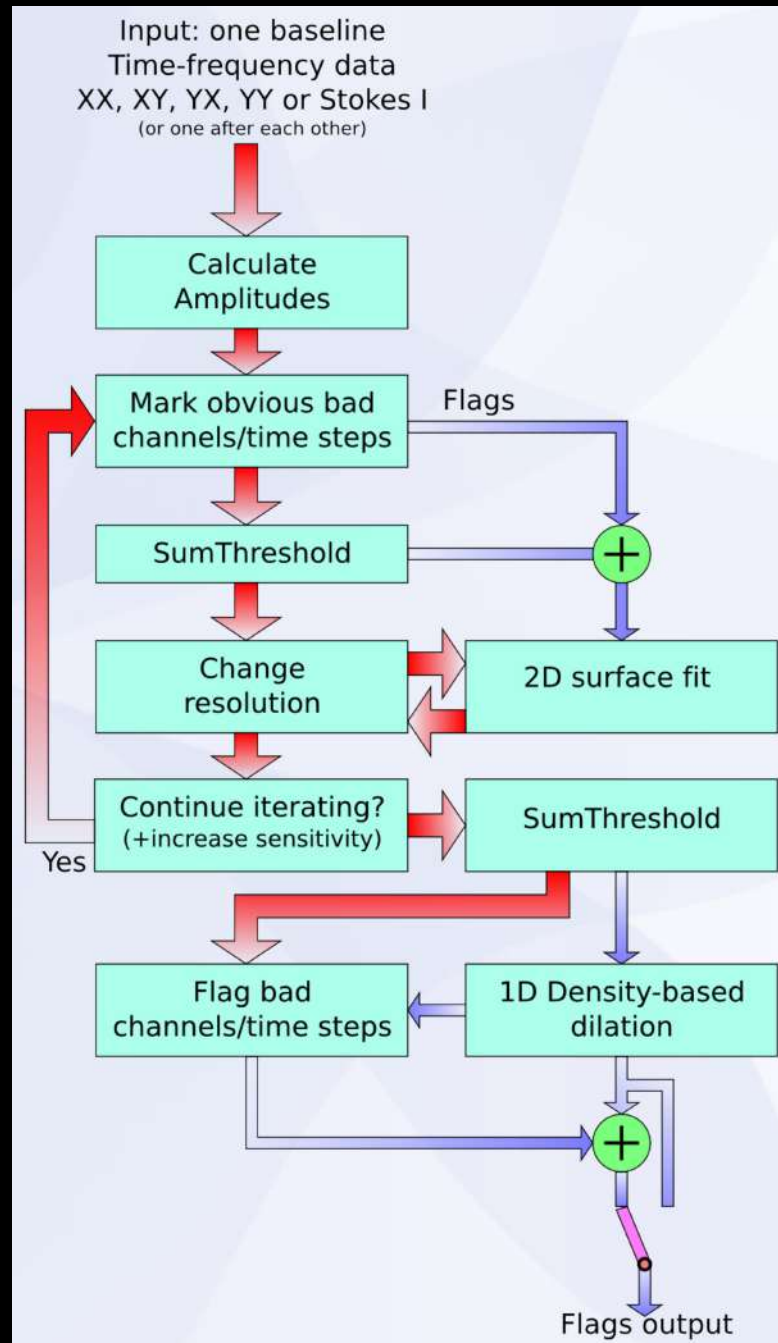




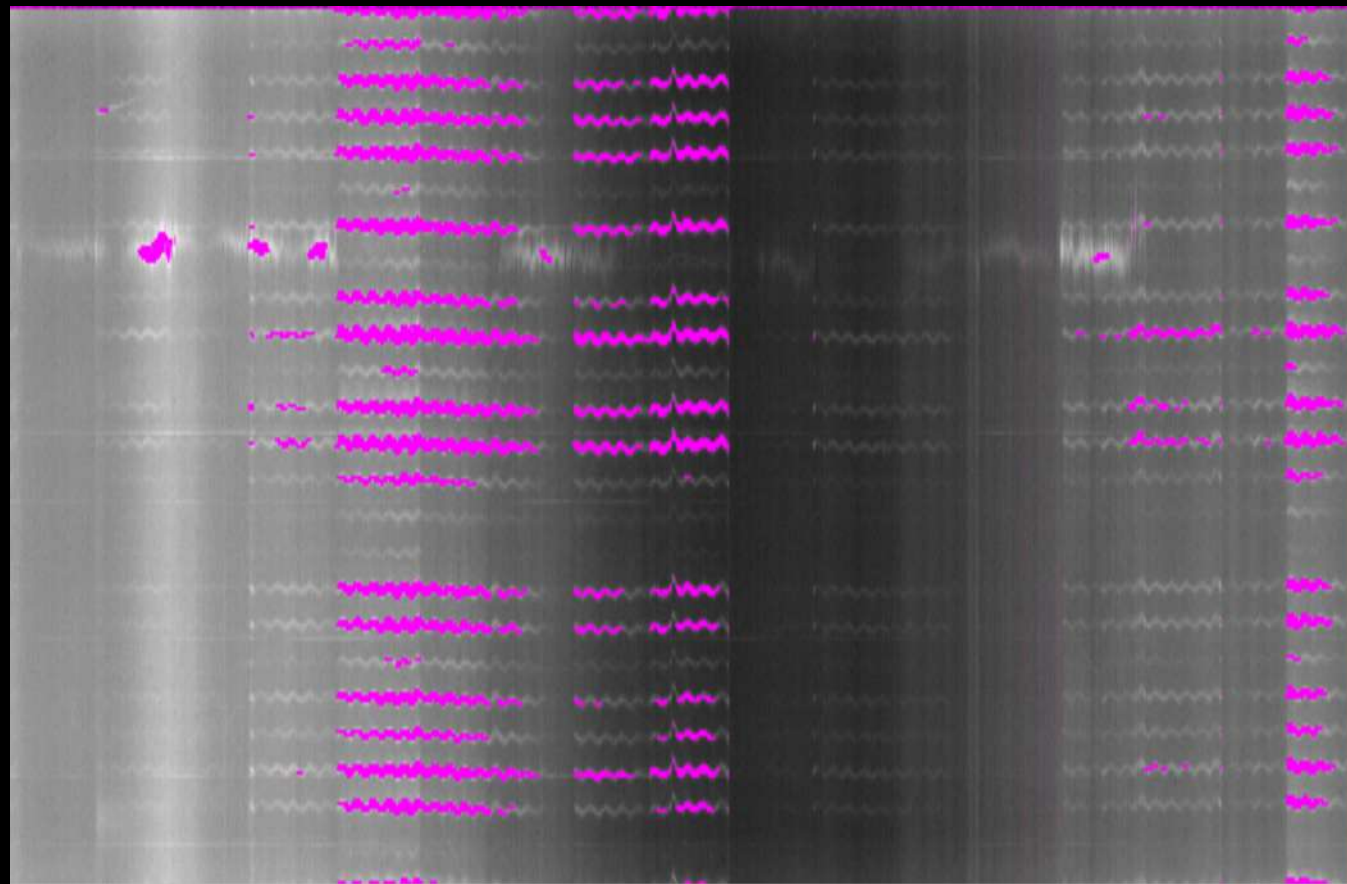
# RFI flagging

## Offringa et al 2012

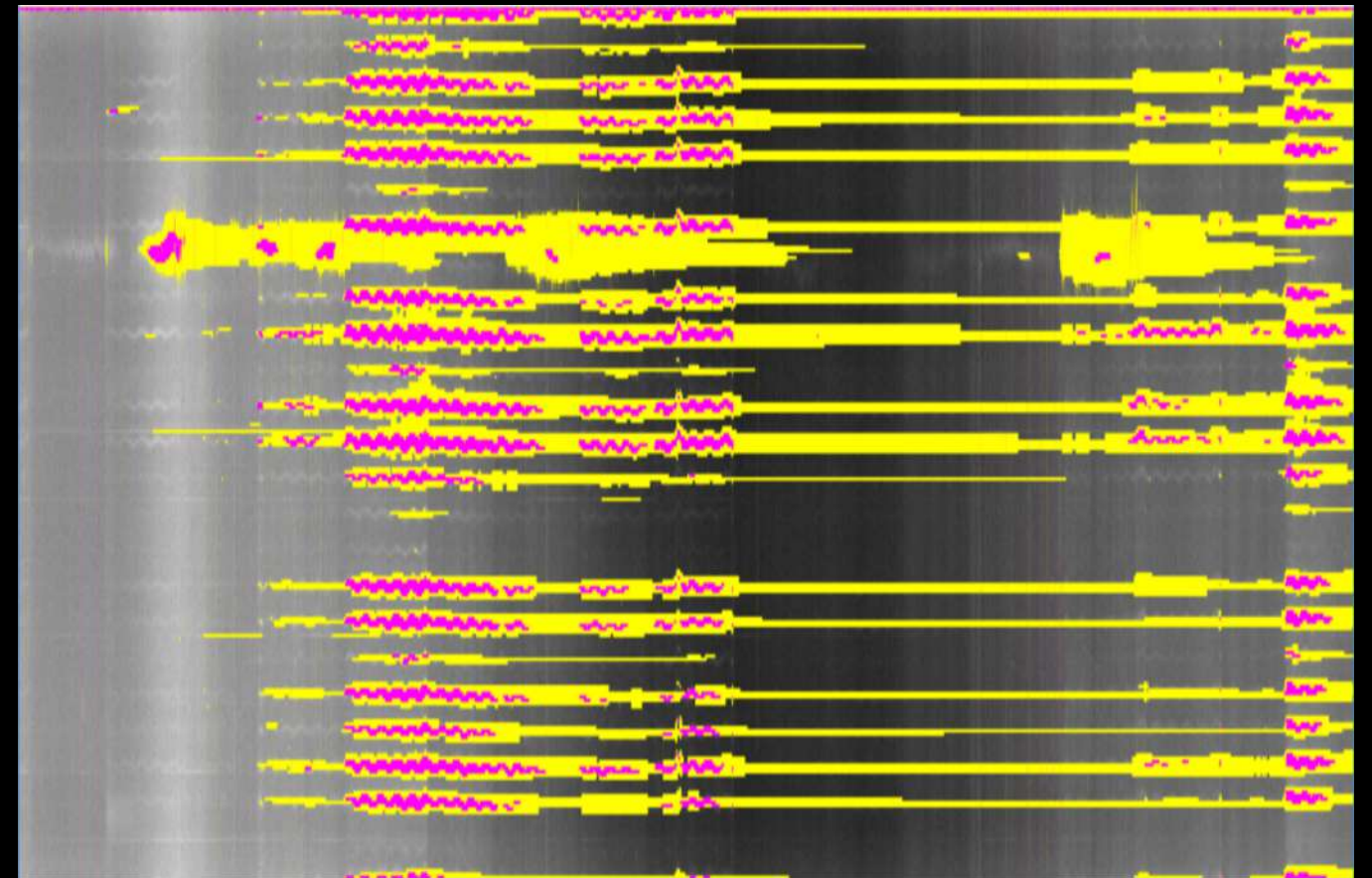
- One of most offline popular flagging methods
- LOFAR, MWA, WSRT, JVLA, GMRT, ATCA, Parkes, Arecibo...
- Available on CASA / AIPS++ / Miriad
- Limitations coming from rapidly varying sources (Sun, transients)
- Can scale linearly with # of samples



