# Fundamentals of Radio Interferometry I: The Basics



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# Topics

- Introduction:
  - Motivation: Mapping the Sky
  - Why Interferometry?
- The Basic Interferometer
  - Simplifying Assumptions
  - 'Fringe' patterns
  - Sine and Cosine Fringes
  - Response to Extended Emission
  - The Complex Correlator and Complex Visibilities
  - Illustrations to aid visualization
- Important Note:
  - The concepts I'll be speaking about are not difficult but they are unfamiliar to beginners.



## References

- Before launching into the material, here are five references I find most useful:
- 'Principles of Optics': Max Born and Emil Wolf. My copy is the 6<sup>th</sup> edition (1980). Pergamon Press.
- 2. 'Interferometry and Synthesis in Radio Astronomy.' Thompson, Moran and Swenson. 2<sup>nd</sup> edition 2001. Wiley Interscience.
- 3. 'Synthesis Imaging in Radio Astronomy II'. Taylor, Carilli and Perley. ASP Conference Series Volume 180, 1999.
- 'The Fourier Transform and its Applications'. Ron Bracewell. 2<sup>nd</sup> edition. McGraw-Hill. (Be careful of the 3<sup>rd</sup> edition, which has errors).



# Our Goal: Mapping the Sky Brightness

- In astronomy, we wish to know the angular distribution of the emission of distant radiating sources.
  - This can be a function of frequency, polarization, and time.
- 'Angular Distribution' means we are interested in the **brightness distribution** of the emission.
- Measuring the brightness means **resolving the emission.**
- Because our targets are so far away, the emission is extremely weak, and of very small angular size.
  - Power from strongest radio source collected over the entire area of Australia in I GHz bandwidth = 0.1 watt!
  - I arcsecond = angular width of a coin at  $\sim$ 5 kilometres!
- Early (1950s) surveys of the radio sky employed single dishes.
- Nowadays, most (but not all!) observations are done with interferometers.



# Why Interferometry?

- It's due to **Diffraction** a consequence of the wave nature of light.
- Radio telescopes coherently sum electric fields over an aperture of size D. For this, diffraction theory applies – the angular resolution is:

 $\theta_{rad} \approx \lambda / D$  Or, in practical units  $\theta_{arcsec} \approx 2 \lambda_{cm} / D_{km}$ 

- To obtain I arcsecond resolution at a wavelength of 21 cm, we require an aperture of ~42 km not feasible.
- The (currently) largest single, fully-steerable apertures are the 100m antennas in Bonn, and Green Bank. Nowhere big enough.
- Can we synthesize a larger aperture with separated antennas?
- The technique of synthesizing a larger aperture through combinations of separated pairs of antennas is called 'aperture synthesis'.



# Interferometry – Basic Concept

• A parabolic dish coherently sums EM fields at the focus.

• The same result can be obtained by adding voltages from individual elements with a wired network.

• Note – they need not be adjacent.

• This is the basic concept of interferometry – coherent summation of voltages from separated antennas.

• Aperture Synthesis is an extension of this concept.



Signals summed here equivalent to those collected at prime focus

### The Role of the Sensor (aka Antenna)

- Coherent interferometry is based on the ability to correlate the electric fields measured at spatially separated locations.
- To do this (without mirrors) requires conversion of the electric field
   E(r,v,t) at some place (r) to a voltage V(v,t) which can be conveyed to a central location for processing without losing the phase information.
- For our purpose, the sensor (a.k.a. 'antenna') is simply a device which senses the electric field at some place and converts this to a voltage which faithfully retains the amplitudes and phases of the electric fields.



### **Quasi-Monochromatic Radiation**

- Mathematical analysis of wideband noise is difficult, if not impossible.
- Analysis is simple if the fields are monochromatic.
  - Natural radiation is never monochromatic
- So we consider instead 'quasi-monochromatic' radiation, where the bandwidth  $\delta\nu$  is very small, but not zero.
- Then, for a time dt ~1/ $\delta v$ , the electric fields will be sinusoidal, with unchanging amplitude E and phase  $\phi$ , described by

$$E_{\upsilon}(t) = E\cos(2\pi\upsilon t + \phi)$$

The figure shows an 'oscilloscope' trace of a narrow bandwidth noise signal. The period of the wave is  $T=1/v_0$ , the duration over which the signal is closely sinusoidal is  $T\sim 1/\delta v$ . There are  $N \sim v_0/\delta v$  oscillations in a 'wave packet'.