# RFI flagging



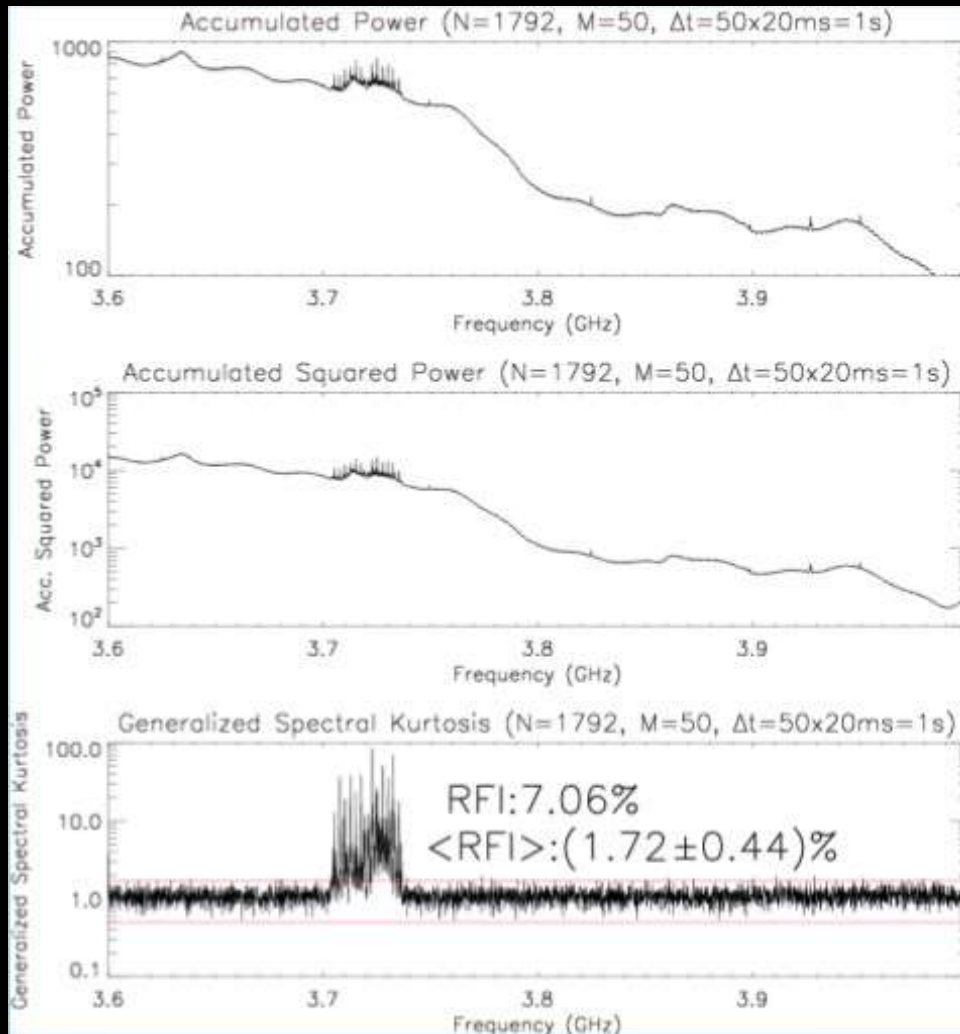
**Linear complexity**



**Dilution  
Non-linear complexity**

# Spectral Kurtosis

Nita et al. 2010

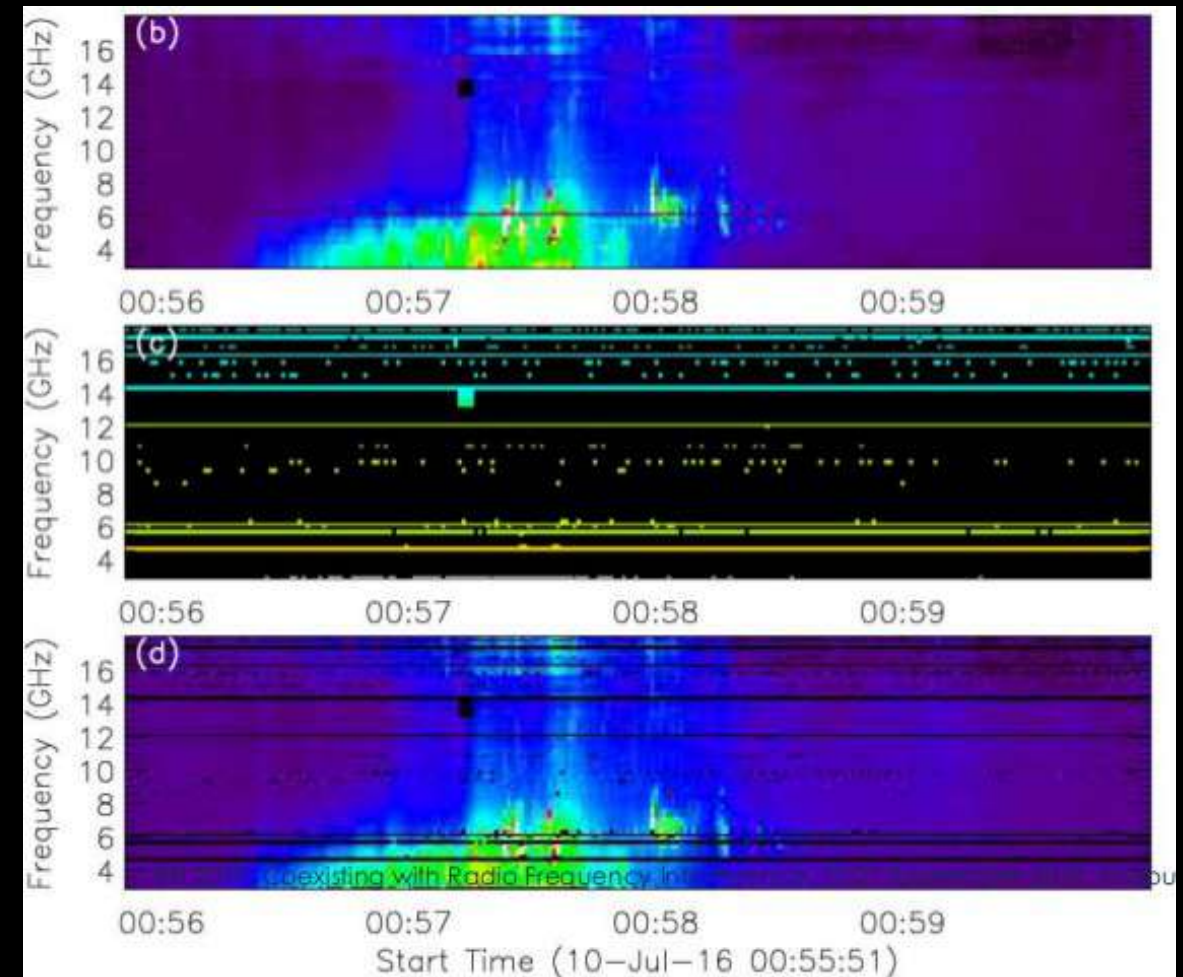


$$s_1 = \sum_{k=1}^N P_k$$

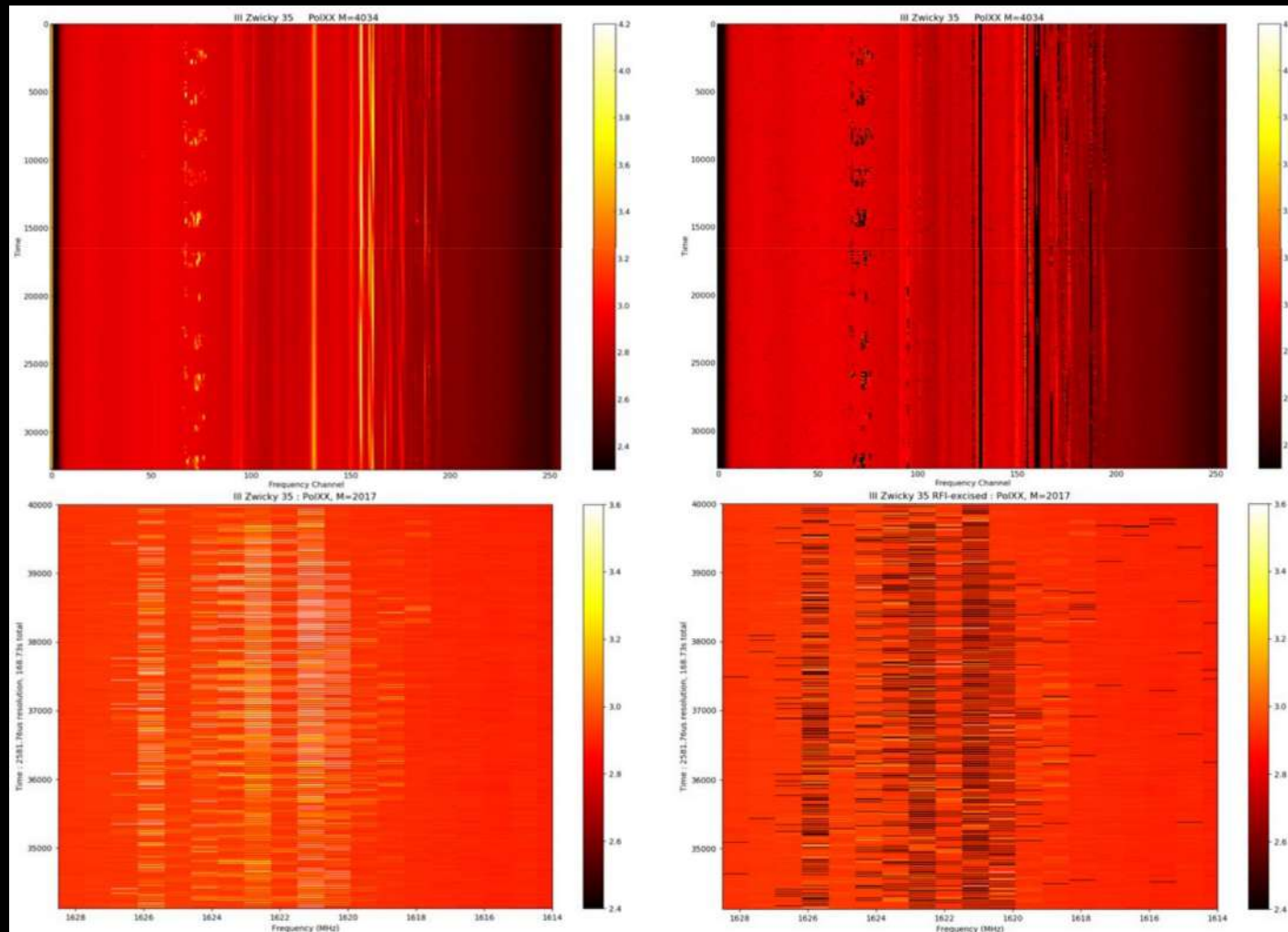
$$S_1 = \sum_{i=1}^M s_1$$

$$S_2 = \sum_{i=1}^M s_1^2$$

$$SK = \frac{MN + 1}{M - 1} \left( \frac{MS_2}{S_1^2} - 1 \right)$$



# Spectral Kurtosis

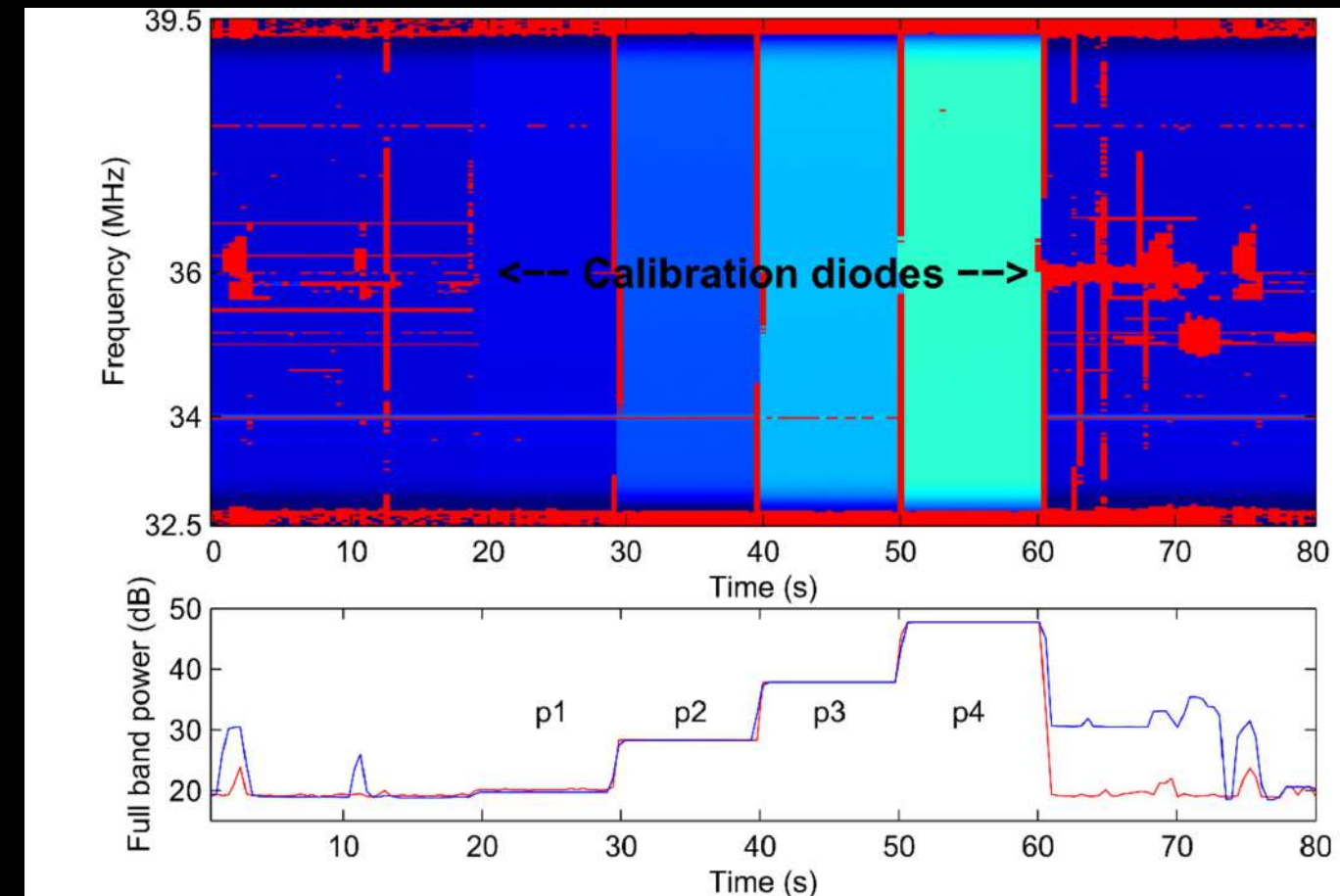
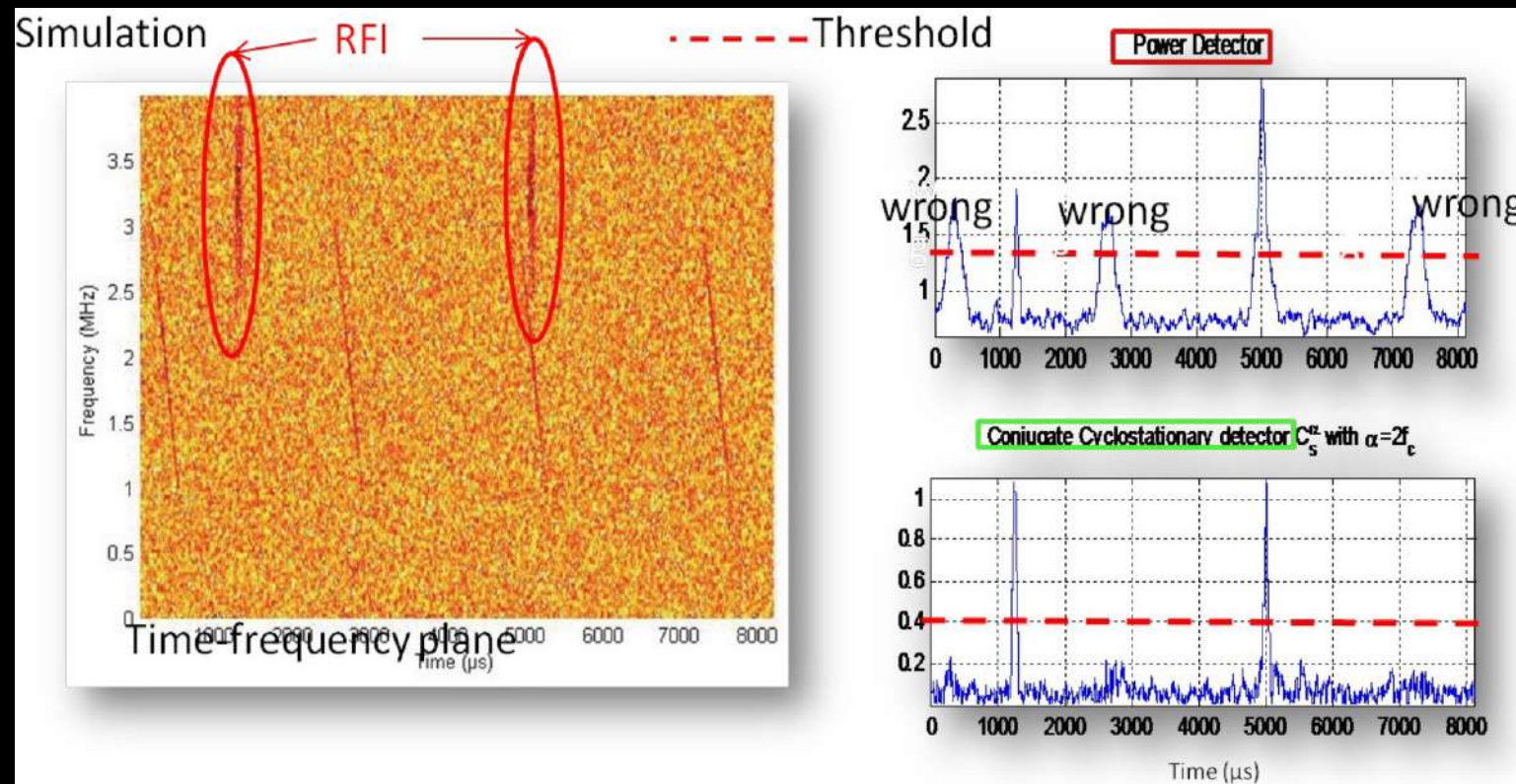


- **Good separation between astronomical and artificial emissions**
- **Multi-scale SK allows transient RFI detection**
- **Implemented at Green Bank Observatory to work on GUPPI Raw**
- **Generalized SK allows implementation on any correlation product (with loss of time resolution...)**

# Cyclostationary flagger

Changuel et al. 2011

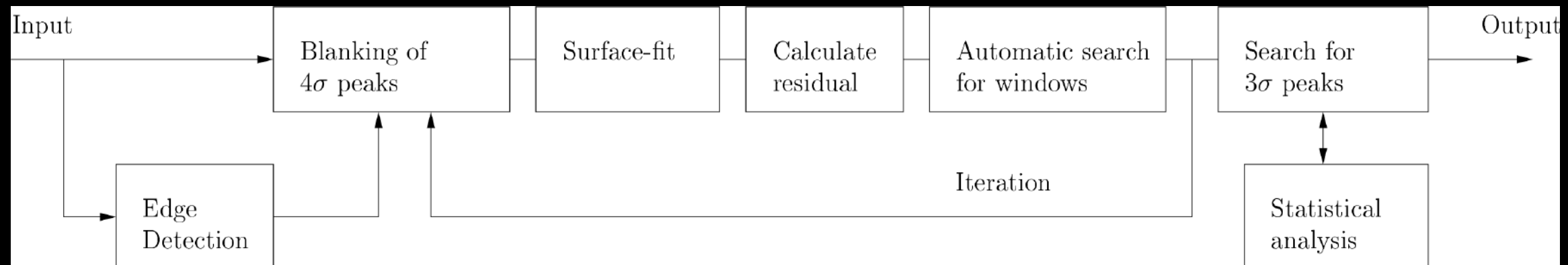
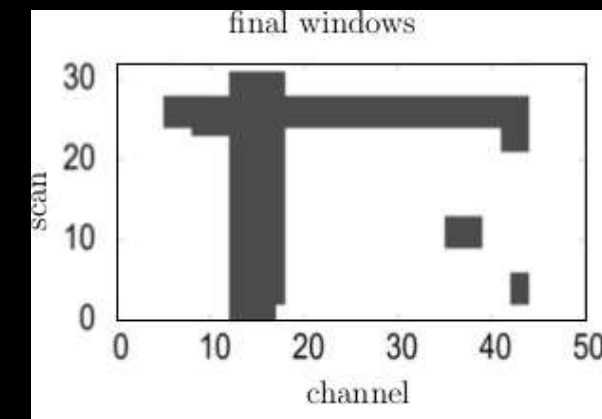
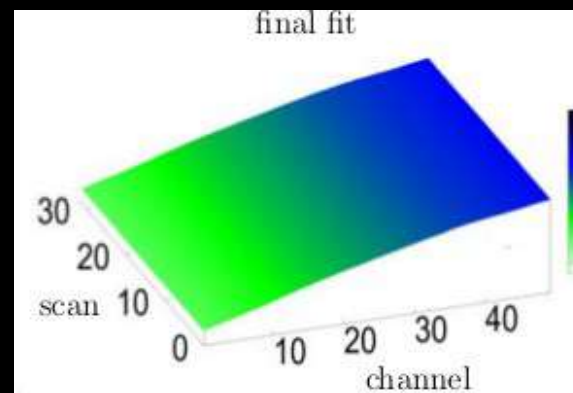
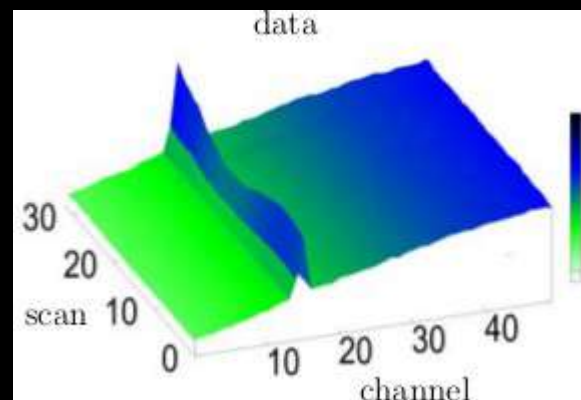
Separates cyclostationary sources (RFI) from non-cyclostationary (natural emissions)  
(Nançay decametric array)



# RFI excision

Winkel et al. 2007

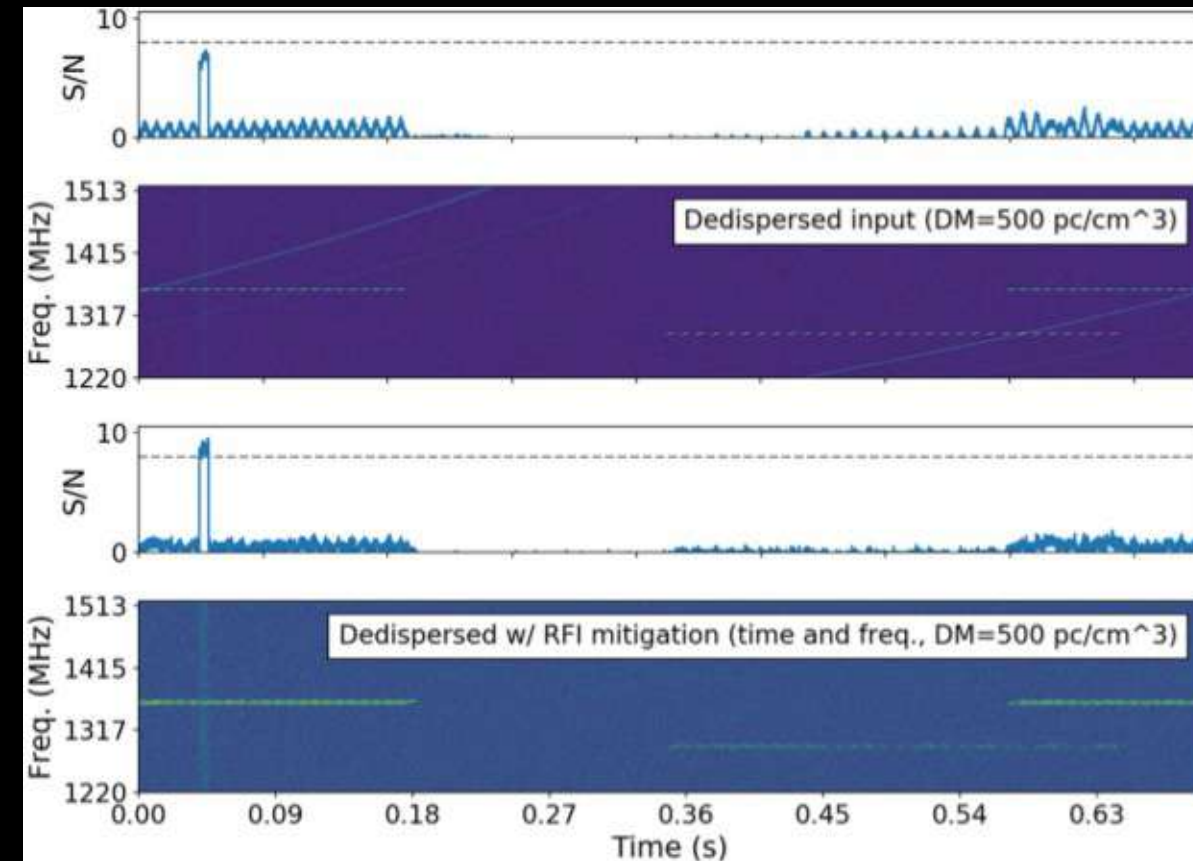
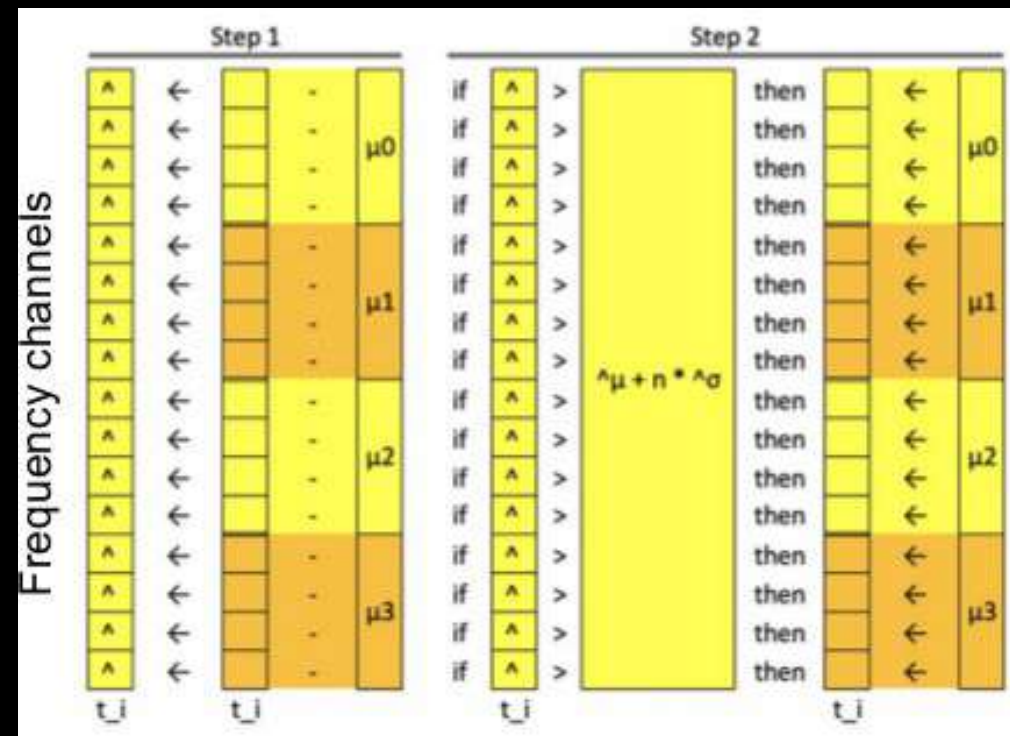
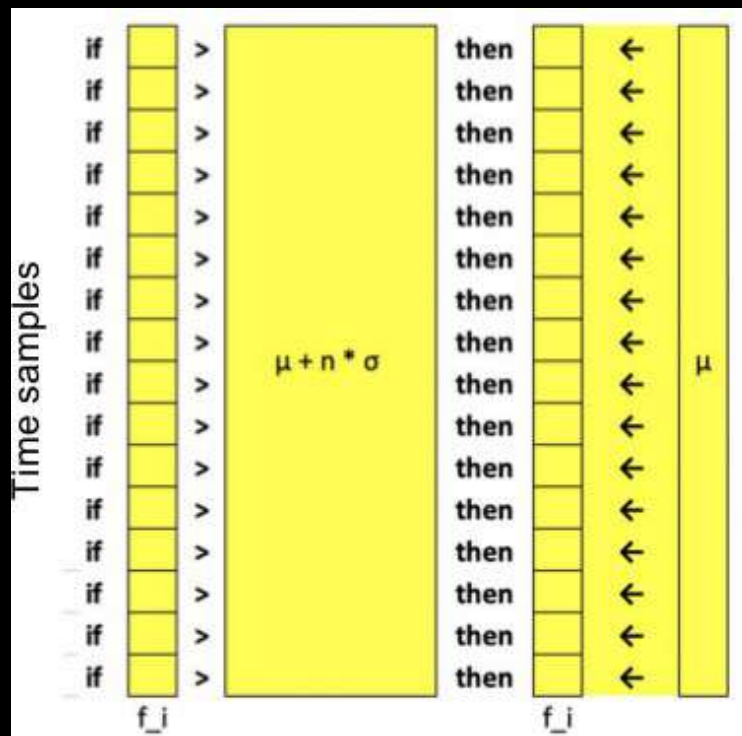
- RFI-free T-F power smoothness constraint
- Robust surface fitting applied to correlated data



# Real-Time thresholding

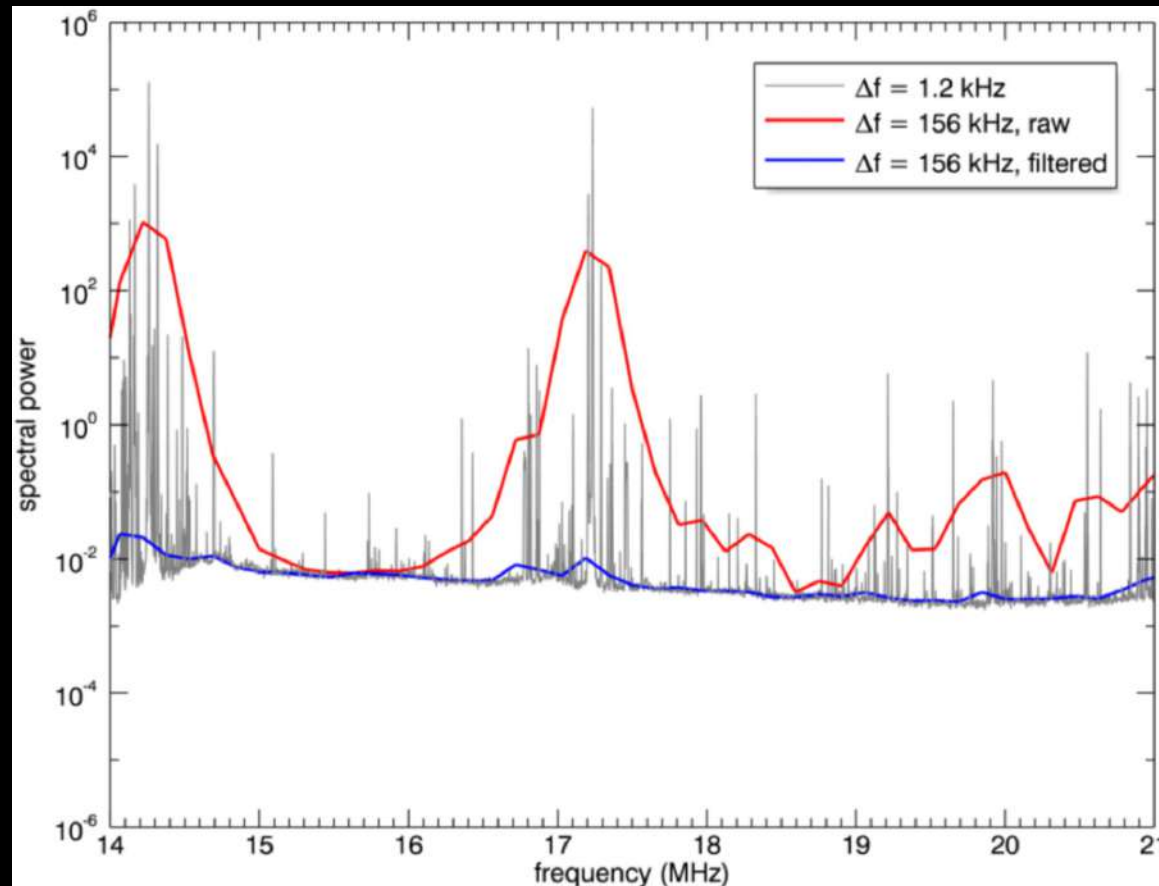
Sclocco et al. 2019

Developed for APERTIF FRB pipeline to reduce false positive rates



# Real-time RFI flagging/blanking

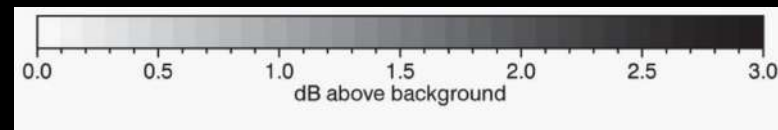
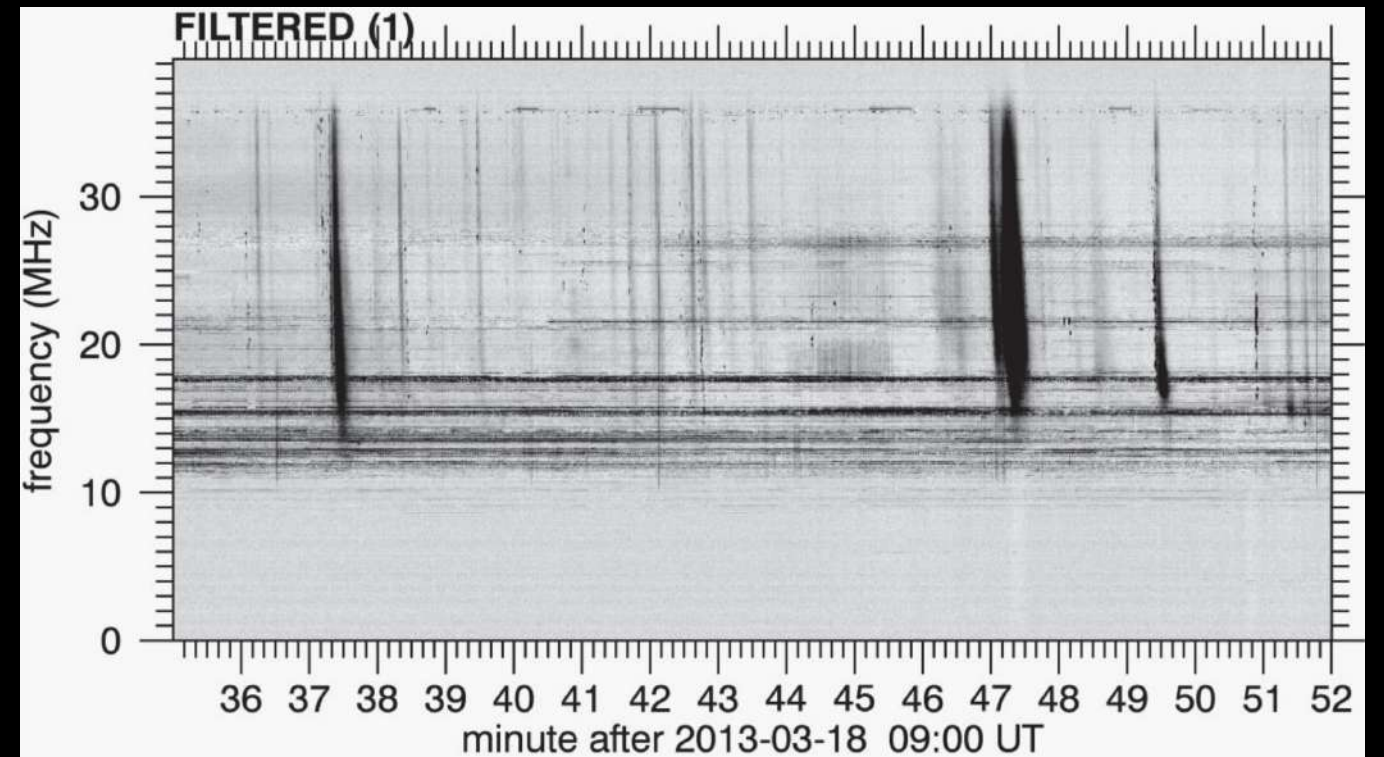
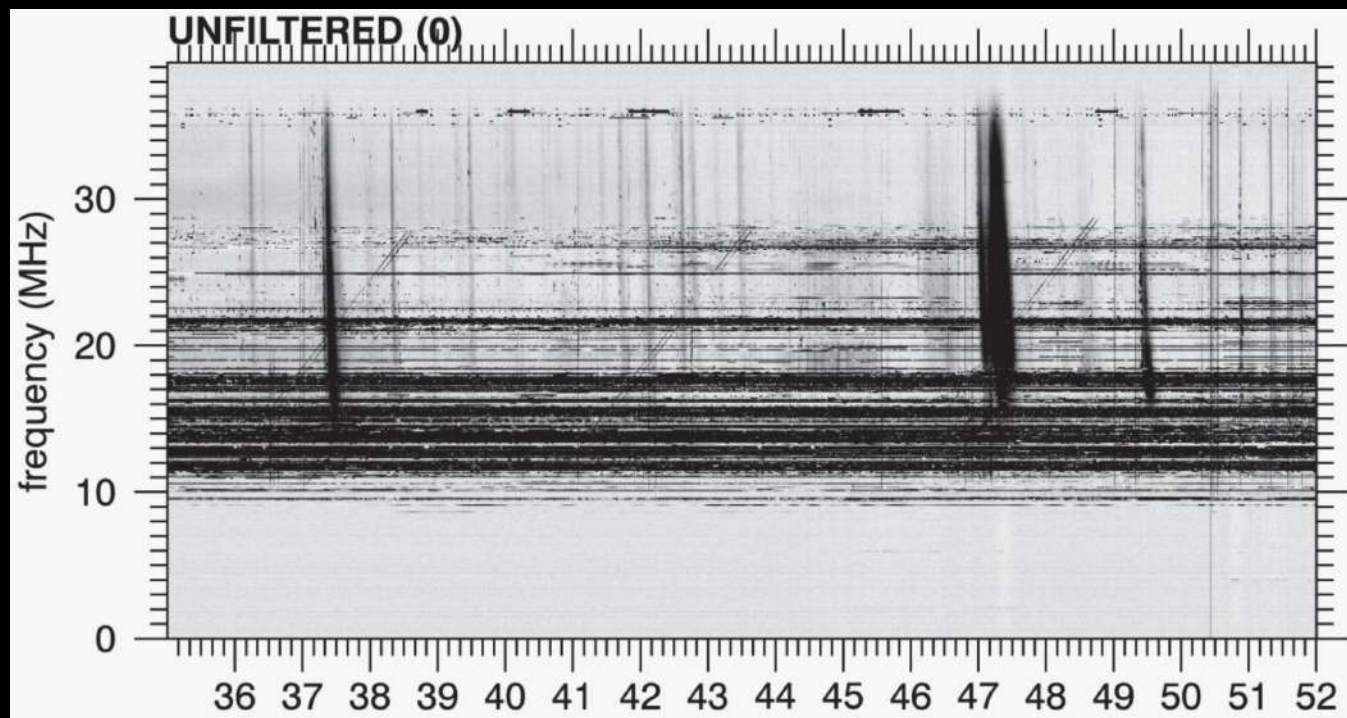
Dumez-Viou et al. 2017



- **Real-time RFI detection at “high” spectral resolution (resolution = 1.2 kHz)**
- **Affected bins replaced with median value (resolution = 156 kHz)**
- **Produces compelling results at astronomical spectral resolution**
- **Real-time implementation on Nançay decameter array**



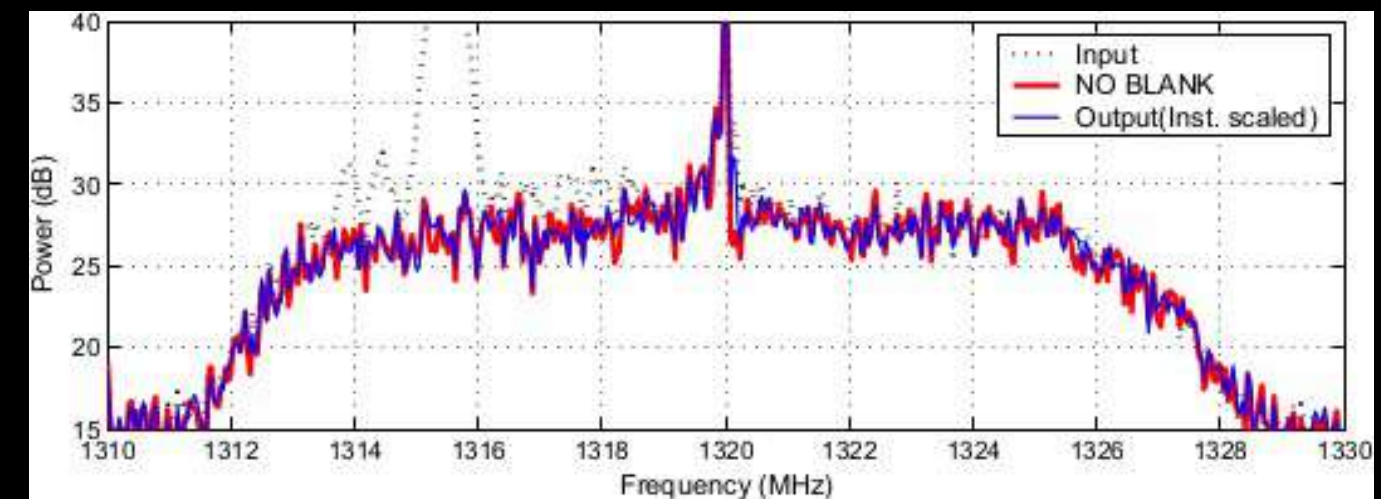
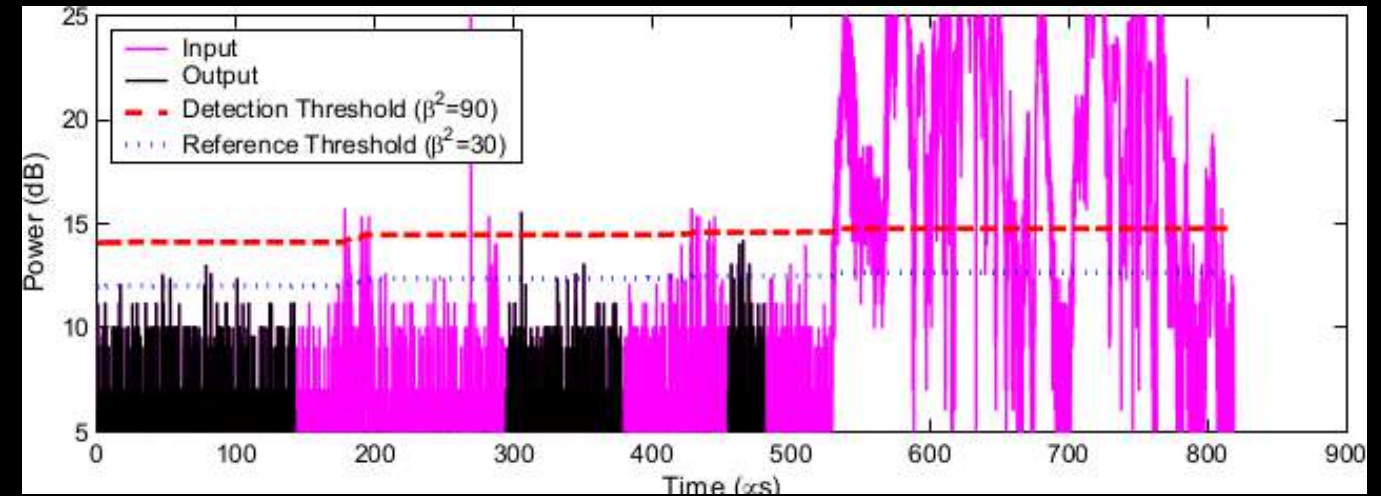
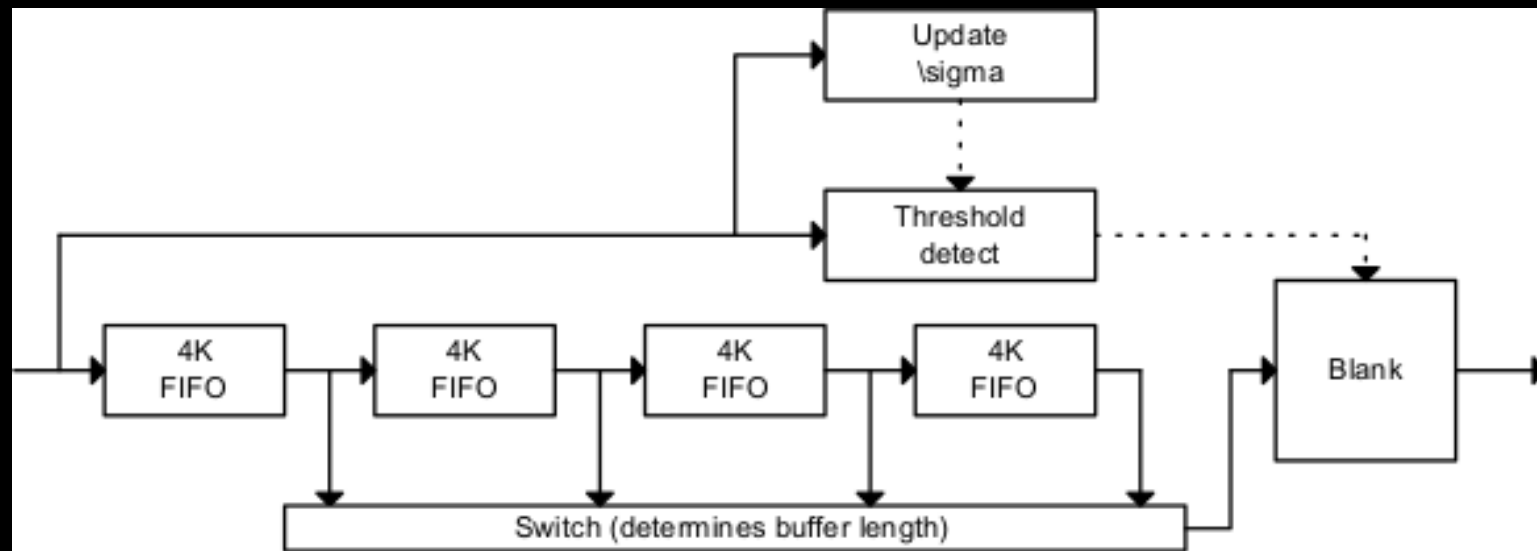
# Real-time RFI flagging/blanking



# Asynchronous Pulse Blanking

Niamsuwan et al. 2004

RADAR Pulse blanker demonstrated with Arecibo data

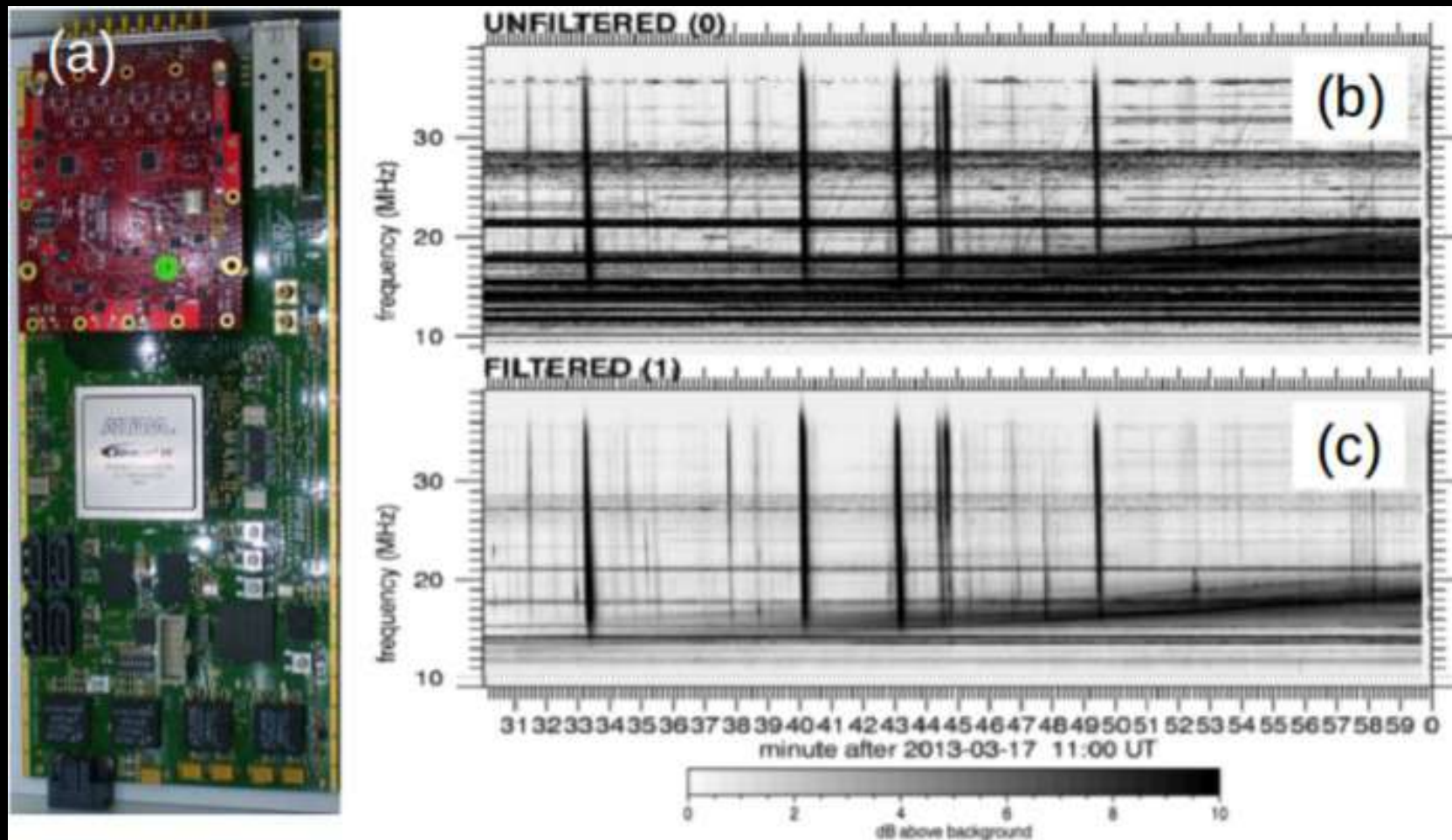


# Flagging / Blanking

- **Flagging methods are generally “tried and trusted”**
- **Higher detection sensitivity can be reached with matched detectors if a priori information is available**
- **Loss of data → might severely impact telescope sensitivity in the future**
- **Usually works best at high Interference-to-Noise Ratio regimes**
- **Limitation : Fast-varying astronomical sources, fast fringes, spectral lines — but usually astronomical sources are weak compared to RFI**
- **High-cadence signal processing or appropriate storage required**
- **Scattering and multipath – independent**