# **Simplifying Assumptions**

- We now consider the most basic interferometer, and seek a relation between the characteristics of the product of the voltages from two separated antennas and the distribution of the brightness of the originating source emission.
- To establish the basic relations, the following simplifications are introduced:
  - Isotropic sensors fixed in space no rotation or motion
  - Quasi-monochromatic radiation
  - Source in the far-field (D >>  $b^2/\lambda$ )
  - No frequency conversions (an 'RF interferometer')
  - Single polarization
  - Propagation in vacuum, without distortions (no ionosphere, atmosphere ...)
  - Idealized electronics (perfectly linear, perfectly uniform in frequency and direction, perfectly identical for both elements, no added noise.



I will later relax most of these restrictions.

### The Stationary, Quasi-Monochromatic Radio-Frequency Interferometer



### **Pictorial Example: Signals In Phase**



### **Pictorial Example: Signals out of Phase**

2 GHz Frequency, with voltages out of phase: b.s=(n +/-  $\frac{1}{2}$ ) $\lambda$   $\tau_{q} = (n +/- \frac{1}{2})/v$ 



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#### **Pictorial Example: Signals in Quad Phase**

2 GHz Frequency, with voltages in quadrature phase: b.s=(n +/-  $\frac{1}{4}$ ) $\lambda$ ,  $\tau_q = (n +/- \frac{1}{4})/v$ 



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### **General Comments on this Product**

• The averaged product  $R_C$  is dependent on the received power,  $P = E^2/2$  and geometric delay,  $\tau_g$ , and hence on the baseline orientation and source direction. From slide 10, we have:

$$R_{C} = P\cos(\omega\tau_{g}) = P\cos\left(2\pi\frac{\mathbf{b}\cdot\mathbf{s}}{\lambda}\right)$$

- Note that R<sub>C</sub> is not a function of:
  - The time of the observation -- provided the source itself is not variable!
  - The location of the baseline -- provided the emission is in the far-field.
  - The actual phase of the incoming signal the distance to the source -provided the source is in the far-field.
- The strength of the product is also dependent on practical factors such as the antenna sizes, electronic gains, bandwidth and time averaging – but these factors or ignored here (and can be calibrated



### **Define the Direction Cosine**

- Consider a single baseline, and define the x-axis to extend along this baseline.
- Write  $\mathbf{b} = u \hat{\mathbf{x}}$  where  $u = |\mathbf{b}|/\lambda$  is the baseline length in wavelengths, and  $\hat{\mathbf{x}}$  = the unit direction vector.
- Define the `direction cosine' as:  $l = \hat{\mathbf{x}} \cdot \mathbf{s} = \cos \alpha = \sin \theta$



• So the interferometer response is:

$$R_{C} = P\cos(\omega\tau_{g}) = P\cos\left(2\pi \frac{\mathbf{b} \cdot \mathbf{s}}{\lambda}\right) = P\cos(2\pi ul)$$



### **Two Illustrative Examples**

• Consider the response  $R_c$ , as a function of angle, for two different baselines with  $u = b/\lambda = 10$ , and u = 25 wavelengths.

• Since 
$$R_c = \cos(2\pi u l)$$

• We have, for u = 10:  $R_c = \cos(20\pi l)$ 

• And, for u = 25: 
$$R_c = \cos(50\pi l)$$

These are simple functions of angle on the sky.
 Remember:

 u = baseline length in wavelengths
 ℓ = sin θ,
 θ = angular offset from perpendicular plane









**Top Panel:** 

# **Hemispheric Pattern**

- The preceding plot is a meridional cut through the hemisphere, oriented along the baseline vector **b**.
- In the three-dimensional space, the fringe pattern consists of a series of coaxial cones, oriented along the baseline vector.
- The figure is a two-dimensional representation when u = 4.
- As viewed along the baseline vector, the fringes show a 'bulls-eye' pattern – concentric circles.





# The Effect of the Sensor (aka Antenna)

- The patterns shown presume the sensor has isotropic response.
- This is a convenient assumption, but doesn't represent reality.
- Real sensors impose their own patterns, which modulate the amplitude and phase of the interferometer response.
- Large sensors have good angular resolution and high gain
   very useful for some applications like imaging individual objects.
- Small sensors have poor angular resolution and low gain
  - useful for wide-angle surveys.
- Emphasis: The fringe pattern is a function of the baseline length (in wavelengths) and orientation, and is not affected by the antenna patterns.