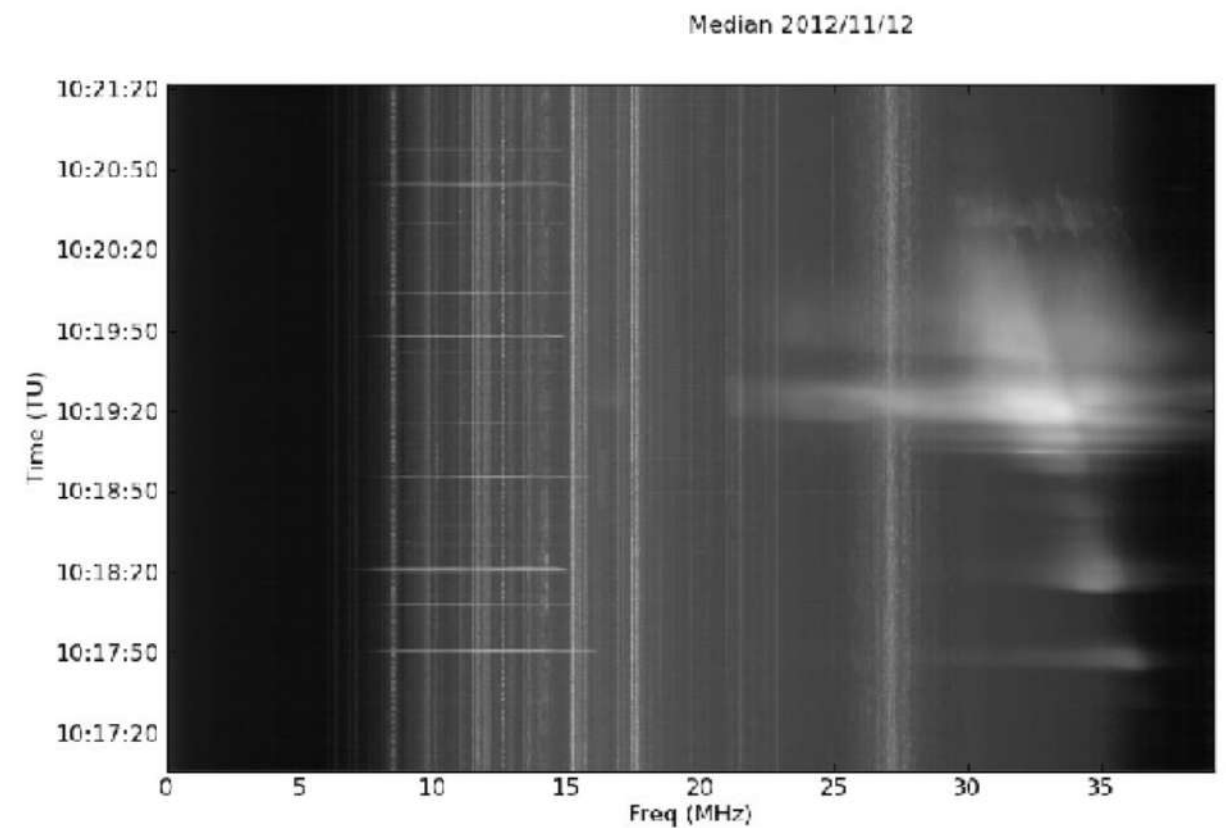
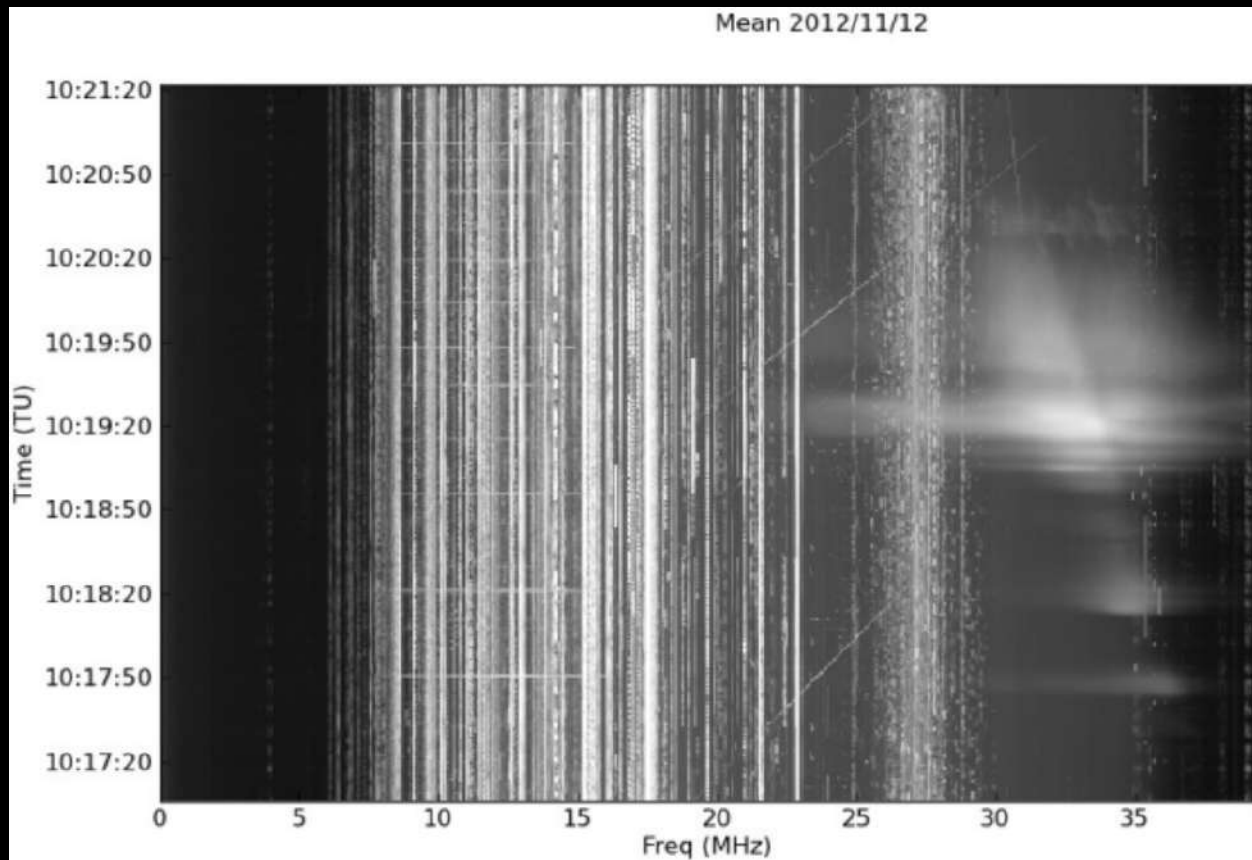
# Median T–F filtering

Dumez–Viou et al. 2017

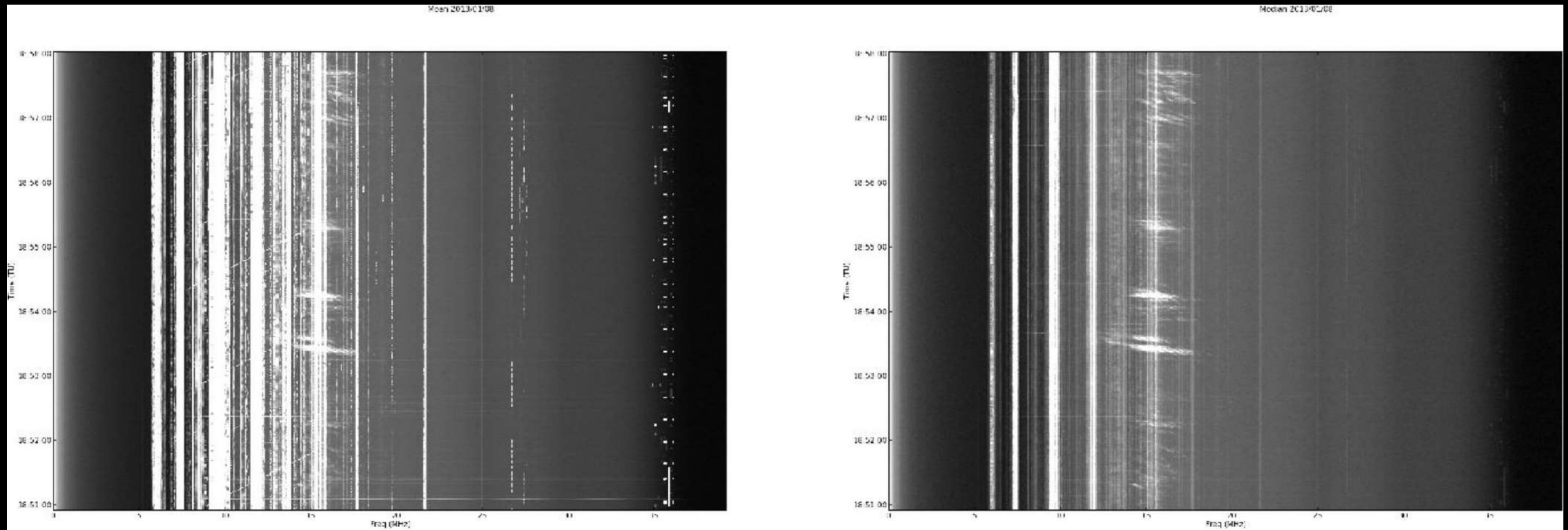


- **Dedicated board processes post-correlation data products**
- **Median filtering removes most RFI leaving astronomical signal (solar bursts) unaffected**
- **Operational since 2016 on decameter array at Nançay observatory**

# Median T-F filtering



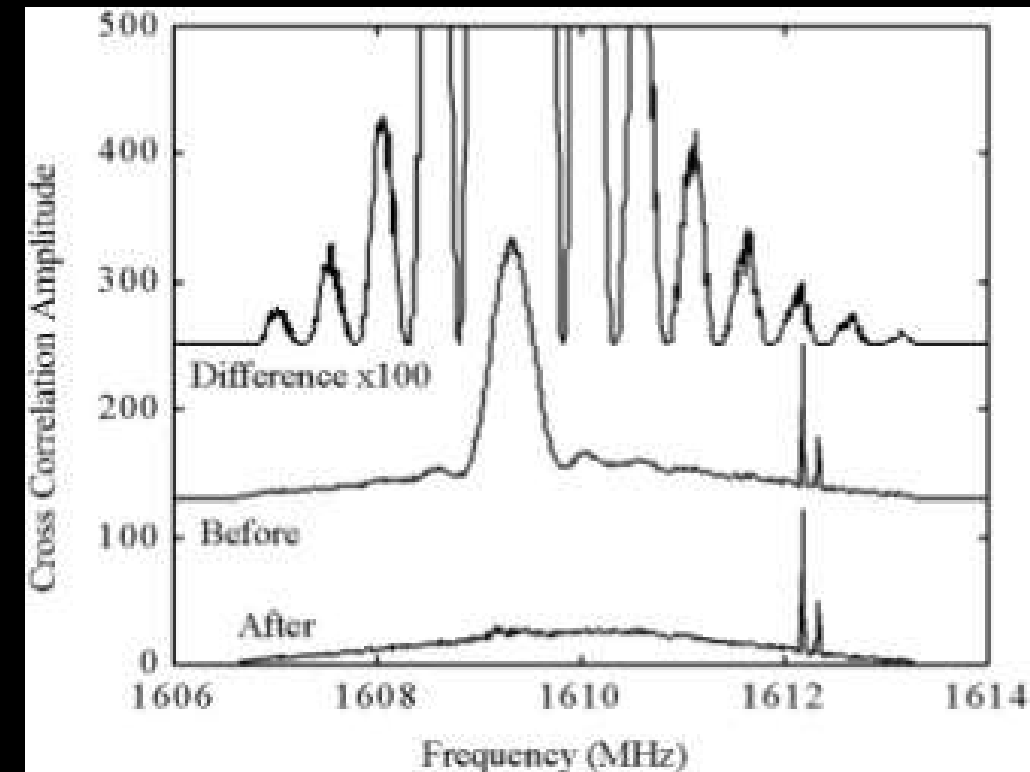
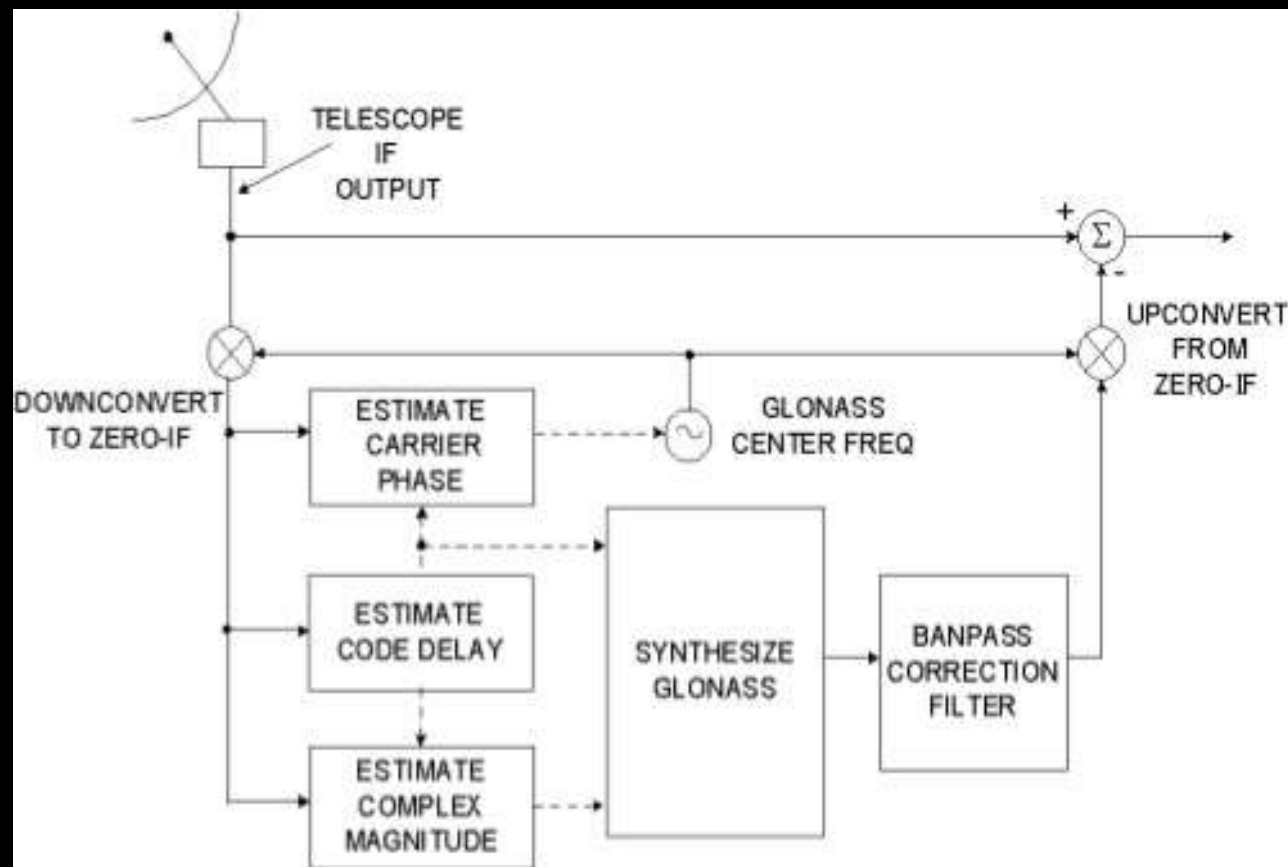
# Median T-F filtering