# **The Effect of Sensor Patterns**

- Sensors (or antennas) are not isotropic, and have their own responses – both amplitude and phase.
- Top Panel: The interferometer pattern with a cos(θ)-like sensor response.
- **Bottom Panel:** A multiplewavelength aperture antenna has a narrow beam, but also sidelobes.
- **Emphasis:** The fringe pattern is a function of the array geometry. The angular modulation is from the sensor.







### From Point Sources to Extended Emission

- By construction, everything I stated earlier holds for 'point sources'. What are these?
- Point Source: Emission from an object whose angular extent is much, much smaller than the interferometer fringe scale.

• That is: 
$$\theta_{rad} \ll \lambda / B$$

where  $\theta$  = angular size,  $\lambda$  = wavelength, B = baseline

- What happens when the source angular size is comparable to, or larger than, the fringe scale?
- Short answer: Under nearly all conditions, you just 'add up' the individual responses from the components of the extended source.



### The Response from an Extended Source

- For an extended source, the voltage output from a single antenna is the spatial integration of the E-field emission over the primary beam:  $V = \iint E(\mathbf{s}) d\Omega$
- So the correlator response becomes

$$R_{C} = \left\langle \iint E_{1}(\mathbf{s}) d\Omega_{1} \times \iint E_{2}(\mathbf{s}) d\Omega_{2} \right\rangle$$

• The averaging and integrals can be interchanged and, **providing the emission is spatially incoherent**, we get

$$R_{C} = \iint I_{\nu}(\mathbf{s}) \cos(2\pi \nu \mathbf{b} \cdot \mathbf{s}/c) d\Omega$$

- The response is the sum of the spatial integration of the brightness modulated by the cosinusoidal interferometer pattern.
- This expression links what we want: the brightness on the sky,  $I_{\nu}(\mathbf{s})$ , to something we can measure  $R_{C}$ , the interferometer response.



Can we recover  $I_v(\mathbf{s})$  from observations of  $\mathbf{R}_{\mathbf{C}}$ ?

### A picture is worth 1000 words ...

- As stated earlier, these concepts are not difficult, but are unfamiliar. We need to think in new ways, to get a deeper understanding of how all this works.
- To aid, I have generated images of interferometer fringes, of various baseline lengths and orientations.
- I then 'observe' a real source (Cygnus A, of course), to show what the interferometer actually measures.
- For all these, the 'observations' are made at 2052 MHz. The Cygnus A image is take from real VLA data.
- To keep things simple, all simulations are done at meridian transit.



### 'Real' Fringes ... IKm Baseline at 2052 MHz

• The fringe separation given by baseline length in wavelengths, the orientation given by the orientation of the baseline.



East-West baseline makes vertical fringes



North-South baseline makes horizontal fringes



Rotated baseline makes rotated fringes

- Red = positive maximum. Black = negative maximum. Green = zero
- Fringe angular spacing given by baseline length in wavelengths:



$$\Delta \theta = \lambda / B = 30.2$$
"

#### Longer Baselines => Smaller Fringe Spacing

• With longer baselines (in wavelengths!) come finer fringes:







250 meter baseline 120 arcsecond fringe 1000 meter baseline 30 arcsecond fringe 5000 meter baseline6 arcsecond fringe

• What the interferometer measures is the integral (sum) of the product of this pattern with the actual brightness.



# For a Real Source (Cygnus A = 3C405)

- Cygnus A is a powerful, nearby radio galaxy.
- The left panel shows the color-coded brightness at 2052 MHz. (2" res<sup>n</sup>)
- The other two panels show how 6.9 km EW and NS interferometers 'see' the source.



Zero-Spacing Image Sum = 1029 Jy 6.3 km EW spacing Sum = 70 Jy

6.3 km NS spacing Sum = -19 Jy

- Dark green, blue, and purples => Negative correlation
- Light green, yellow, and reds => Positive correlation



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Don't be alarmed by the negative flux in the third panel.

## So ... What Next?

- The interferometer casts a cosinusoidal pattern on the sky, with the result that we obtain a response which is some function of the source brightness and the fringe separation and orientation.
- By definition, 'Cosine' fringes have a peak passing through the central position of the source ('phase center').
- But in fact, something is missing. 'Cosine' fringes are not sufficient to allow recovery of the sky brightness.
- To answer why ...
- Time for some mathematics.... Starting with a seeming digression about odd and even functions.
- (All will be clear shortly...)