# Estimation & Subtraction

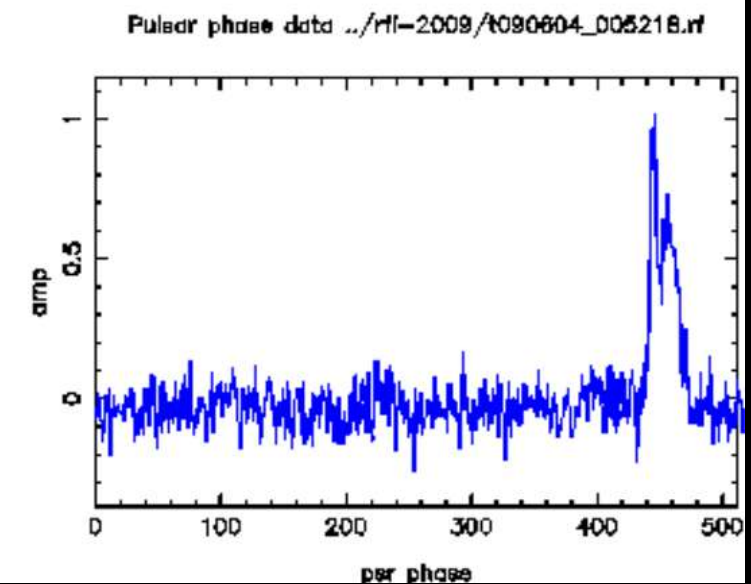
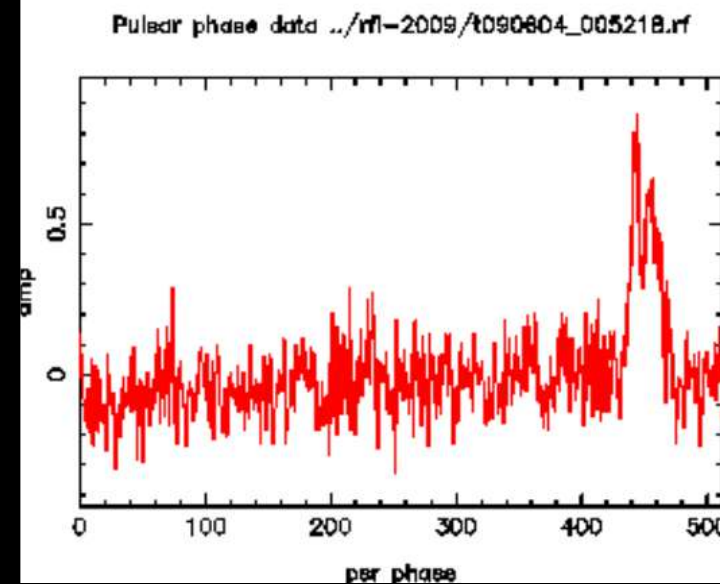
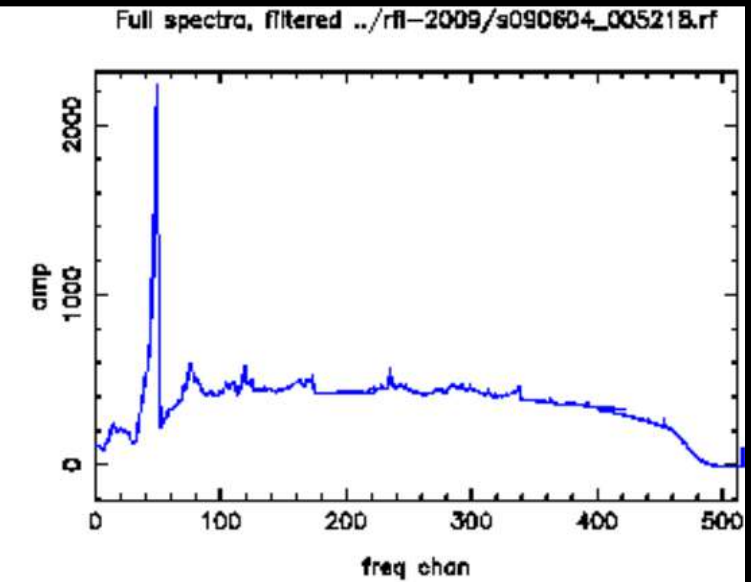
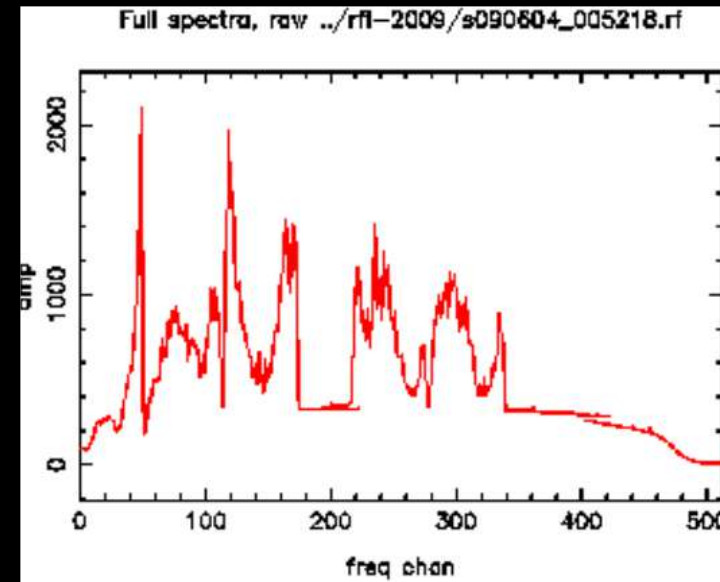
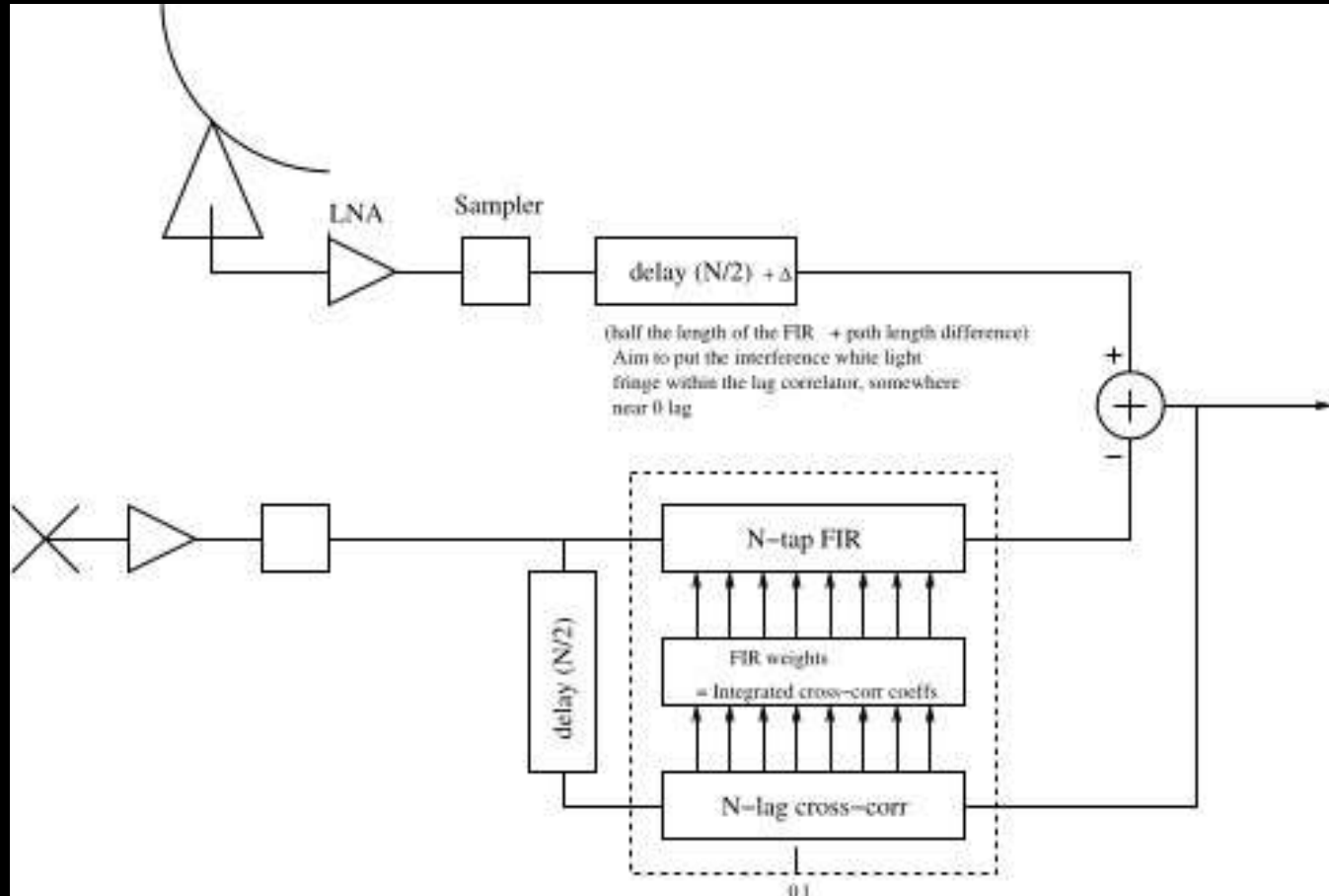
Ellingson et al. 2001

Estimation of modulation parameters from GLONASS C/A transmission, waveform modeling, and subtraction from ATCA single antenna signal



# Adaptive filtering

Kesteven et al. 2005

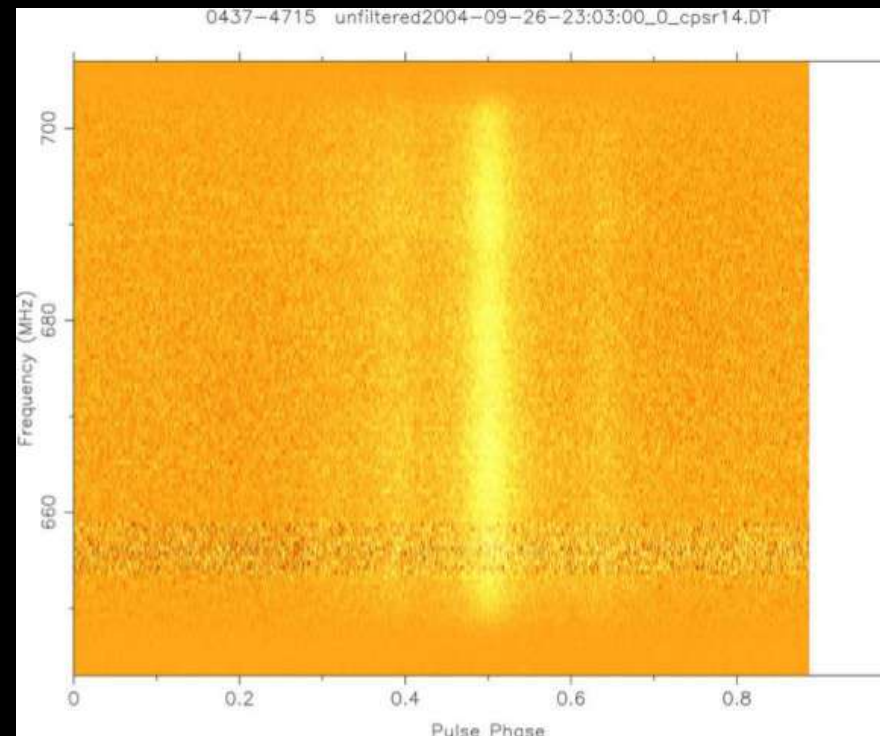




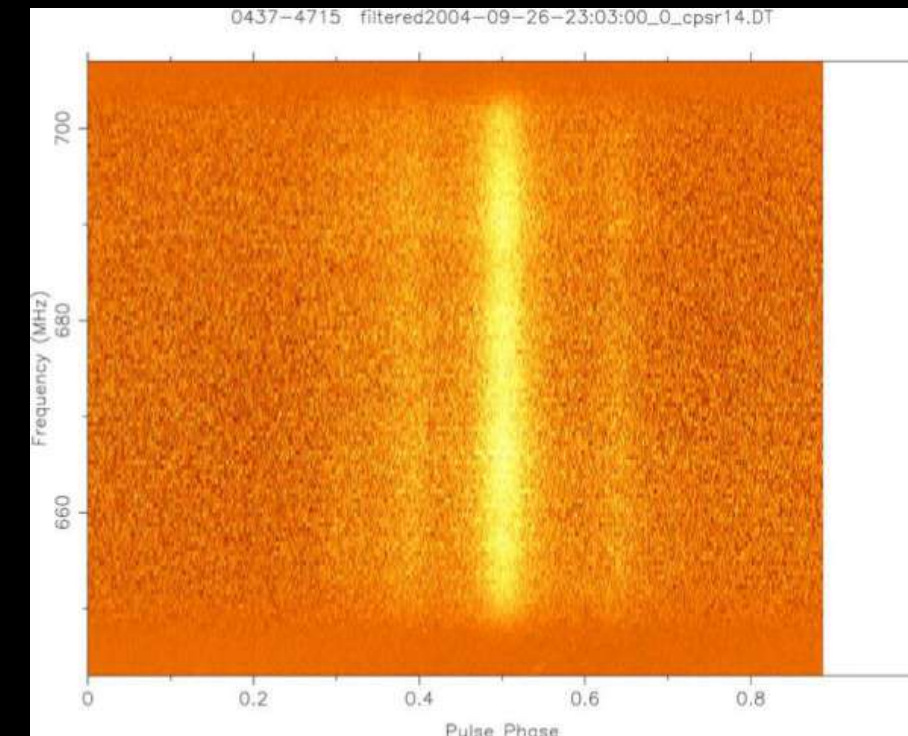
# Adaptive filtering

- **Suitable for single dish observation (pulsar or VLBI)**
- **Not suitable for multiple transmitters**
- **Robust to transmission channel changes (multipath, fading)**
- **Requires additional reference antenna + a priori information**

**No filter**



**With filter**



# Spatial filtering



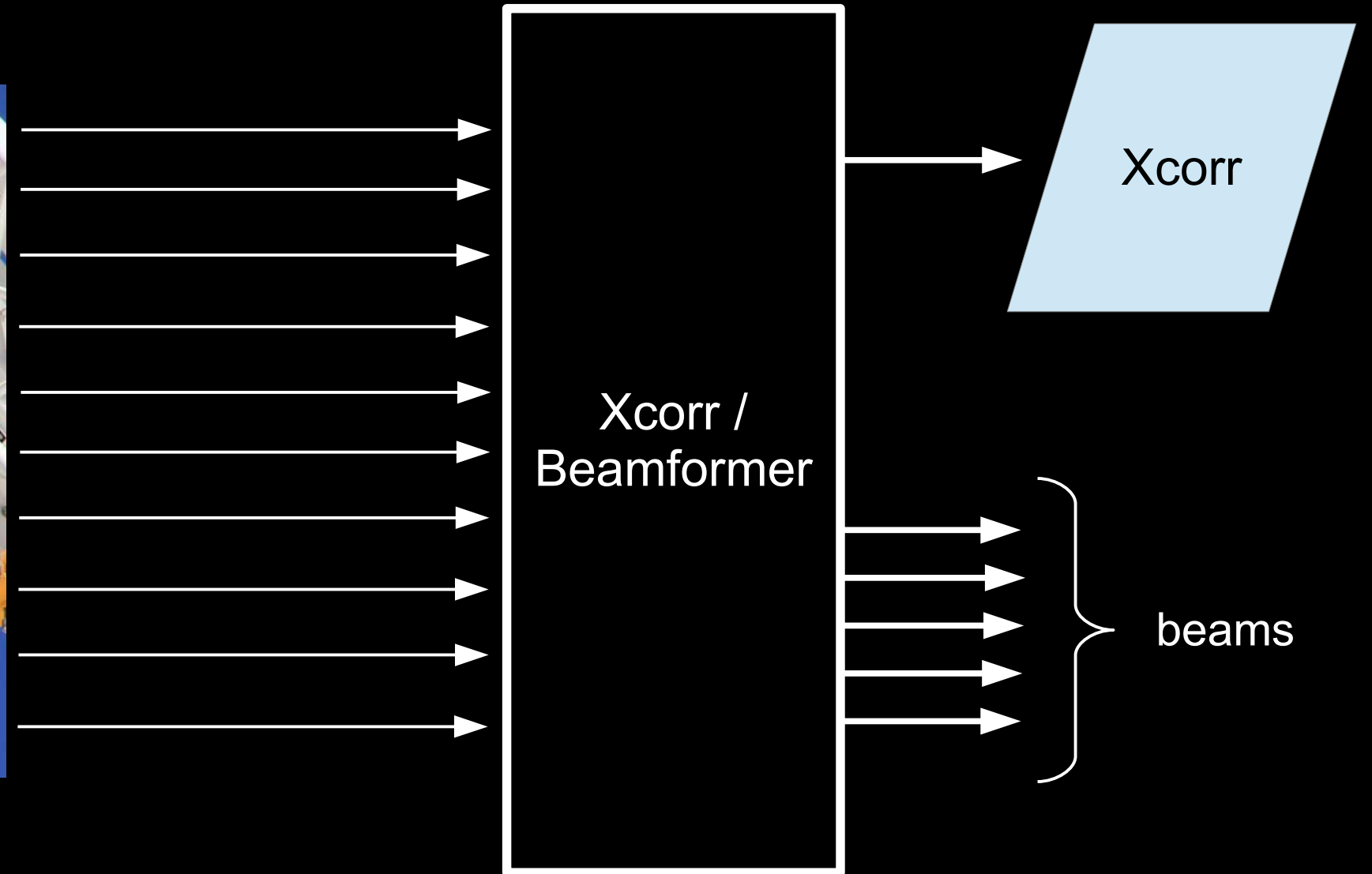
# Spatial filtering



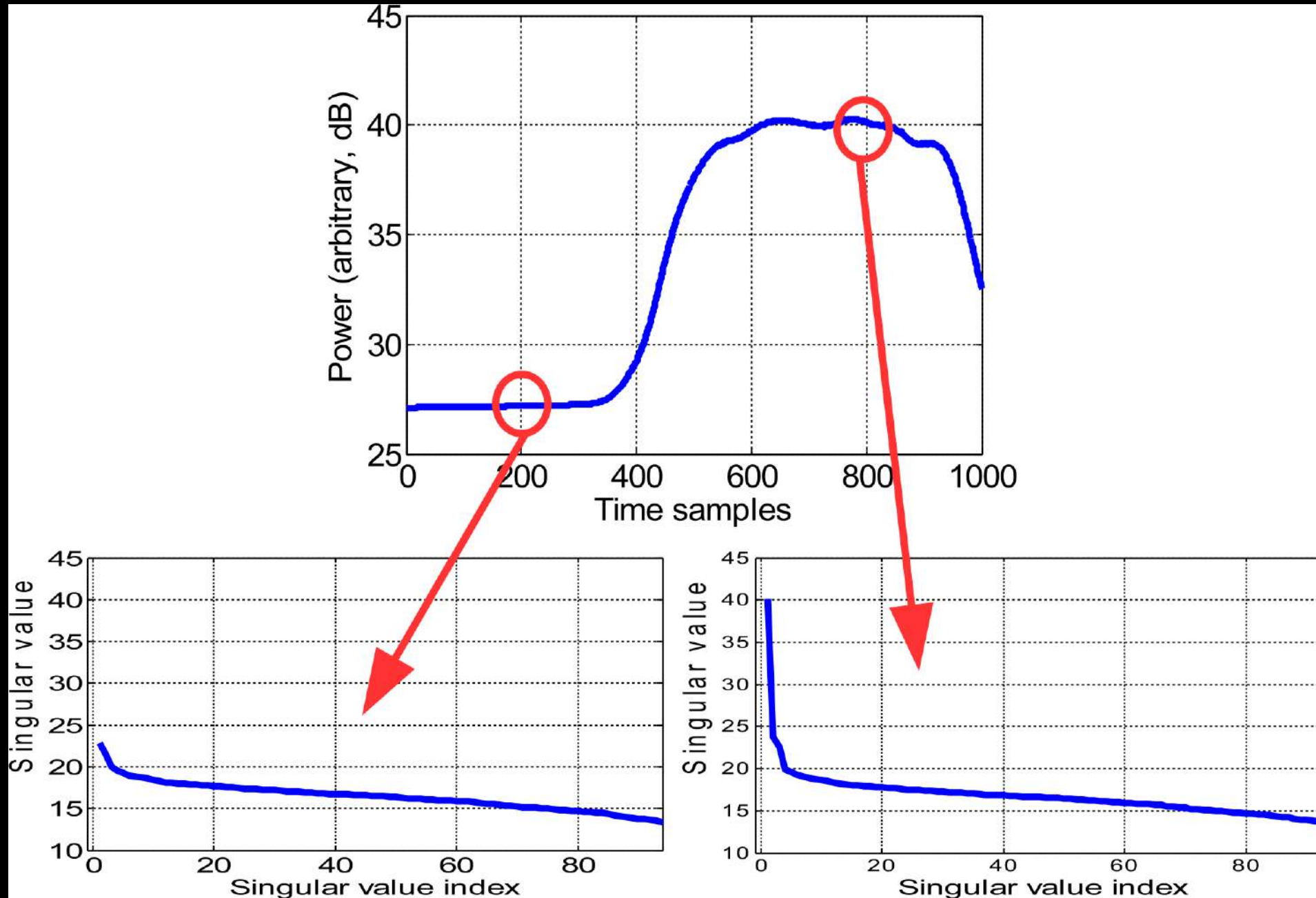
# Spatial filtering



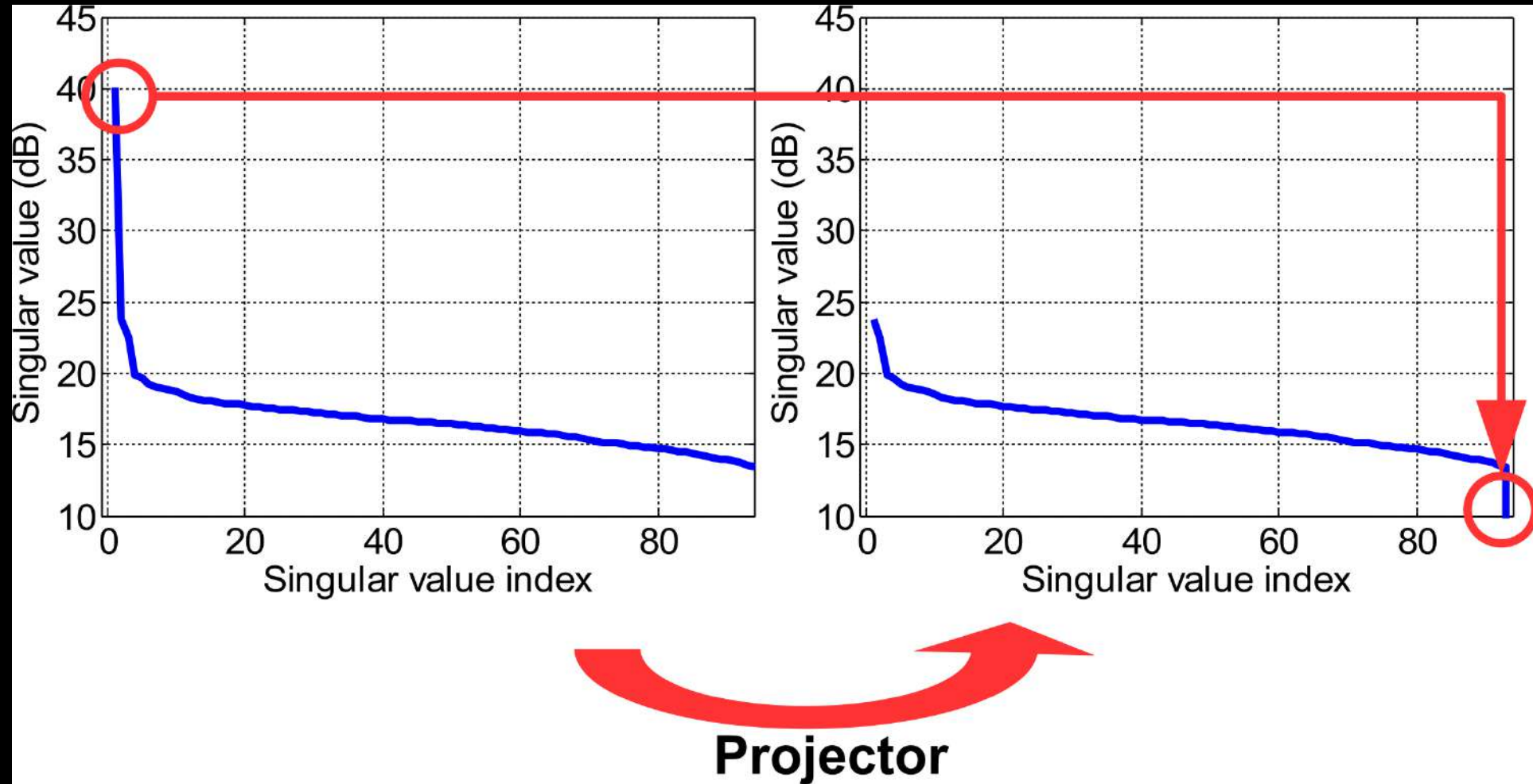
# Spatial filtering



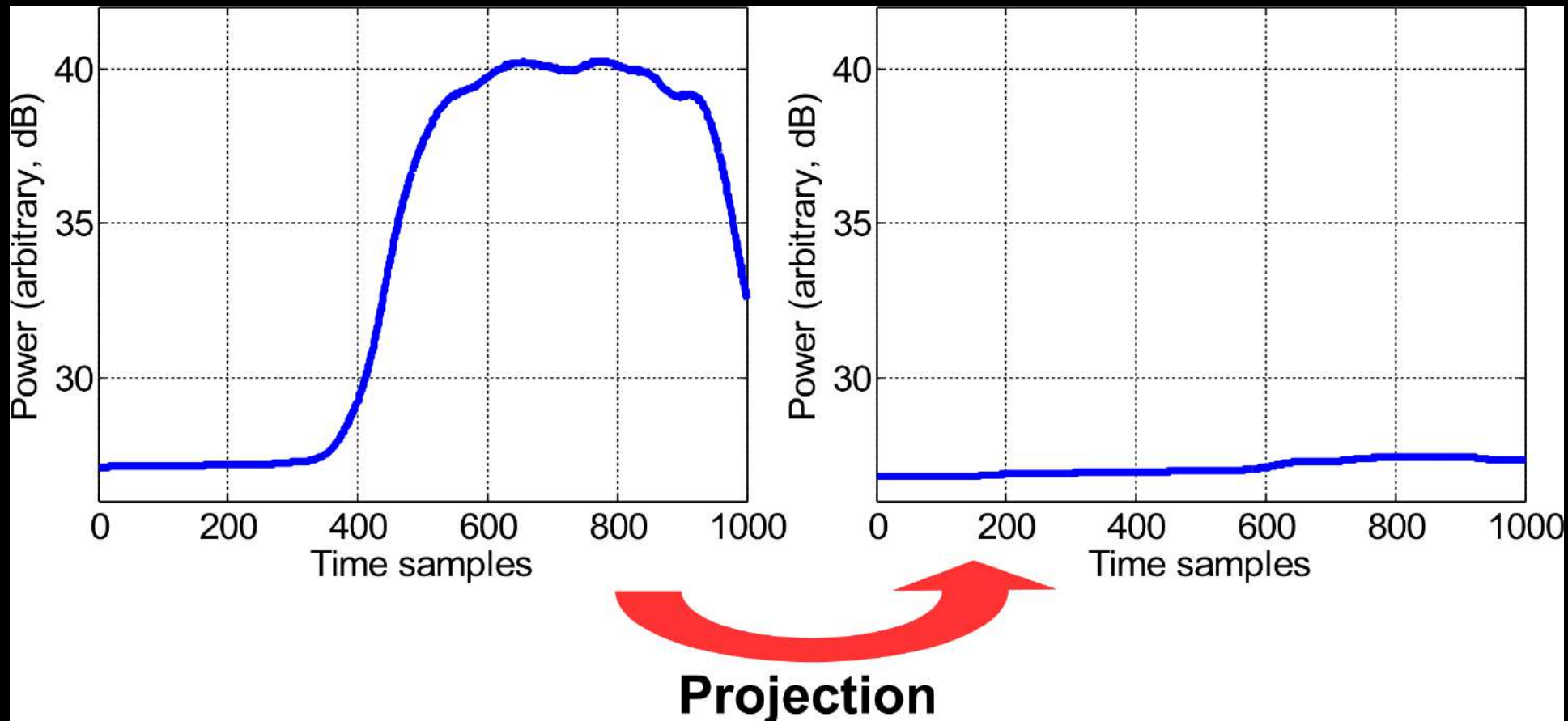
# Spatial filtering



# Spatial filtering



# Spatial filtering

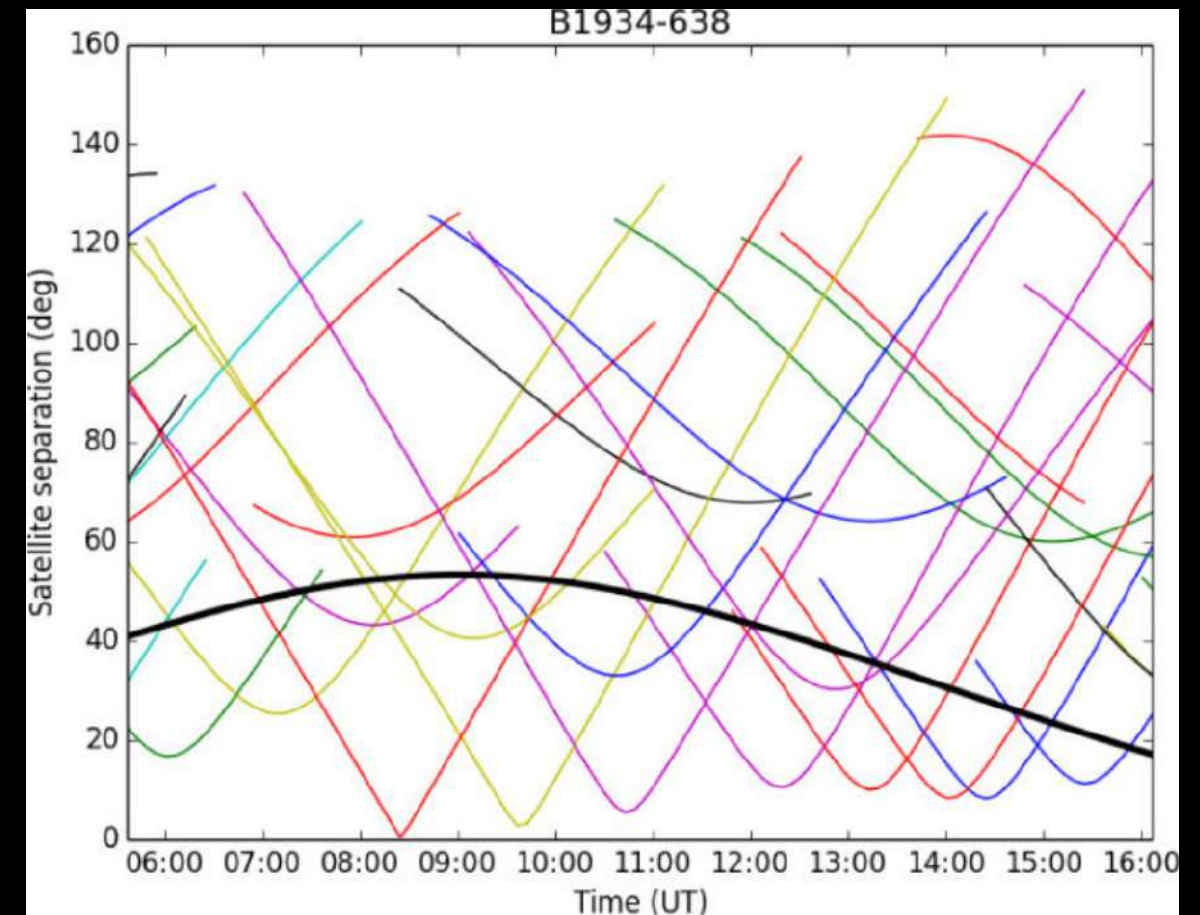




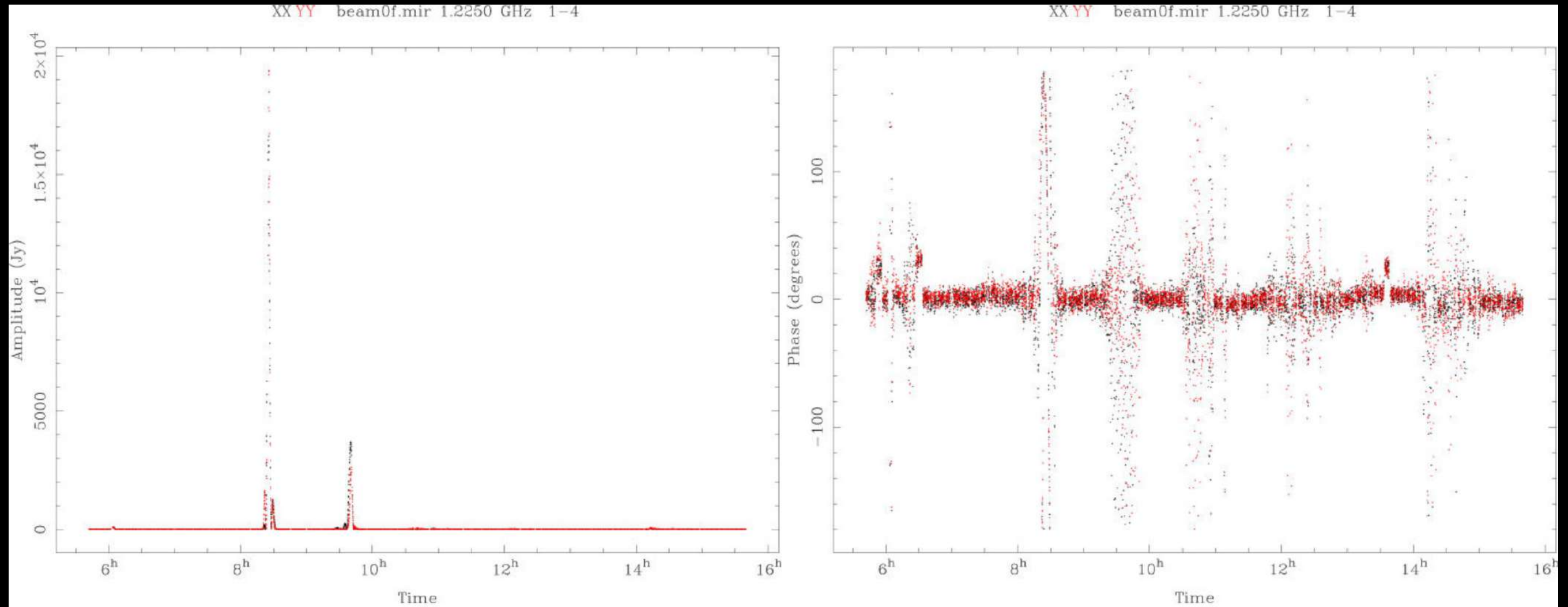
# Spatial filtering

**Hellbourg et al. 2016**

- **1 MHz bandwidth processed at  $f_0 = 1,225$  MHz (GPS L2 band)**
- **10 h observation of PKS B1934–63**
- **5 BETA antennas**
- **9 beams/antenna initialized by same MaxSNR beamformer**
- **Xcorr matrix integrated over 2 s**