#### A Short Mathematics Digression – Odd and Even Functions

• Any real function, I(x,y), can be expressed as the sum of two real functions which have specific symmetries:

 $I(x, y) = I_E(x, y) + I_O(x, y)$ 

An even part: 
$$I_E(x, y) = \frac{I(x, y) + I(-x, -y)}{2} = I_E(-x, -y)$$

An odd part: 
$$I_O(x, y) = \frac{I(x, y) - I(-x, -y)}{2} = -I_O(-x, -y)$$



#### The Cosine Correlator is Blind to Odd Structure

• Suppose that the source of emission has a component with odd symmetry, for which

$$I_{o}(x) = -I_{o}(-x)$$

• Since the cosine fringe pattern is even, the response of our interferometer to the odd brightness distribution is 0!

$$R_{c} = \iint I_{o}(\mathbf{s})\cos(2\pi v \mathbf{b} \cdot \mathbf{s}/c)d\Omega = 0$$

• Thus, the cosine correlator response R<sub>c</sub>:

$$R_{C} = \iint (I(\mathbf{s})) \cos(2\pi v \, \mathbf{b} \cdot \mathbf{s}/c) d\Omega = \iint (I_{E}(\mathbf{s})) \cos(2\pi v \, \mathbf{b} \cdot \mathbf{s}/c) d\Omega$$

is sensitive to the even component of the source structure. Hence, we need more information if we are to completely recover the source brightness.



### **Thus: Two Correlations are Needed !!!**

• The integration of the cosine response,  $R_c$ , over the source brightness is sensitive to only the even part of the brightness:  $R_c = \iint I(\mathbf{s}) \cos(2\pi v \mathbf{b} \cdot \mathbf{s}/c) d\Omega = \iint I_E(\mathbf{s}) \cos(2\pi v \mathbf{b} \cdot \mathbf{s}/c) d\Omega$ 

since the integral of an odd function  $(I_0)$  with an even function  $(\cos x)$  is zero.

- To recover the 'odd' part of the brightness,  $\rm I_{\rm O}$ , we need an 'odd' fringe pattern.
- Let us replace the 'cos' with 'sin' in the integral, to get

$$R_{s} = \iint I(\mathbf{s})\sin(2\pi v \mathbf{b} \cdot \mathbf{s}/c)d\Omega = \iint I_{o}(\mathbf{s})\sin(2\pi v \mathbf{b} \cdot \mathbf{s}/c)d\Omega$$
  
since the integral of an even times an odd function is zero.

To obtain this necessary component, we must make a 'sine' pattern.

# Making a SIN Correlator

• We generate the 'sine' pattern by inserting a 90 degree phase shift in one of the signal paths.



## **Define the Complex Visibility**

• We now DEFINE a complex function, the complex visibility, V, from the two independent (real) correlator outputs  $R_C$  and  $R_S$ :

$$V = R_C - iR_S = Ae^{-i\phi}$$

where

$$A = \sqrt{R_C^2 + R_S^2}$$
$$\phi = \tan^{-1} \left( \frac{R_S}{R_C} \right)$$

• This gives us a beautiful and useful relationship between the source brightness, and the response of an interferometer:

$$V_{\nu}(\mathbf{b}) = R_{C} - iR_{S} = \iint I_{\nu}(\mathbf{s}) e^{-2\pi i\nu \mathbf{b} \cdot \mathbf{s}/c} d\Omega$$

• Under some circumstances, this is a 2-D Fourier transform, giving us a well established way to recover I(s) from V(b).



#### The Complex Correlator and Complex Notation

- A correlator which produces both 'Real' and 'Imaginary' parts or the Cosine and Sine fringes, is called a 'Complex Correlator'
  - For a complex correlator, think of two independent sets of projected sinusoids, separated by 1/4 fringe spacing.
  - In our scenario, both components are necessary, because we have assumed there is no motion – the 'fringes' are fixed on the source emission, which is itself stationary.
- The complex output of the complex correlator also means we can use complex analysis throughout: Let:

$$V_1 = A\cos(\omega t) \to Ae^{-i\omega t}$$
$$V_2 = A\cos[\omega(t - \mathbf{b} \cdot \mathbf{s} / c)] \to Ae^{-i\omega(t - b \cdot s / c)}$$

• Then:

$$P_{corr} = \langle V_1 V_2^* \rangle = A^2 e^{-i\omega \mathbf{b} \cdot \mathbf{s}/c}$$

# **Visualizing Visibilities**

- An intimate familiarity with interferometer visibilities is essential in understanding how interferometers work.
- Fortunately, the concepts can be easily grasped through pictures.
- To illustrate, I have generated a mock source, consisting of a flat elliptical disk, (1500" x 2500") and a bright, circular gaussian 'spot' of width 150" near one end.
  - Flux of disk: 1283 Jy.
  - Peak brightness of hotspot: 6 Jy/beam
  - Brightness of disk: I Jy/beam
  - Flux of hotspot: 56 Jy
  - Beamsize = 45 arcseconds
  - The odd color wedge is chosen to illustrate the main points
    - Color wedge runs from -1.25 Jy/beam (black) to +1.25 Jy/beam (red).