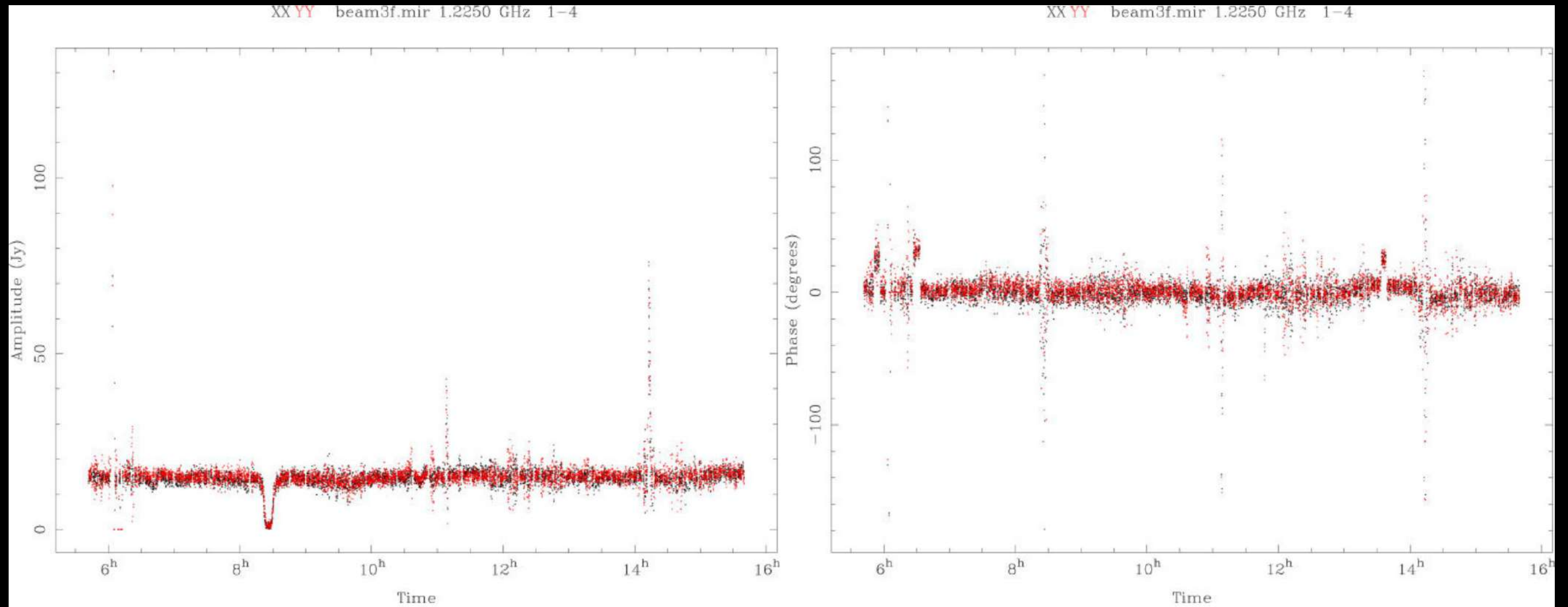


# Spatial filtering



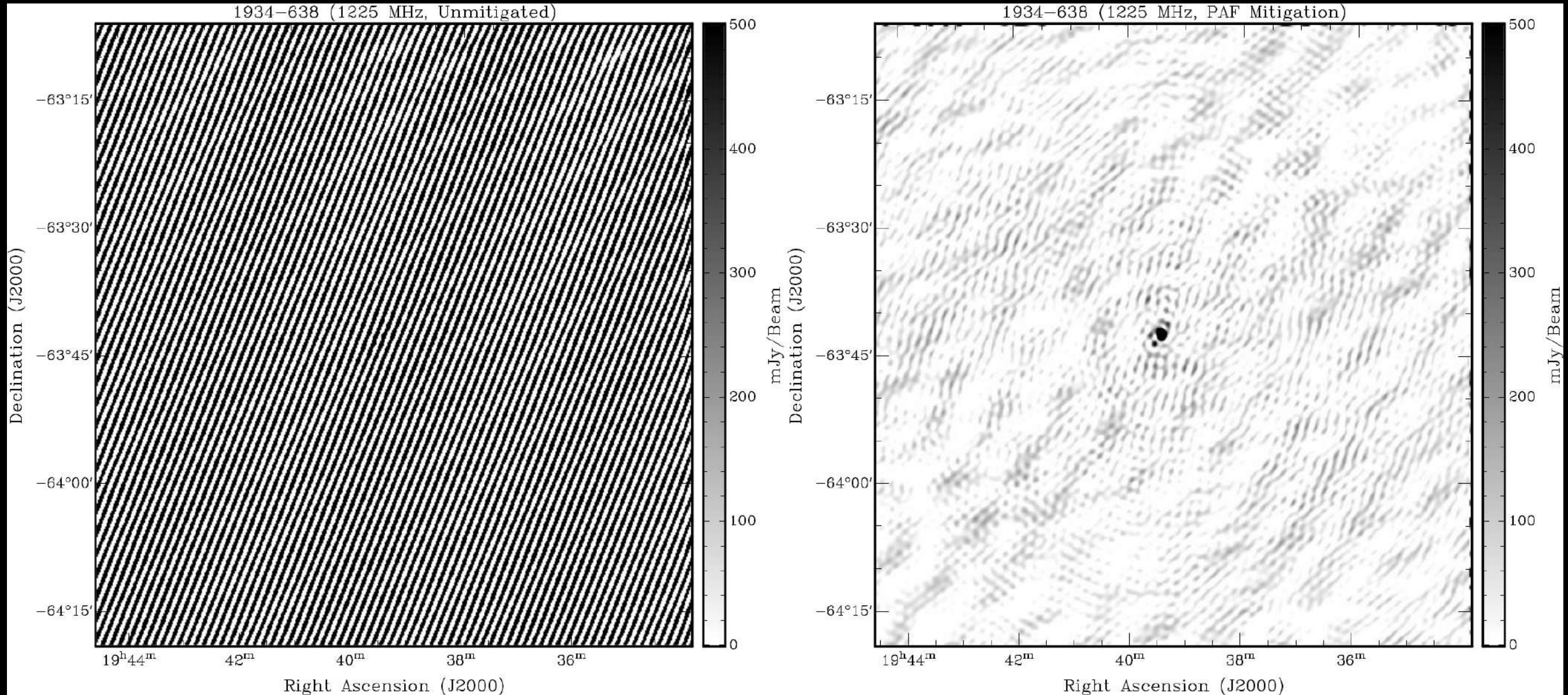
AK01 - AK06

# Spatial filtering



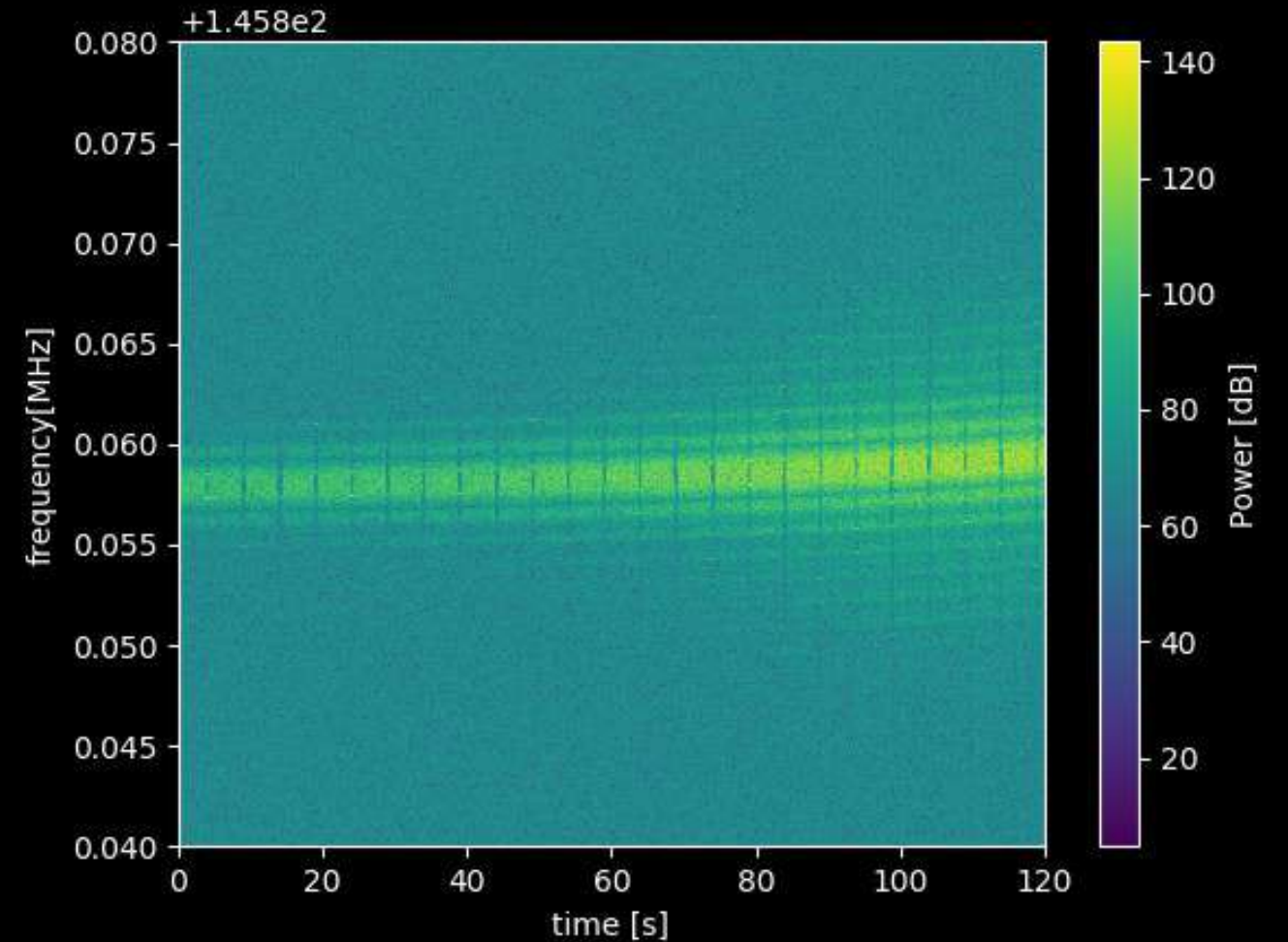
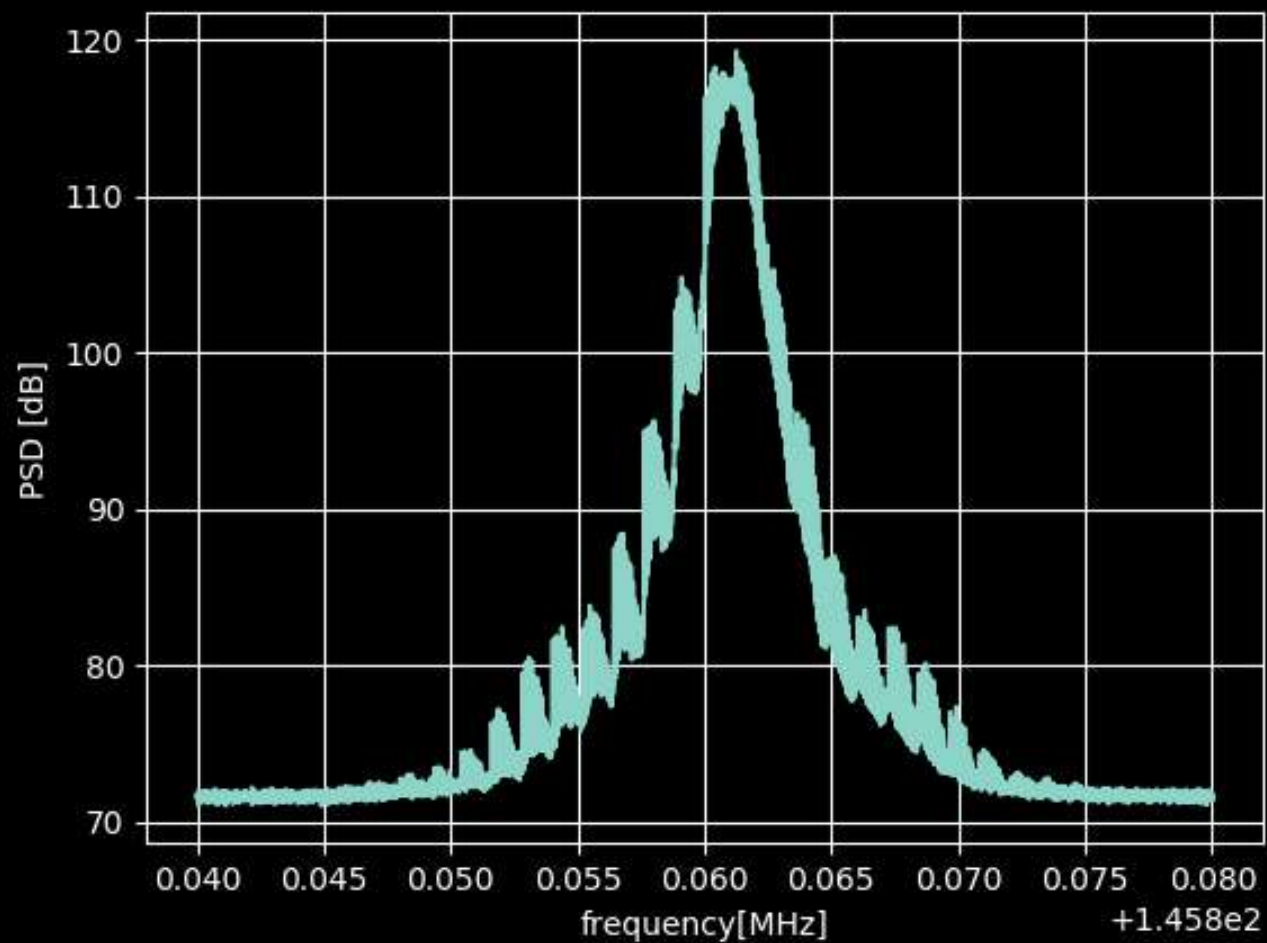
AK01 - AK06

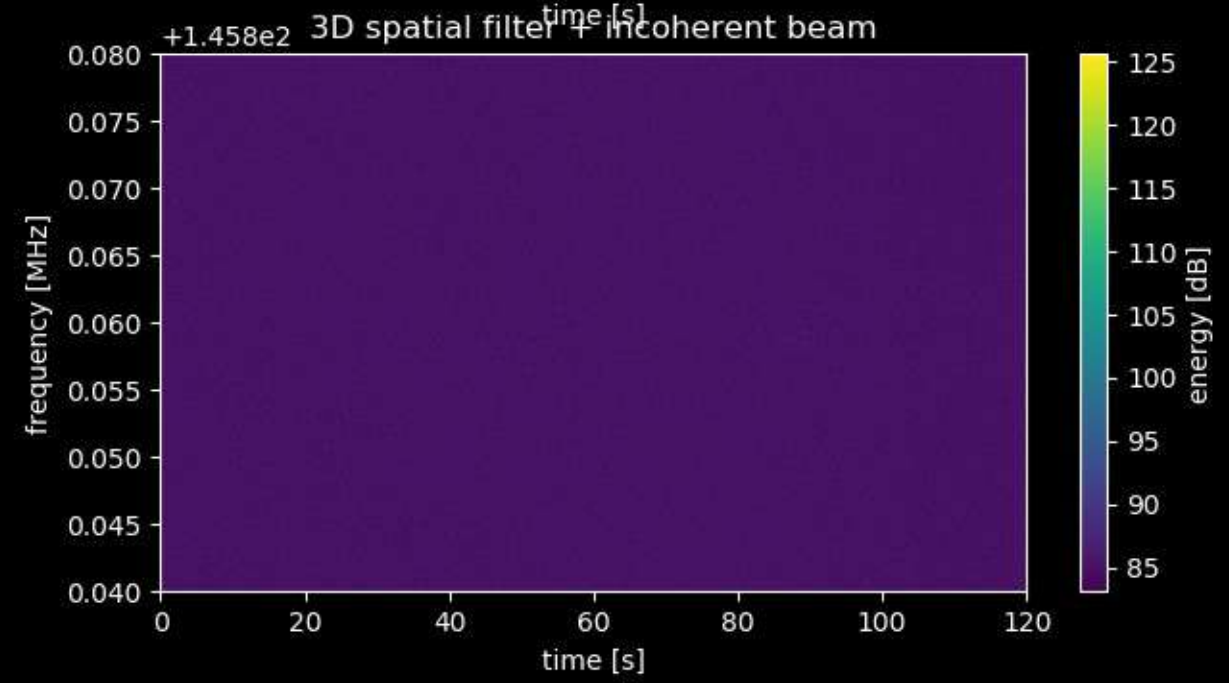
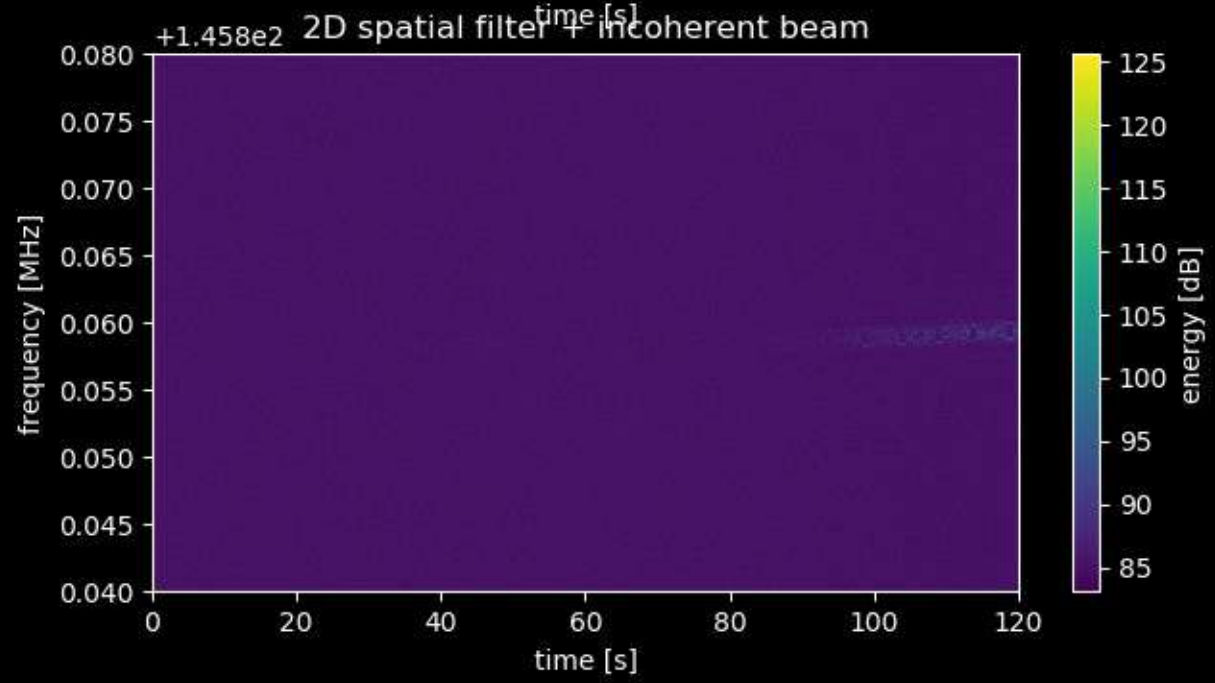
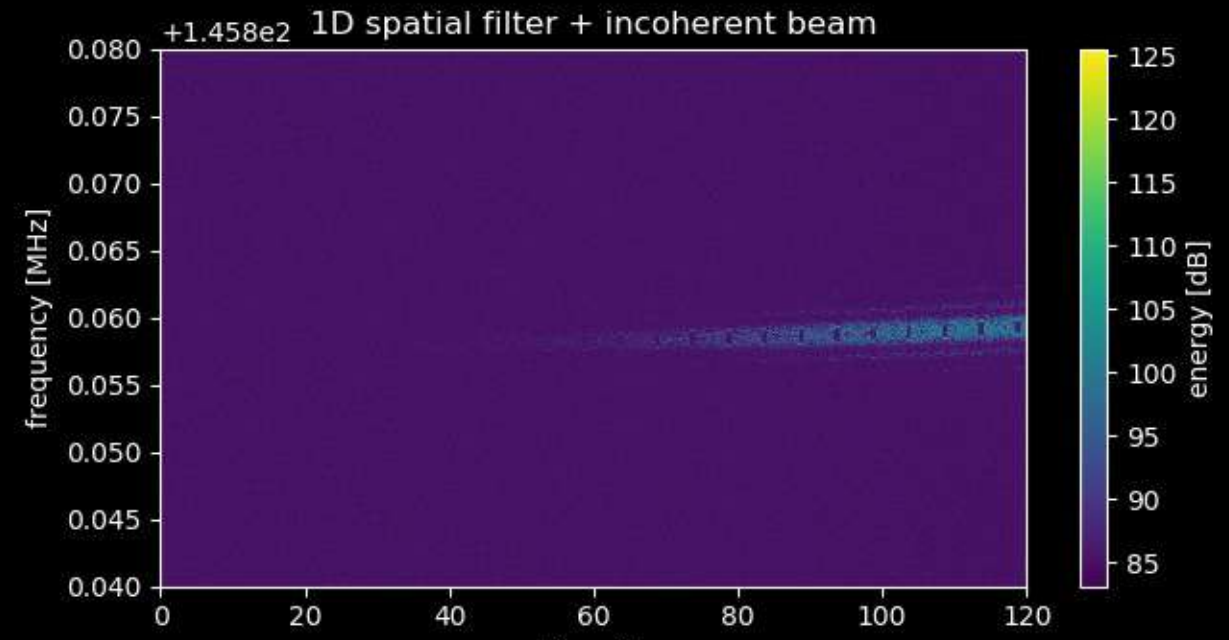
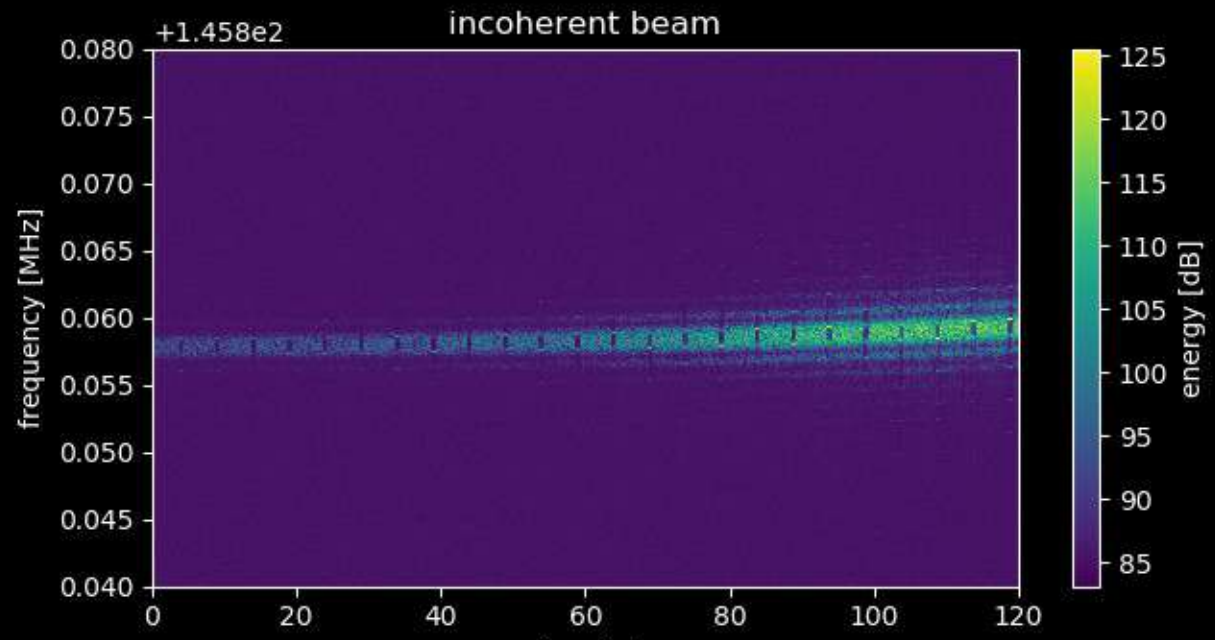
# Spatial filtering



# Spatial filtering

Hellborg et al. 2019

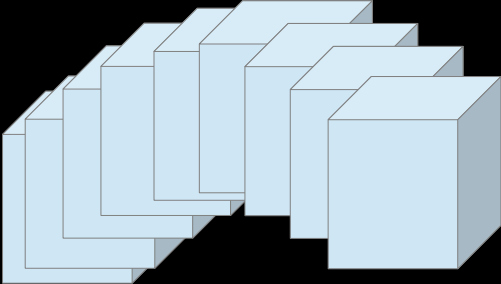
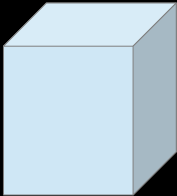




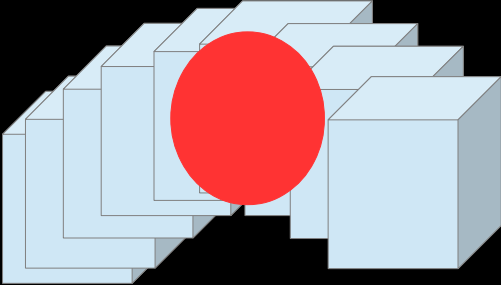
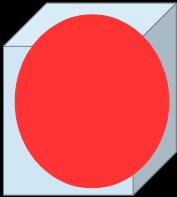
**Stationary source**

**Moving source**

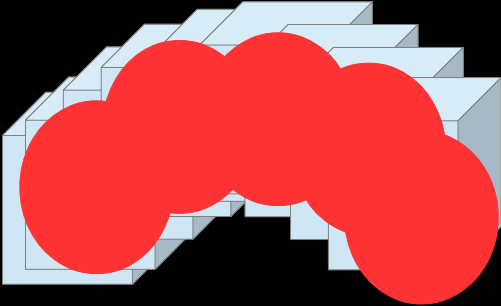
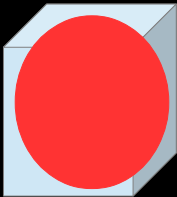
**No filtering**