# **Our Mock Interferometer Response**

- We now `observe' this source with an interferometer, with seven baselines running from 12 through 525 meters, at a frequency of 1471 MHz.
  - These numbers are appropriate for the MeerKAT interferometer, but can be scaled to any other.
- The mock interferometer has only EW and NW spacings.
- Shown here are the two fringe patterns (Cos and Sin) for the two 50-meter baselines.
- Fringe spacing = 841"



=300\*206265/(1471\*50)



## What does the interferometer 'do'?

- Recall that the interferometer multiplies the actual brightness by the fringe pattern (both COS and SIN), and integrates (adds) over the field of view.
- The complex visibility is made from these products as:
  - COS => Real Part
  - SIN => Imaginary Part
- Shown are the COS and SIN products for the 50-m EW baseline.



Cos  $\Sigma = -104$  Jy Sin  $\Sigma = -0.14$  Jy Thus: A = 104 Jy,  $\phi = 180$  degrees. NB: The EW even symmetry requires the SIN integral = 0, so the phase must be either 0 or 180.



### While for the NS baseline...

• The N-S asymmetry of the model means the NS baselines 'see' a wider range in the SIN (imaginary) component:





 $\cos \Sigma = 91.9$  Jy

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Sin  $\Sigma$  = -30.6 Jy

Thus: A = 96.9 Jy,  $\phi$  = -18.4 degrees.

NB: There are no symmetries here, so phases can be any value.

## Visibilities as a function of baseline length

• Showing a sequence as the E-W baselines gets longer:



Note that the 'SIN' fluxes are all very low, so the visibility phases are ~zero or 180 degrees.

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## As a function of N-S baseline ...

• Showing a sequence as the baseline gets longer:



### Some Take-Aways...

- In general, as the baseline gets longer, the visibility amplitude declines.
- The decline need not be smooth complicated emission will often have an oscillating, decaying, visibility function.
- The visibility from a 'zero-spacing' interferometer (aka 'a single dish') equals the total flux density of the source.
  - For Stokes 'I', this cannot be less than all visibilities at longer spacings.
- The visibilities for a source with even symmetry about some axis must be real (phase 0 or 180) for fringes parallel to that axis.
  - An extreme case of this is a smooth circular source: The emission is even about all axes (through the center), so all visibilities are real.
- The visibilities for a source with odd symmetry about some axis must be imaginary (phase 90 or -90) for fringes parallel to that axis.
  - This is not possible for Stokes 'l', but is possible for Q, U, or V.



# **Some Thoughts to Ponder**

- The complex visibility **amplitude** is independent of the source location\*, and linearly related to source flux density.
- The complex visibility **phase** is a function of source location, and independent of source flux density.
- These two statements, restated, are:
  - I) Doubling the source brightness doubles the visibility amplitude, but doesn't change the visibility phase
  - 2) Shifting the source position changes the phase, but does not change the visibility amplitude.\*
- Reversing the elements of an interferometer negates the phase of the complex visibility, and leaves the amplitude unchanged.
- For those of you familiar with Fourier transforms, the equivalent statement is that:
  - 'As the source brightness is a real function, its Fourier transform is Hermitian'.



<sup>c</sup> Ignoring any attenuation due to the primary beam...

## Visibilities Lead to Images ...

- The Visibility is a unique function of the source brightness.
- The two functions are related through a Fourier transform.  $V_{\mu}(u,v) \Leftrightarrow I(l,m)$
- How we go from visibilities to images is the subject of a later lecture.
- An interferometer, at any one time, makes one measure of the visibility, at baseline coordinate (u,v).
- `A sufficient number of measures' of the visibility function (as derived from an interferometer) will provide us a `reasonable estimate' of the source brightness.
- How many is 'sufficient', and how good is 'reasonable'?
- These simple questions do not have easy answers...



## Final Comments ...

- The formalism presented here presumes much ... including that there is no motion between source and interferometer.
- Real interferometers:
  - Are on a rotating platform
  - Use wide bandwidths
  - Average data over time
  - Employ frequency downconversion
  - Have to deal with corruptions due to many causes...
- How we manage these issues are the subjects of my next lecture, and by following lectures.