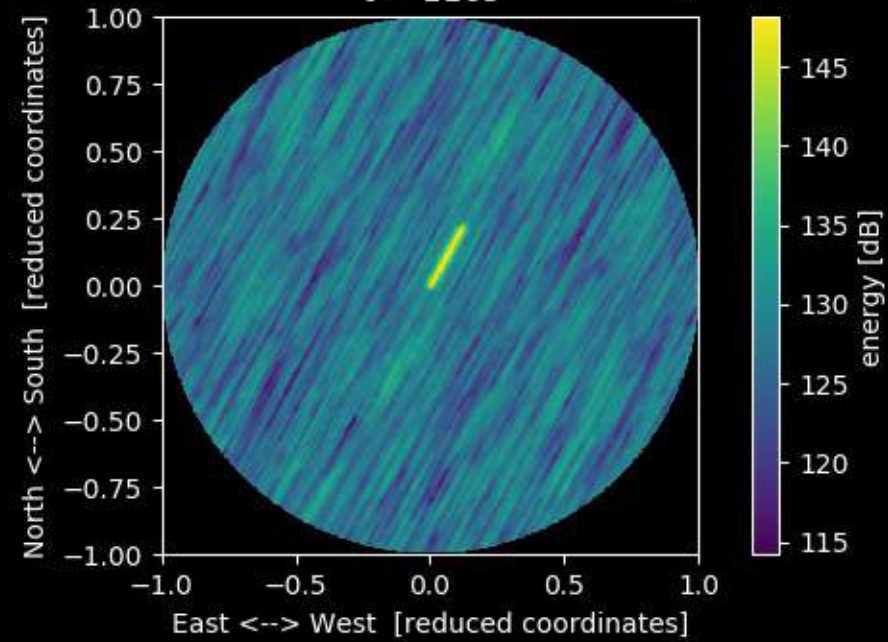
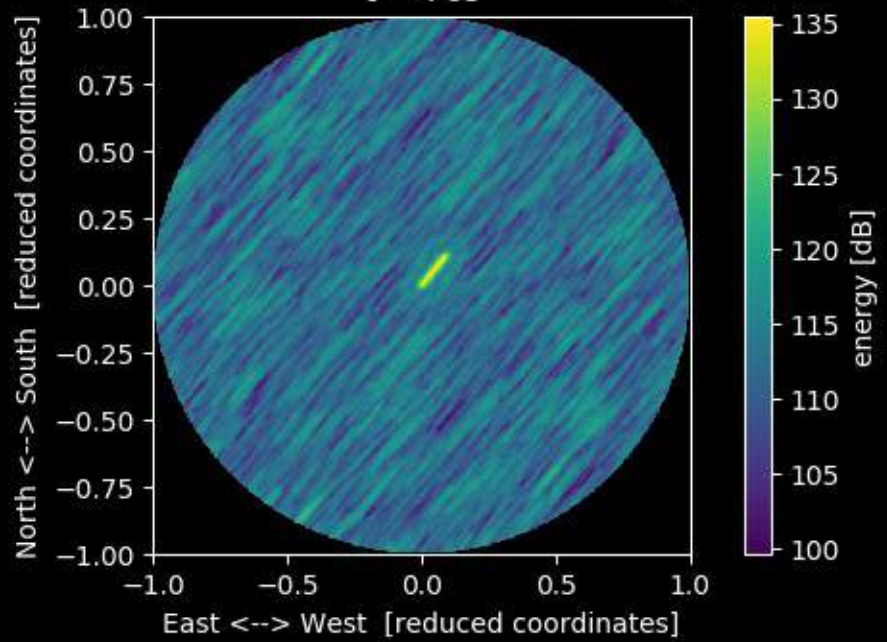
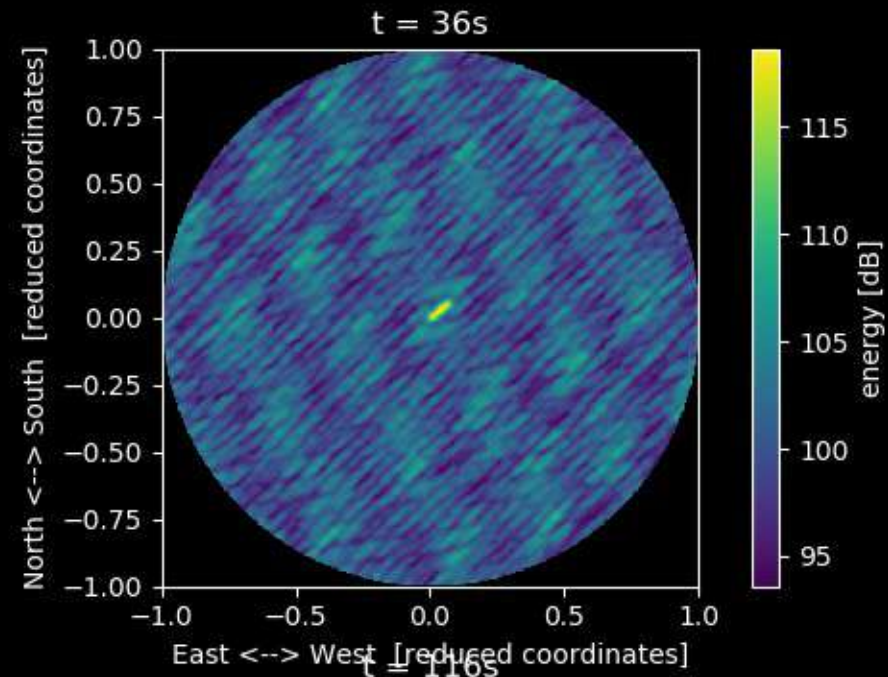
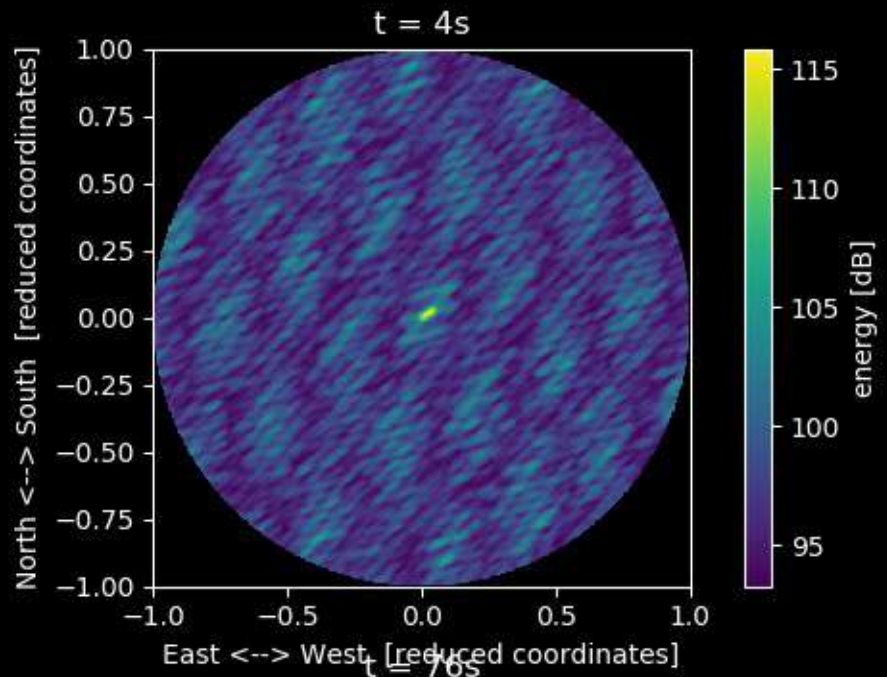


**1D – filtering**

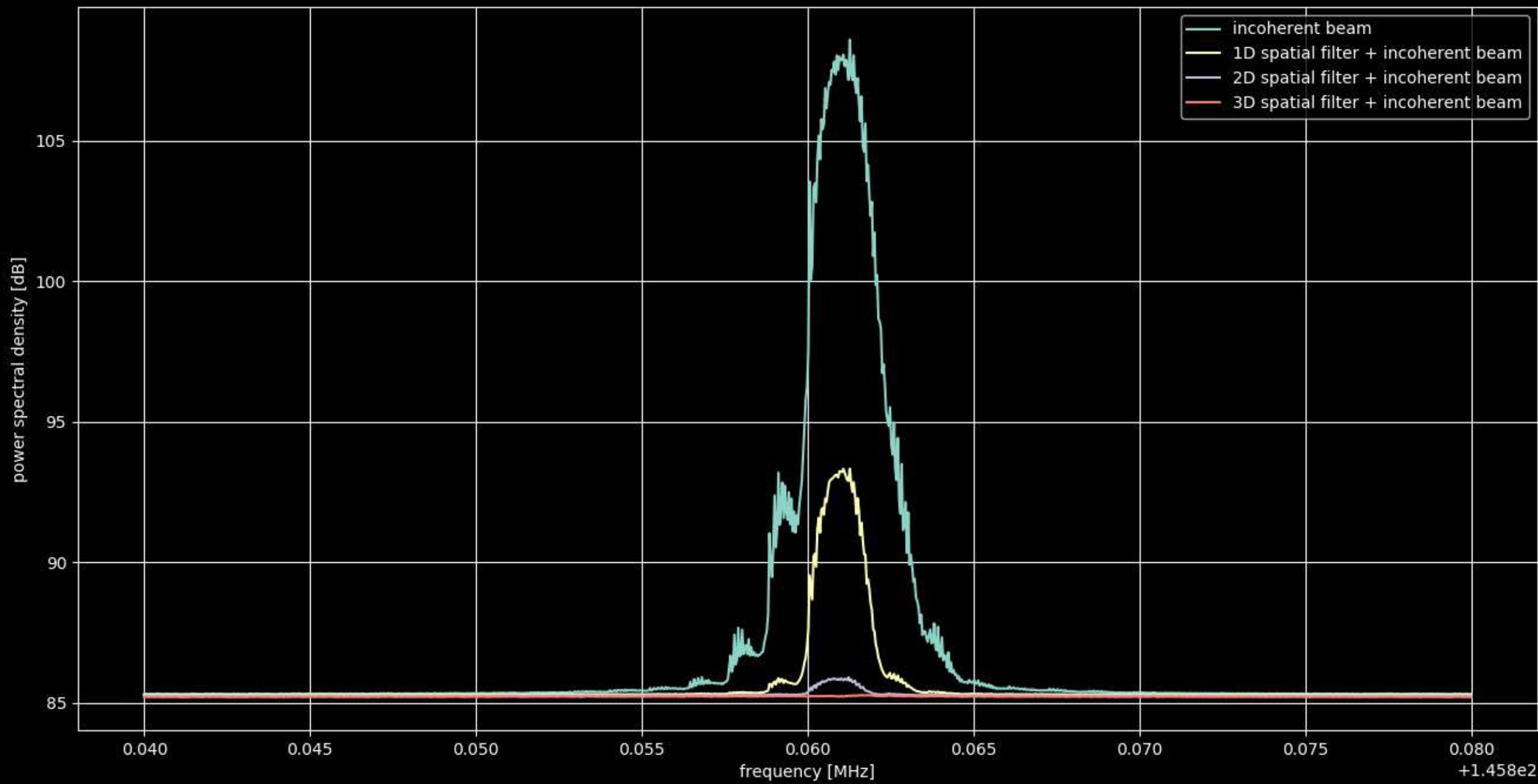


**N D – filtering**







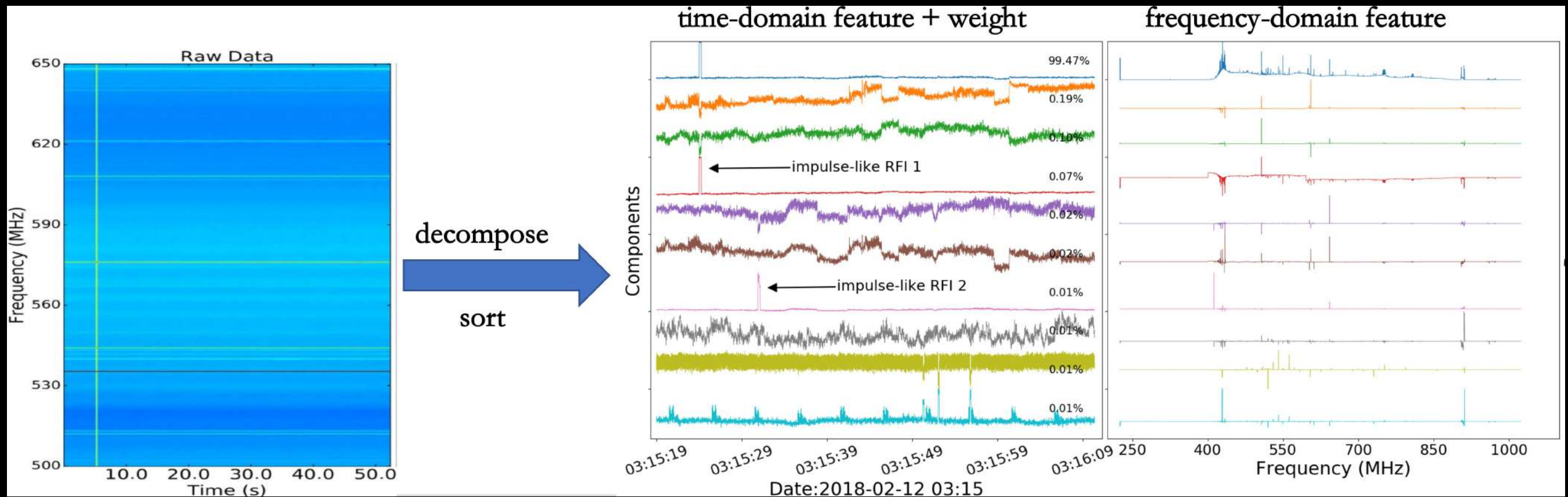


# **RFI filtering**

- **Potential for recovering underlying astronomical information**
- **Better sensitivity recovered with early filtering (ideally before data integration)**
- **Computational complexity significantly higher — trade-off to be found**
- **Filtering might impact calibration in unpredictable ways**

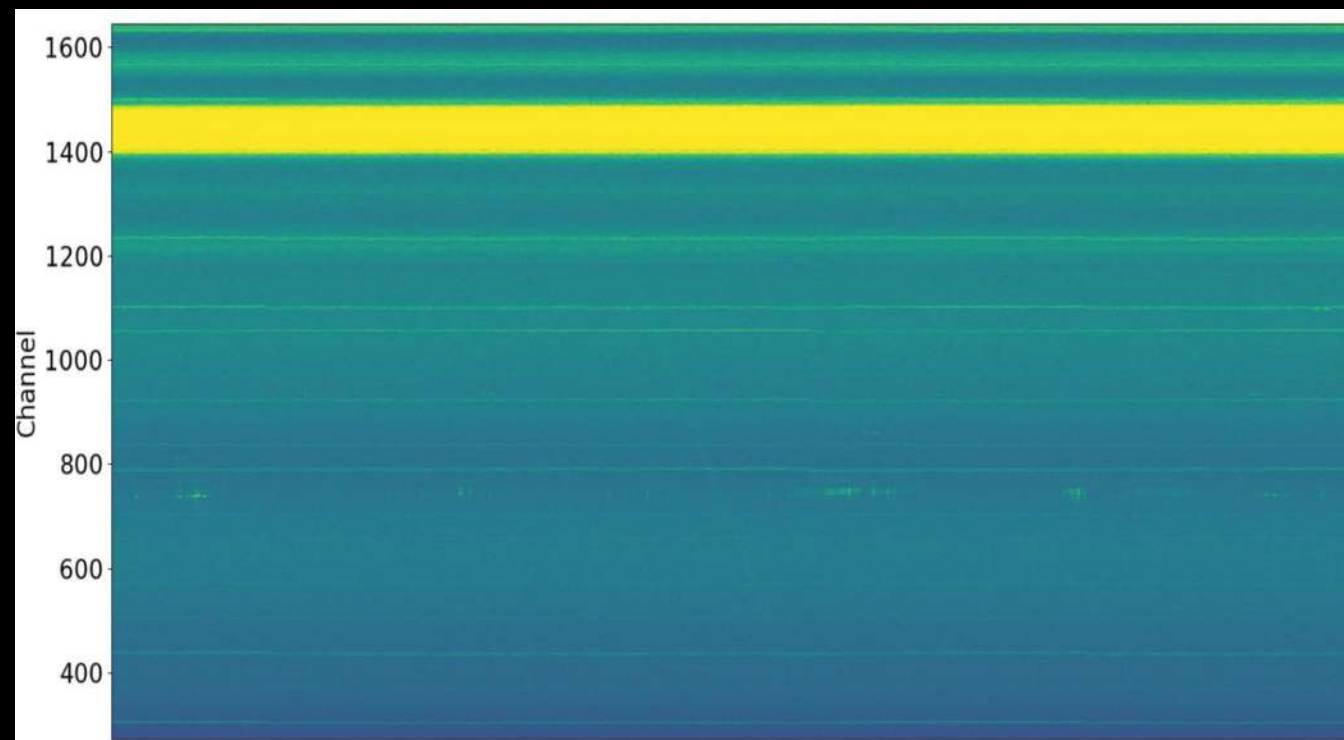
# Principal Component Analysis

Yuan et al. 2019 – unpublished

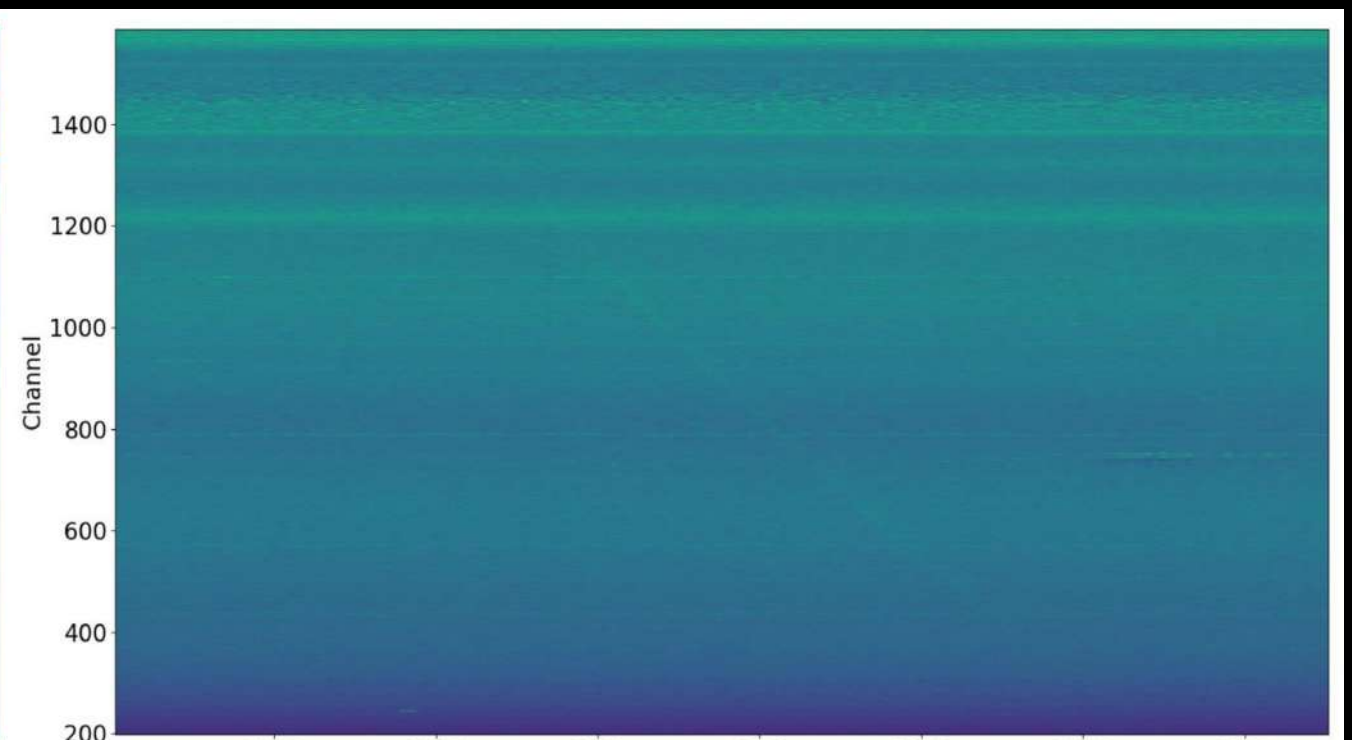


# Principal Component Analysis

Raw data



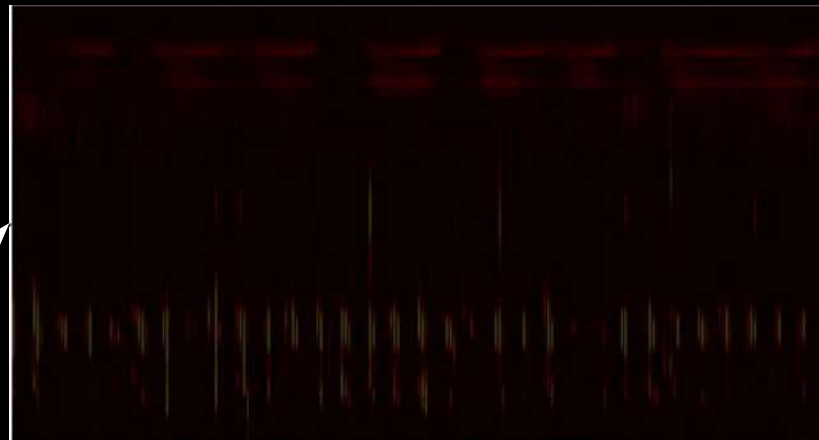
Filtered data



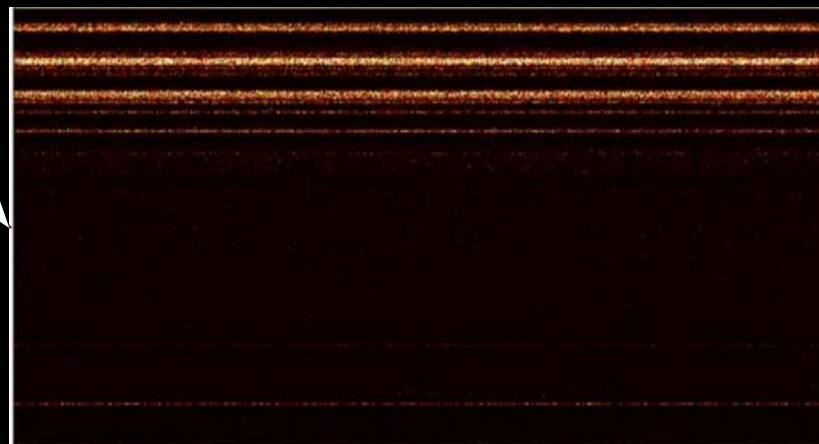
# Machine learning

Hawkins 2019 – unpublished

Class : transients



Class : continuous



- **U-net employed at GBO to distinguish between continuous RFI and transient natural sources (pulsars)**
- **Inappropriate for spectral lines**
- **Computationally very intensive (generating training sets, training model, processing data)**
- **Flagging performance ~80% better than classical methods (false positive flagging)**

# Conclusions

- **RFI mitigation to be considered for any next-gen instrument design**
- **No “one-size-fits-all”, complicated process of method selection**
- **Does not come at no cost, necessarily impacts sensitivity and efficiency of instrumentation**
- **Not an argument to give up frequencies : spectrum management remains best mitigation (and already fails in some cases)**
- **Flagging methods broadly accepted by the community, shift towards on-the-fly mitigation necessary in the SKA / ngVLA era**
- **Filtering methods become popular but not trusted — obvious need for more RFI filtering research and applied studies**